

Degradation of Transmission Range in VANETs caused by Interference

ABSTRACT

Reliability is one of the key requirements for intervehicle communication in order to improve safety in road traffic. This paper describes the difficulties of intervehicle communication. We focus on an analysis of the state-of-the-art MAC protocol draft IEEE P802.11p and its limitations in high load situations. For our analysis we consider a particular safety scenario: An emergency vehicle is approaching a traffic jam. In a simulation experiment, we highlight that severe packet loss can occur. The reliable transmission range can be reduced by up to 90%. The main reason for this degradation is interference caused by transmissions of other vehicles within the traffic jam. In the study, we focus on the vehicle at the very end of the traffic jam. There, we measure the number of packets per second that are successfully received from the emergency vehicle. The key observation is that only a small fraction of the warning lead time remains which will also reduce the time for the driver to react on this information on an approaching emergency vehicle.

1 INTRODUCTION

Vehicular communication enables the direct exchange of information among vehicles. Such Vehicular Ad-Hoc Networks (VANETs) can support infotainment, traffic efficiency and, most important, safety-related applications. For example, vehicles can warn each other of dangerous locations like an icy road or the end of a traffic jam. Hence, saving life and preventing injury in road traffic is the driving force behind the development of intervehicle communication. For these applications it is essential that the intervehicle communication is reliable and robust.

Regarding the communication aspects, VANETs are confronted with diverse situations, ranging from very low vehicle densities up to very high vehicle densities. A lonely rural road, high speed autobahn as well as a congested metropolitan area are typical examples. In all of these situations, VANETs have to operate reliably.

Since intervehicle communication in VANETs is similar to communication in mobile ad-hoc networks (MANETs) the protocols are also similar. It is envisioned [1] to basically apply ad-hoc communication according to IEEE 802.11 but without the need to form a basic service set in order to improve the ad-hoc capabilities. The respective amendment, IEEE P802.11p [13] is currently under development.

In this kind of communication, i.e. wireless ad-hoc broadcast, the commonly known mechanisms of IEEE 802.11 aiming at reliability of communication do not apply. Acknowledgments or even multi-stage handshakes like RTS/CTS are not realistic for VANETs to support successful message distribution. On the one hand, RTS/CTS are designed for unicast communication. On the other hand, such a handshake is only appropriate if the amount of data to be transferred is much higher than the overhead from RTS/CTS handshake. In VANETs, only few data is sent but periodically, comprising the current position and movement, and maybe additional location information, like a low friction information. The transmitting vehicle can not assure proper reception at the surrounding vehicles. This is because the receivers may be unknown to the

sender and no feedback mechanisms are applied, i.e. acknowledgments and retransmissions are not performed.

The basic medium access in ad-hoc mode may be sufficient in scenarios with low vehicle and message density. However, in high-load scenarios, an increased loss of messages may occur.

In this paper, we study such extreme situations and analyze the behavior of the current state-of-the-art MAC protocol draft IEEE P802.11p. We define the metric *Effective Reliable Transmission Range*, by which we evaluate different message load scenarios and their influences. Further, we provide insights into the significance of packet loss and derive reasons for packet loss in intervehicle safety communication. As we will show, the interference caused by other vehicles' transmissions is the dominating reason. In a simulation study we show the degradation of the reliable transmission range, its origins in the MAC protocol and its mechanism of assessing the occupancy of the communication medium.

The likeliness of interference is significant in high density scenarios. Therefore, we choose a simulation scenario where a vehicle sending important messages, e.g. an emergency vehicle is approaching a very high traffic density. In order to benefit from such an application, which means a reduction of time for the emergency vehicle to arrive at its destination, all vehicles within the traffic jam must be able to receive messages from that particular vehicle. Especially, vehicles at the upstream (the tail-end) of the traffic jam need this information as soon as possible. A loss of information would cause a reduction of time for the driver to steer his car out of the way. In this situation, the VANET specific characteristics are obvious. A single node rapidly entering an area of very high node density is regarded as one of the big issues in VANET communication. With this scenario, we are able to evaluate such a situation with a concrete application background. We evaluate the packet loss occurring in different distances to the traffic jam. We also show that the severity of packet loss *suddenly* increases in high message/node density situations. At some point, a slight increase of the offered load can cause even a message loss of almost 100% at low distances to the traffic jam.

The remainder of this paper is structured as follows. Section 2 explains the background on signal propagation with focus on VANETs. In Section 3 we take a detailed look at IEEE P802.11p and its consequences on communication. Then, Section 4 summarizes the reasons for packet loss resulting from signal propagation and medium access. The simulation study and its observations are then discussed in Section 5. In Section 6, we compare our observations with related studies. We finally draw conclusions in Section 7.

2 RADIO SIGNAL PROPAGATION - ASSUMPTIONS AND MODELS

This section summarizes basics of signal propagation. From a single transmitter's point of view, signal attenuation is the limiting factor for the effective transmission range. It can be divided into a small and large scale part, i.e. fading and path loss. Small-scale fading, e.g. Doppler spread will be neglected here as we focus mainly on the total signal power which results in interference in far distances. The impact of

Doppler spread on communication is discussed for example in [21].

Large-scale path loss in turn, will be described in the first part of this section. The second part covers with external influences that reduce the transmission range: Interference and Noise.

The development of models for radio signal propagation is typically done using statistical values from particular regions or even cities. Practical measurements made for a particular communication system in the respective environment are taken to build up such a statistical model [17]. Path loss models compute the signal attenuation or received signal strength for a given distance between transmitter and receiver. Besides signal attenuation due to air propagation there are other important attenuation influences:

- *Reflection*: The electromagnetic is reflected a large surface with a comparably higher dimension than the wavelength.
- *Shadowing*: Particular objects reflect the wave into the opposite direction or strongly attenuate the signal so that behind these object only a weak signal remains.
- *Diffraction*: The wave is bent behind a sharp edge of an object. Thus the wave is able to propagate beyond shadowing objects.
- *Scattering*: In contrast to reflection where the surface must be relatively large, scattering occurs at small dimension surfaces compared to the wavelength. Rough surfaces like plants or trees scatter the wave to multiple directions.

In VANETs we assume that reflection and shadowing are more important than diffraction or scattering. A huge surface for reflection effects is of course the surface of Earth. Regarding shadowing, large vehicles like trucks will strongly contribute to signal attenuation. So, we will also explain the common models for these effects which estimate the average received signal strength. Note that more sophisticated models like ray tracing which accurately track each beam's path are out of scope for this paper.

2.1 Large-scale Signal Attenuation: Path loss

A common (non-statistical) model that allows to compute the received signal strength in line-of-sight areas is given by the simple transmission formula established by H. T. Friis [8] in 1946. It only considers the signal attenuation over the air and neglects NLOS components. Assuming an isotropic antenna, the signal power is projected to an area (the surface of a sphere). Hence, it attenuates quadratically with the distance.

$$P_r(d) = \frac{P_t G_t G_r \lambda^2}{(4\pi)^2 d^2 L}$$

with P_t the output power of the transmitter, the antenna gains at transmitter and receiver, i.e. G_t and G_r , the wavelength λ and the system loss L which is not related to propagation. As seen in above equation, the received power P_r depends mainly on the distance d between transmitter and receiver. This model has been formerly used for satellite communication and microwave radio links [17].

The effect of reflections becomes obvious in the presence of large surfaces that are sufficiently larger than the wavelength of the propagating wave. This holds always for Earth's surface but also for buildings and walls. Reflection effects, especially reflection from the ground surface is typically modeled in VANETs using the two-ray ground model. Similar to Friis' simple transmission formula, the received signal power is computed as:

$$P_r(d) = \frac{P_t G_t G_r h_t^2 h_r^2}{d^4}$$

which considers also the antenna heights of transmitter and receiver over the ground, i.e. h_t and h_r .

As empirical data has shown that the path loss increases logarithmically with the distance, the log-distance path loss has been commonly used [17]. The consideration of a shadowing component makes this model suitable for VANETs, too, as vehicles are objects with a surface that reflects waves at 5.9 GHz. Their surfaces have a size that is sufficiently larger than the wavelength and it is mainly metal. The respective Log-Normal Shadowing formula computing the received signal power in dBm is given by

$$P_r(d) [dBm] = P_t - PL(d)$$

with the path loss

$$PL(d) [dB] = \overline{PL}(d_0) + 10n \log \frac{d}{d_0} + X_\sigma$$

where $\overline{PL}(d_0)$ is an empirical value of the average path loss at the reference distance d_0 which is typically within 1 meter. Free space propagation is required for this value. It can either be determined by the free space formula by Friis or through field measurements. The rest of the formula consists of the log-distance path loss model, and the zero-mean Gaussian distributed random variable X_σ with standard deviation σ (both in Decibel).

2.2 Signal to Interference and Noise Ratio

The signal quality and hence the ability to decode information from radio waves depends on the ratio between the focused signal and the sum of all present non-focused (and weaker) signals including the noise floor. This is called the Signal-to-Interference-Noise Ratio (SINR). To explain the reasons for packet loss, this ratio must be considered. The ratio expresses that the stronger the signal, the better the signal quality and hence the lower the packet loss probability. The same consideration is valid for the interference, i.e. the weaker the interference, the better the signal quality.

In the 5.9 GHz frequency band external sources of noise are negligible. Only the thermal noise and the interference by other transmissions need to be considered which will be discussed in the following two subsections.

2.2.1 Thermal Noise

In 5.9 GHz, atmospheric, cosmic or man-made sources do not significantly contribute to the noise level [10]. Only the noise in the receiver antenna has a noteworthy impact on the signal-to-noise ratio. It is often called Thermal Noise:

$$P_{Noise} = KT_0B$$

The power of noise P_{Noise} depends on the bandwidth B and the temperature in Kelvin T_0 . K is the Boltzmann constant with $K = 1.38 \times 10^{-23}$ Joule/Kelvin. The resulting noise floor for VANETs, assuming $T_0 = 300$ Kelvin (equivalent to 26.85 degrees Celsius) and a bandwidth $B = 10$ MHz is -104 dBm.

2.2.2 Interference in VANETs

Interference has been known to be the key issue for the system performance in cellular networks for years. [17]. It can be caused by stations transmitting in the same cell, in a neighboring cell or base stations in the same frequency band. In cellular networks, the problem is coped by a central entity, the base station which organizes the transmissions of its connected mobile phones. Similar problems of interference arise in intervehicle communication. However, in VANETs there will be no channel access coordination by a central instance. Analyzing the intervehicle interference is part of the simulation study in this paper. In the following, we discuss potential

interference due to the OFDM modulation schemes as well as in multi-channel operations.

For intervehicle communication, OFDM schemes for signal modulation will be used (see Section 3). Thus, it is clear that a multi-carrier approach is chosen. In such a setting, a high peak-to-average power ratio arises. Each subcarrier may have a very high peak power. Simply speaking, the result of such high power variations may lead to an out-of-band radiation.

Power leakage and hence interference from neighboring bands into the currently used band is called *Adjacent Channel Interference*. For example, if there are two communication channels directly next to each other, the communication on each channel causes interference to the other channel due out-of-band radiation of the transmitter [17]. Another cause is due to imperfect receiver filters where the receiver is not accurately tuned to the focused channel.

As long as the interferer is spatially separated sufficiently from the receiver, this interference may not lead to information loss. However, if the transmitter is far away from the receiver and hence has a high path loss, the receiver is more sensitive to a near interferer. This situation is commonly referred to as the Near-Far Effect. In other words, the interferer causes sufficient interference to the focused channel so that the SINR ratio becomes too low. Hence, the receiver is not able to decode information.

2.3 Summary

In this section we have pointed out and briefly explained the signal theory background for VANET communication. This knowledge is essential for the discussion of the reasons for packet loss. First, we have described models that compute the received signal strength for a given distance between transmitter and receiver. The reduction of signal strength, i.e. the attenuation is mainly resulting from large-scale path loss. The signal power emitted at the transmitter's (isotropic) antenna is distributed to a surface. Therefore, it attenuates quadratically. It is further attenuated by shadowing objects like other vehicles and it can be further influenced by reflections where at some points attenuations can occur whereas at some other points amplifications can occur. To determine if a packet can be decoded, a signal quality measure must be applied which is the signal-to-interference-noise ratio (SINR). Thermal noise and interference produced by other vehicles' communication are the other part of the SINR. Both accumulate to the noise level. This means, a signal that is too weak in terms of absolute power is only one reason for packet loss. A second possible reason can be a signal whose relative power is too low compared to noise and interference. For a successful packet reception, a minimum SINR must be ensured for the whole transmission duration. Details on that minimum will be discussed in the following section.

3 VANET COMMUNICATION BACKGROUND

Vehicular Ad-Hoc Networks comprise a combination of unique characteristics compared to Mobile Ad-Hoc Networks. High node mobility and a large spectrum of node densities are known issues for intervehicle communication. More specifically, when it comes to applications for road safety the number of constraints is increased. This section explains these specialties by a discussion of the relevant aspects of the IEEE 802.11 protocol family. In this discussion, we are already able to identify issues that may reduce the reliable transmission range.

The currently discussed MAC layer standard for VANETs is IEEE P802.11p [13] which is an amendment to IEEE 802.11-2007 [12]. Hence, the following describes the relevant concepts of IEEE 802.11(p) for medium sharing and derives issues for further investigation.

Data rate	Modulation	Coding rate	Sensitivity (dBm)
3	BPSK	1/2	-85
4.5	BPSK	3/4	-84
6	QPSK	1/2	-82
9	QPSK	3/4	-80
12	16-QAM	1/2	-77
18	16-QAM	3/4	-73
24	64-QAM	2/3	-69
27	64-QAM	3/4	-68

Table 1 Data rates, modulations, receiver sensitivities as specified by IEEE 802.11 for 10 MHz channel bandwidth.

3.1 Data modulation

The Physical Layer Convergence Procedure (PLCP), a sub-layer of IEEE 802.11 defines how data is modulated into signals which are transmitted over the air. Table 1 lists the specified modulation techniques based on OFDM. As it is planned to use 10 MHz channels, the available data rates range from 3 to 27 MBit/sec. The lowest data rate with the most robust modulation scheme BPSK and lowest coding rate must be feasible with at least -85 dBm received signal strength, i.e. low packet error rates. With increasing data rate, the demand for signal strength increases. For 27 MBit/sec, -68 dBm receive power are required. Table 1 lists the specified modulation techniques based on OFDM. The available data rates range from 3 to 27 MBit/sec. The lowest data rate with the most robust modulation scheme BPSK and lowest coding rate is specified to be achieved with at least -85 dBm received signal strength. With increasing data rate, the demand for signal strength increases, too. For 27 MBit/sec, -68 dBm receive power are required.

The respective data rate is applied mainly to the payload. The preamble as well as PLCP which comprises the frame length are coded with most robust data rate, i.e. 3 MBit/sec. Note that at the very beginning of packet, a training sequence is sent which allows receivers to synchronize the following transmission and to equalize the subcarriers. This is also done to compensate the different velocities of transmitter and receiver. Summarizing, a frame consists of three parts: 1) Training sequence and 2) preamble which will be used to trigger and set up the receiver to the respective data rate of the 3) payload. In other words, 1) and 2) are needed to detect a packet transmission and 3) to decode the payload which can be in a higher data rate and hence a different requirement on signal strength. The respective data rate is applied mainly to the payload. The preamble as well as PLCP which comprises the frame length are encoded with most robust data rate, i.e. 3 MBit/sec. Note that at the beginning of the packet, a training sequence is sent which allows receivers to synchronize to the following transmission and to equalize the subcarriers. This is also done to compensate the different velocities of transmitter and receiver. Summarizing, a frame consists of three parts: 1) Training sequence and 2) preamble which will be used to trigger and set up the receiver to the respective data rate of the 3) payload. 1) and 2) are needed to detect a packet transmission and 3) to decode the payload which can be in a higher data rate and hence have a different requirement on signal strength.

Considering the different demands of signal strength, the transmission range of a vehicle can be divided into three ranges, depending on the distance to the transmitting vehicle. Figure 1 displays them: The communication range is the area where both receiver sensitivity threshold and SINR are met for the payload. Vehicles within this range of the transmitting vehicle are able to decode packets. The detection range, also called carrier-sensing-range, describes the area where other vehicles can detect an ongoing transmission. As the SINR for preamble decoding is commonly lower due to a more robust modulation, receivers can decode the PLCP

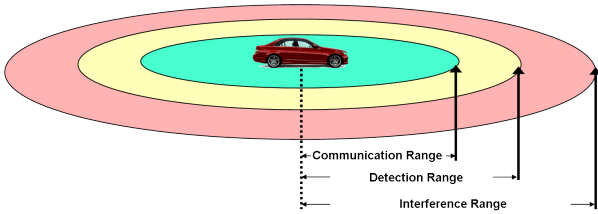


Figure 1 Communication range, detection range and interference range of a transmitting vehicle.

header. The detection range is typically larger than the communication range.

Finally, the interference range starts from the point where either the absolute signal power or the SINR is too low to decode information. The transmission of other vehicles in this range are interfered and their local SINR is degraded by this transmission. Theoretically, this area is unlimited but at some point the power of the vehicle's transmission is lower than the thermal noise and hence can be neglected.

3.2 Medium access

In contrast to wired networks like Ethernet where collision detection (Carrier Sense Multiple Access/Collision Detection, CSMA/CD) is applied, stations in wireless networks access the medium by avoiding simultaneous access and thus resulting packet collisions (Collision Avoidance, CA). Avoiding packet collisions is the task of appropriate Phy/MAC layer mechanisms. The approach in VANETs is simply listen-before-talk, i.e. to locally evaluate whether the medium is busy or not.

In the IEEE 802.11 protocol family there are two different mechanisms which can be applied for wireless medium access: Point Coordination Function (PCF) and Distributed Coordination Function (DCF). The former needs a central node that provides medium access opportunities to each associated station. DCF operates in a fully distributed manner. All stations access the medium more or less randomly. For that mechanism, it is also noted in IEEE 802.11 [12] that the "DCF is designed to reduce the collision probability between multiple STAs [Stations] accessing a medium, at the point where collisions would most likely occur". That point is the end of a transmission where stations start to contend for the shared medium.

The DCF basically randomizes this waiting time. Two kinds of waiting times are specified: Interframe Spaces (IFS) and the Contention Window (CW) which is divided into equidistant Backoff Slots¹. So, once the medium is found clear after an ongoing transmission, the station waits for a time given by the Distributed IFS (DIFS) plus the random number of backoff slots. During the whole waiting time, the medium occupancy is assessed. If the medium has become busy before the local backoff has expired, the current value of the backoff is frozen and resumed after the currently ongoing transmission by another station (which obviously has won the contention for the medium).

The current draft version of IEEE P802.11p plans to use the DCF for medium contention. It will be enhanced by prioritization techniques according to IEEE 802.11e [11], namely the Hybrid Coordination Function (HCF). As shown in Figure 2 the HCF allows to make the DIFS variable depending on the priority of the packet. The resulting Arbitration Interframe Space $AIFS[i]$ where i is the access category (priority) can be extended for lower priority packets. Also, the length of the contention window varies among different priorities. Highest

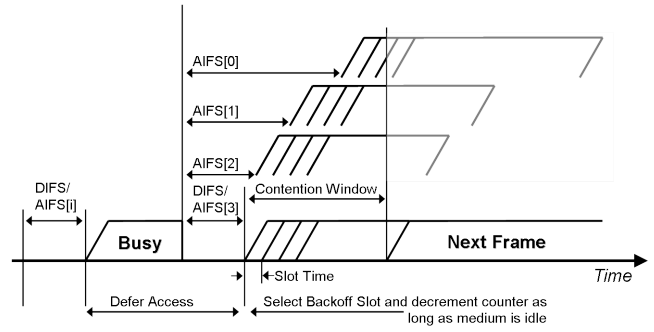


Figure 2 Interframe Spaces and Contention Window as specified in IEEE 802.11 [12].

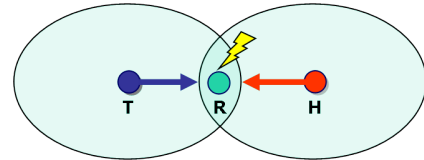


Figure 3 Transmission from T is not successful at receiver R due to a colliding transmission from Hidden Station H.

priority packets have thus the shortest AIFS and the shortest contention window to ensure high likeliness of medium access. The initial contention window size is limited by the CW_{min} parameter. In case of a collision in medium access, this value is doubled. If there are further access collisions it is doubled up to CW_{max} at each stage. This quickly relaxes the problem of medium access collisions. For details on this mechanism, the reader is referred to the study of Banchs and Vollero in [2].

For broadcast communication, there is no error-handling as there are no acknowledgments and hence no exponential backoff growth. In this sense, as the contention window size is not increased, CW_{min} always defines the upper limit for the backoff counter. This limits the prioritization and even increases the likeliness of packet collisions.

Once there are two (or more) stations selecting the same backoff counter, they will start the transmission at the same time. For an idle medium, which also covers the case where all stations wait the DIFS time, a station having a new packet shall not back off (as "the medium is not determined to be busy" [12]). This fact increases the probability of packet collisions.

Packet collisions can not be fully prevented by the DCF approach. It is still possible that there are two or more stations starting a transmission at the same time. In this case, the so-called carrier sensing would not provide the desired result as the signals need some time to propagate. However, this propagation time is very low as signals at 5.9 GHz travel at a velocity of the speed of light. As the intended communication range lies in the order of some 100 meters, the propagation delay is in the range of some microseconds and hence the probability of such a packet collision is low. Nevertheless, the medium access mechanism fails to avoid a collision. Even worse, it fails when the received signal is too weak to be detected but is sufficiently high to result in a packet collision at some receivers in-between.

Situations where there are two concurrent transmission are often described using the term *Hidden Station*. Figure 3 displays the typical view on the constellation of three stations T, R, H. Station T starts communication with station R. As station H does not hear the transmission of T, it is hidden to T. Once, it also starts transmission, T's and H's packets collide. In MANETs, the problem is addressed by the RTS/CTS/DATA/ACK handshake. A station T that is

¹The length of each backoff slot represents the MAC-layer end-to-end delay. It is dominated by the signal propagation and processing time and is between 9 to 16 μ s

willing to start a transmission to one particular station initializes this handshake procedure by sending a Ready-to-Send (RTS) message. The recipient R responds with a Clear-to-Send (CTS) message addressed to T . Once, T got the CTS message it is allowed to send DATA packets comprising the actual information that T wants to provide to R . R in turn acknowledges each DATA packet with an ACK message. Summarizing this procedure, each station in the surrounding of T and R knows of their communication and refrains from accessing the medium concurrently.

The main application for this procedure is Wireless LAN communication between access point and station or in ad-hoc mode between two stations. Unfortunately, it does not apply for intervehicle communication. Not having unicast communication is one reason. Each vehicle always conducts a local broadcast of its status information. Another reason is that the RTS/CTS approach needs stable conditions, e.g. positions of the nodes and a roughly stable communication link. Furthermore, handshake dialogues cause additional message overhead. This means, RTS/CTS is only reasonable if the actual amount of data to be transmitted is much higher than the overhead. Also, in contrast to MANETs, the transmitting vehicle is not able to detect packet loss and thus will not repeat a transmission in case of a collision.

Since the RTS/CTS approach is not primarily applicable for safety applications in VANETs, an adaption for VANETs has been proposed by Korkmaz et al. in [16]. If there is a direction defined in which a message should be disseminated, a potential Clear-to-Broadcast responder can be found. However, for periodic messages this scheme is not suitable. Kxu et al. [20] showed also for MANETs that even RTS/CTS does not fully prevent hidden stations. Hidden stations may be even hidden to the receiver. That is, if the hidden station is out of reception range it might be within interference range. Hence, packet loss does still occur.

4 REASONS FOR PACKET LOSSES

The last section has introduced the protocol mechanisms of IEEE 802.11 that will be applied in VANETs. Medium access will follow a best-effort strategy with limited packet prioritization elements. It is already clear that in high-load situations a significant number of packet collisions may occur. In this section, we summarize the reasons of packet loss that are obvious from protocol and signal propagation point of view. We then formulate questions on the significance of the problem and dependability on particular parameters which our simulation study in Section 5.

As explained in Section 3, the hidden station effect is commonly seen as one of the main reasons for packet loss in mobile ad-hoc communication. We conduct a detailed analysis in the VANET context. Note that in the following a packet collision describes the situation of two overlapping packets. Yielding a packet loss is not always the result in such a situation. This means a packet collision is not equal to a packet loss. Considering the background of packet loss, i.e. a too low SINR reveals that there can be a lot of transmitters/receiver constellations where no packet loss occurs. This means, from two colliding packets only the weaker one is lost. Simply speaking, assuming equal transmit power, the packet of the transmitter that is farther away is lost. Nevertheless, this issue can still be named a hidden station problem. Again considering the SINR, the location of the hidden station may be even out of range of the actual receiver. The received power from the hidden station might be enough to interfere with a weak signal from the focused transmitter. When we take multiple far-distance hidden stations into account whose interference accumulates, this effect may lead to significant packet loss.

The former discussion focused on the hidden station effect

from a spatial point of view. A second part of the hidden station effect is in the time domain. The transmission of two stations may be hidden to each other as both start their transmission within the same time slot.

As we know from the discussion of the HCF in Section 3 the maximum number of backoff slots is limited to 15. It is easily imaginable that VANETs can experience situations with much more vehicles than available backoff slots. Hence, a significant packet loss probability may arise. An evaluation on this problem can be found in [5]. There, an analytical model is built using Markov chains to compute the packet collision probability. If we apply the result to VANETs where no exponential backoff is considered, the collision probability is

$$p = 1 - (1 - \tau)^{n-1}$$

with the number of vehicles n and τ denoting the probability of a station transmitting in a randomly chosen slot within the contention window CW with no exponential backoff

$$\tau = \frac{2}{CW + 1}$$

The Exposed-Station Effect is another effect responsible for implicit packet loss in MANETs which means that packets are lost within the station, due to a packet drop in the message queue, instead of a packet loss on the air. For RTS/CTS communication this effect is known for unnecessarily blocking a communication between two nodes C and D, if C heard a successful RTS/CTS-dialogue between two nodes A and B. Although C's communication partner D may be out of range of A and B, C's transmission of the CTS would interfere. So, in case C receives a RTS from D, it will not respond as long as the communication between A and B persists.

As RTS/CTS can not be realized for safety communication as it is always a local broadcast, this effect does not apply exactly as stated before. But it is worth mentioning that vehicles may be exposed in high load scenarios where the carrier is nearly all the time found busy. The connection to packet loss here is that for the exposed vehicles the local message queue becomes full. In the worst case, not even one message can be sent. Such a situation can be described as local message congestion. Packet loss then occurs depending on the message dropping strategy. In case of time-critical information like the vehicle's status messages only the newest one may be of interest for other vehicles. The packet loss in this case would concern all packets except for the newest one².

If we now consider highly varying node densities this consideration also reveals that even in locally low densities stations may be exposed if there are high densities within carrier sensing range. In this case, some nodes would be blocked from transmission unnecessarily.

To sum up this discussion, we have identified the following six sources of packet loss³ and hence transmission range degradation:

- *Fully Interfered Receiver*: The SINR at the receiver is too low already from the beginning of transmission. The receiver may not even be able to sense the packet.
- *Classic Hidden Station*: During the reception, the SINR deteriorates due to a colliding transmission. Packet loss occurs if the minimum SINR is not given anymore.
- *Far-Distant Hidden Stations*: Many stations within interference range can together cause sufficiently high accumulated interference to result in packet loss.

²If the strategy is *drop all old beacons*

³For the sake of completeness, the reader is referred to [19] which describes receiver capabilities to recover one (the strongest) of the colliding packets.

- **Hidden Station in Time Domain:** Regardless of the distance between two transmitters, a collision can occur if at least two stations have the currently lowest back-off slot. Hence, they start the transmission at the same time. This also allows for two colliding transmissions within receiving range of each other which is in contrast to the classic hidden station. We will call this effect *Simultaneous Sending* in the following.
- **Exposed Station:** Packet loss implicitly occurs due to local message congestion if the medium can not be accessed in high-load situations.
- **Near Adjacent Station:** Interference caused by out-of-band radiation from stations tuned to adjacent channels can also reduce the SINR below the minimum threshold.

With this discussion in mind, we want to investigate the problem in a typical VANET scenario. Besides the analysis of each packet loss source, we measure the efficiency of the communication using the metric *reliable transmission range*. We assume a range is reliable if at all locations in-between, the packet error rate per second is below 10%.

Following, we formulate questions to the simulation study based on the above discussion:

- What is the packet-loss-dominating factor?
- Is total offered load in general, or are particular parameters dominating, like the beacon rate or the packet size?
- As the packet size is currently not fixed and as the discussion covers a wide range of packet sizes: What is better, larger or smaller packets? Does the sending of few large or many small packets lead to a better reliable transmission range?

Starting the description of our VANET-specific scenario, we describe in the following section the results from our simulation study.

5 SIMULATION STUDY

To investigate the different reasons for packet loss in VANETs, we have performed a simulation study. In this section we describe the VANET scenario and our simulation model. The key observations from the simulation study and its conclusions are discussed in the last part of this section.

5.1 Scenario Description

For our study, we want to take into account the special characteristics of VANETs when designing the road/traffic scenario. For the efficiency of ad-hoc communication, very low and very high node density can cause significant difficulties. As we explain in the following, our road scenario connects both extreme situations and considers a safety application. The scenario starts with one vehicle driving on a three-lane autobahn at constant speed. Thus, the node density per kilometer is very low. Then, the node density increases strongly as the vehicle approaches a traffic jam with other vehicles standing still on all lanes. For the sake of simplicity we neglect oncoming traffic.

The question that we investigate in this scenario is what happens to the communication efficiency during the transition between low and high node density. In our scenario this is between the time at which the tail-end vehicle in the traffic is within theoretical communication range and the time at which the approaching vehicle has reached the traffic jam. In other words, what happens to the warning lead-time where car-to-car communication can provide a gain of knowledge about upcoming road traffic events?

The road traffic scenario is one part of an overall VANET scenario, the other part is the communication pattern. It is common sense that active safety applications will use periodic position information from surrounding vehicles to detect

Fixed Parameter	Value
Simulation time	85 seconds
Number of runs	20
Signal propagation	Friis' Transmission Equation
Transmit Power	16 dBm
Rx Sensitivity	-91 dBm
CCA Threshold	-65 dBm
Carrier/Receiver SNR	5/8 dB
Noise/Interference model	Thermal/Accumulative avg power
Maximum communication range	960 meters
Link-/MAC-Layer Protocol	IEEE P802.11p 5.0
Data rate	6 MBit/s
CW_{min}	15
Maximum vehicle velocity	120 km/h
Number of lanes	3
Number of vehicles	100
Length of traffic jam	~2100 meters
Meters per vehicle	~21 meters
Variable Parameter	Values
Message length	50, 200, 1000 Bytes
Message rate	2 - 200 Msgs/sec

Table 2 Simulation parameters overview

a dangerous situation. Therefore, we assume that all vehicles send a constant number of status messages (beacons) per second. Each message has a fixed length and it is sent with the same transmit parameters, e.g. transmit power, antenna configuration, etc.). In the road traffic scenario above, this leads to a significant increase of offered messages from the approaching vehicle's point of view.

This could be the scenario for safety application like the "Notification of an Approaching Emergency Vehicle" [1]. Assuming an emergency vehicle approaching, this application would inform all drivers within the traffic jam to be alerted and even to inform particular vehicles to clear a lane for that emergency vehicle to pass through.

We explicitly focus on this particular situation in the following simulation study. The investigation focuses on the (broadcast) communication between the Emergency Vehicle (EV) and the last vehicle at the end of the traffic jam, i.e. the Upstream Vehicle (UV). At the UV we measure the number of packets per second received from the EV.

5.2 Simulation Setup

For our simulation study we use JiST/SWANS [4],[3]. JiST/SWANS is a Java based discrete event simulator with a special focus on simulating wireless communications. In addition to that, the STRAW-Package offers a seamlessly integrated mobility model, enabling the simulated network nodes to move on streets. Using this, it is straightforward to set up our scenario: A traffic jam is built by setting the nodes velocity to zero, the approaching vehicle just behaves normal.

During the simulation run, every node sends its messages, and the simulation system takes care of the different network layers. The medium access part of IEEE P802.11p is fully implemented in the model to ensure conformity to the existing draft and the proposed timing relationships in the contention phase. For the data rate, we select the robust 6 MBit/s with QPSK modulation which was shown to be very efficient [15]. On the physical layer, the path loss between transmitter and receiver is determined according to Friis' transmission equation. Though, there exist a number of sophisticated path loss models, e.g. measurement based approaches specific for a certain city, we chose to use Friis' formula as introduced in 2.1. This has a number of reasons: First, in our study we try to generalize the problem of changing node densities, so it is not appropriate to make assumptions about the environment as other models do. Second, by using Friis' equation, we assume the lowest possible path loss, resulting in higher radio range than expected in the real world. As we show the degradation of the radio range, this will not restrain us.

In addition, it has to be considered that our simulations do not claim to give absolute values, but to show the occurring effects. It is not important to pinpoint the exact value of every parameter, but to make sure that everything is in realistic range. The selected simulations parameters result in a maximum transmission range of 960 meters which is roughly in line with some experiments presented in [9].

With this goal in mind, we selected the transceiver characteristics as shown in Table 2. In our simulation, all nodes share the same specification, abstracting from the real-world diversity introduced by different chipsets and antenna configurations.

As stated before, the only environmental noise on the channel is the minimal thermal noise at -104dbm . All other interference, contributing to the SINR value, is self-induced noise by the network.

For every transmission the simulator calculates the SINR at the receiver and decides if a proper reception is possible or not. Furthermore, it checks if good channel conditions remain stable for the whole packet air time. We note that in the simulation only the power average over the 10 MHz bandwidth is considered but not the power per subcarrier and also no peak power effects.

A delicate question was the selection of the message length and the message frequency. Both parameters are crucial to the network load but are not specified yet. With the actual amount of data inside the messages unknown and no knowledge about a potential cryptographic-overhead, we chose to vary the message length in our simulation runs in a wide range between 50 Byte and 1000 Byte. Similarly, we varied the message frequency from 2 Hz to 200 Hz.

5.3 Simulation Results

In this section, we present the key findings from our simulation study on VANET performance in high load situations. The aim of this section is two-fold: First, we study the impact of two different channel load scenarios. We identify each scenario by its *offered load* given to the system. The offered load denotes the total amount of data per second that is offered by all vehicles to the communication channel. Each offered load scenario consists of different parameters for beacon rate and message size.

In the second part, we investigate the difference in packet loss using small packets with 50 Byte and large packets with 1000 Byte at different beacon rates. The discussion of results provides insights on the reasons for packet loss. We finally compare the two offered load scenarios that we introduce in Section 5.3.1 and point out the most influencing reasons for packet loss. Note that we only focus our study on explicit packet loss on the wireless medium. The exposed station effect will not be considered for two reasons: First, it is not clear which strategy for queue management will be applied and with it which message drop rule will be applied. Second, we carefully choose the offered load so that the channel capacity is not exceeded. As there are no acknowledgments and retransmissions, there will be no additional offered load in the system.

As a principle for the metric that we use for the evaluation, we define the *effective reliable transmission range* as the area where the packet loss is less than 10% per receiver.

5.3.1 Total Offered Load

The total amount of data to be transmitted to other nodes can not exceed the channel capacity. The channel capacity, in turn, is given by the specified data rate set on the physical layer. For our study, we assume a data rate of 6 MBit/s. This translates to about 750 KByte/s of data that can be transmitted. Clearly, the actual amount of data that can be transmitted will be lower, as the Phy/MAC protocol demands parts of

Setup a) - Offered Load 0.2 MByte/sec			
1	40 Hz	100 vehicles	50 Byte
2	10 Hz	100 vehicles	200 Byte
3	2 Hz	100 vehicles	1000 Byte
Setup b) - Offered Load 1 MByte/sec			
1	200 Hz	100 vehicles	50 Byte
2	50 Hz	100 vehicles	200 Byte
3	10 Hz	100 vehicles	1000 Byte

Table 3 Overview on offered load setups a) and b).

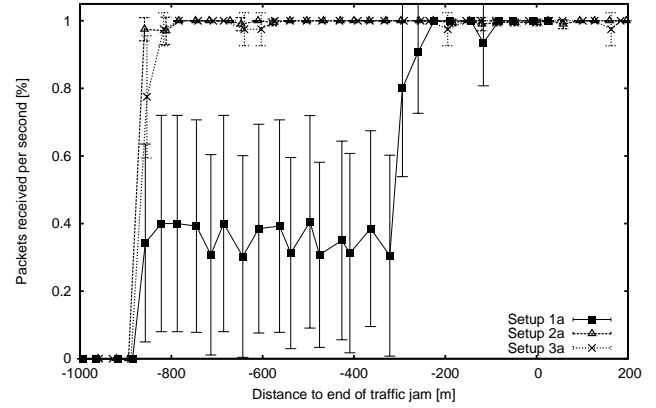


Figure 4 Packet loss for setups with offered load a)

this channel capacity in terms of interframe spaces and additional fields to create the MAC frame, e.g. the preamble and PLCP header.

Note that the mentioned data rate must be shared by all vehicles within range as they share the same channel. Within range means that they have at least to receive the carrier. The other way round, vehicles that do not hear each other, may occupy the wireless medium simultaneously. This is called spatial reuse of the medium.

In the following experiment, we use setups which do not exceed the channel capacity. However, we use two offered loads, a lower and a higher one summarized in Table 3. Each offered load will be simulated with varying parameters, i.e. message size and beacon rate. However, they do not directly represent the channel load. The vehicles' placement and separation as described in Table 2 allows vehicles to simultaneously use the communication medium. The value of offered load is hence the amount of data given to the system. So, this load is distributed over the whole area but not the load at one particular point.

- a) Lower offered load: 0.2 MByte/sec
- b) Higher offered load: 1 MByte/sec

For this offered load, the protocol overhead should be noted. For small packets, the ratio of this overhead and the payload is quite high. For large packets with 1000 Byte, the packet air-time, i.e. the time where the channel is busy, is still relatively low with about 1.3 milliseconds. So, as we see in Figure 4, the performance for the same offered load but with lower packet size is degraded. Furthermore, the offered load is relatively low. In this case, we also see that the number of beacons per second is higher. It seems that the beacon rate has a stronger impact on the packet loss than the packet size. In other words, the last upstream vehicle (UV) does not receive all (small) packets from the emergency vehicle (EV) from the theoretic maximum transmission range at about 960 meters. This, in turn, is the case for the other two setups with medium and high message lengths. Looking at the confidence intervals also reveals the fluctuation of packet loss. For small packets there is some kind of plateau with large confidence

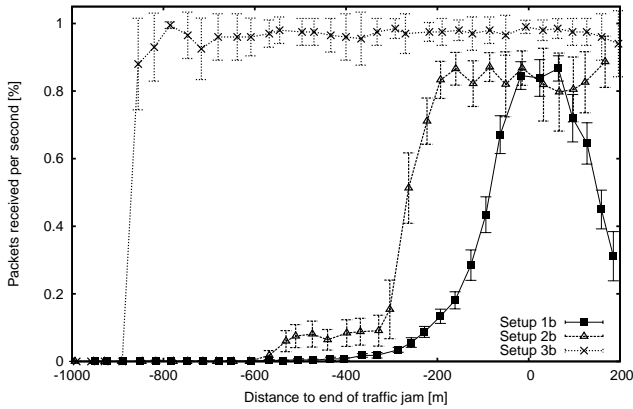


Figure 5 Packet loss for setups with offered load b).

intervals that display a large fluctuation of packet loss in this area. Large size packets with 1000 Byte show a higher fluctuation only at the very end of the theoretic transmission range. A closer look into the results shows that the classic hidden station situation is mainly responsible here. Before going into deeper analysis of the reasons for the packet loss for small packets which is part of the next subsection, we want to compare these observations with the high offered load scenario. In Figure 5, the packet loss for small packets deteriorates with a remarkable degradation of the reliable transmission range down to 100 meters. As mentioned before, this tendency was expected as this setup comes close to the channel capacity. The mentioned protocol overhead and channel access delays, interframe-spaces, contribute to an increased channel utilization. This difference to larger packets is supported by the results for medium and high message lengths. The degradation of transmission range for medium size packets is in the order of 250 meters which means that the it is reduced to a quarter. For large packets it seems that there is still no influence. Though the channel load at the UV should be quite high, it still receives nearly all packets even when the EV is far away.

Next, we go into detail with extreme situations for small and large packets where we investigate the impact of the beacon rate further.

5.3.2 Large packet size

From the observations presented in the last subsection, there was no significant packet loss found for large packets. Now, we increase the offered load per vehicle in terms of the beacon rate. Starting at the beacon rate of 15 beacons per second, we had no significant impact on the packet loss. When increasing the rate further, i.e. from 15 to 18 Hz, suddenly the packet loss increased. Figure 6 nicely shows this situation.

For 15 beacons per second, the packet loss in far distances slightly increases as well as its fluctuation. Now, for 18 beacons per second, the performance strongly drops. A similar drop is observed for 20 beacons per second. The reliable transmission range decrease to about 300 meters. It finally deteriorates, for 40 beacons per second, to a value of 150 meters. Again, this sudden drop and successive behavior is remarkable.

Before drawing conclusions of these observations, we need to discuss also the same effect for small packets.

5.3.3 Small packet size

In Figure 7, the situation for small packets is shown. In contrast to large packets, the mentioned sudden performance drop occurs in a different way. As the figure displays, the packet loss in far distances strongly occurs whereas the area of no loss remains quite stable. Starting from 19 up to 40

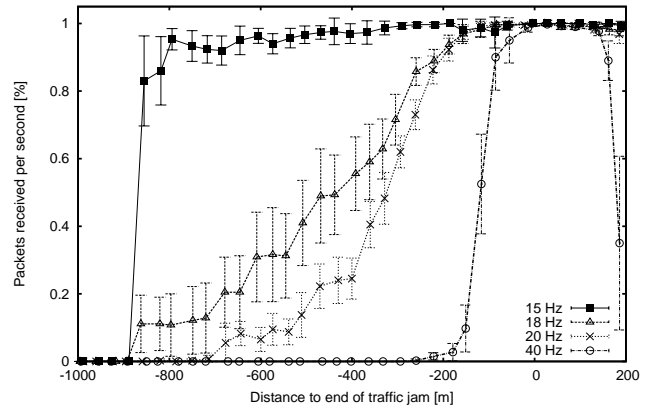


Figure 6 Packet loss for large packets, i.e. 1000 Bytes.

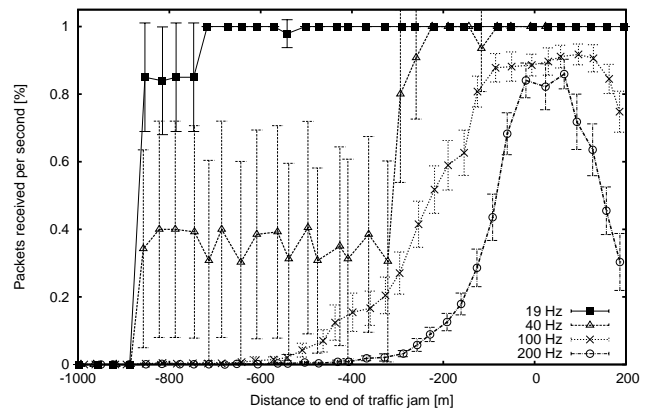


Figure 7 Packet loss for small packets, i.e. 50 Bytes.

beacons per second, we also see a sort of plateau where the average packet loss fluctuates. This behavior is different to large packets where a linear but stable packet loss was observed. However, when further increasing the beacon rate to 100 or even 200, the situation converges similarly as for large packets, with a reliable transmission range of 100 meters or 50 meters. It is also worth noting that for these extreme setups, a high reliability of 90% is never reached. As for a brief conclusion for small packets, it seems that there are three areas with respect to the offered load: First, a stable area, with up to 15 beacons per second. Second, a transient area from 20 to 40 beacons per second with highly varying packet error rates at far-distances. And third, the transmission range becomes more stable but strongly degraded, starting from about 50 beacons per second.

5.4 Discussion

The simulations have shown that the total offered load as the sum of all messages from all vehicles within an area is not the only important parameter for packet loss. As we have seen in the last subsection, the packet size does not have a significant impact. Most severe is the impact of the beacon rate, especially beacon rates above 20 Hz in our experiments. Via the simulations, we also found that at some point when increasing the load, there is a sudden increase of packet loss and hence a significant decrease of the reliable transmission range. We suspect that at these transitions, some sort of chain-reaction occurs where the interference influences the clear channel assessment. That is, even though the preamble and PLCP are modulated with the more robust BPSK scheme and hence needs a lower SINR, vehicles become more and more unable to decode that the carrier is busy. The carrier sensing range hence may also strongly decrease. This fits to our observations of higher channel loads as dis-

cussed before.

Summarizing, the packet loss due to interference of other vehicles is the most dominating factor. From the analysis of the packet loss, an increased interference level is the reason for not being able to decode the packet. This cause basically comprehends two of our identified packet loss reasons in Section 4: The Fully Interfered Receiver and the Classic Hidden Station. This means, mostly the SINR at the receiver is already too low as the existing interference prevents sensing the packet, i.e. decoding the preamble and PLCP header. The second cause with the same severity is the Hidden Station effect. The receiver is able to start receiving. However, during the reception the interference increases so that the SINR falls below the minimum threshold which results in packet loss.

The far-distant hidden station is not that severe as we have only one direction from which interference stems. Hence, in most cases, only one far-distant hidden station contributes to the interference level. In most cases of packet loss in our scenario, this effect is negligible. However, in other studies this effect does have an impact (see Section 6).

The total number of packet loss because of simultaneous sending is quite high but the packet loss per vehicle at the upstream especially the vehicle in focus is relatively low. This may be due to the different node densities. The UV mainly has vehicles in front whereas a vehicle in the middle of the traffic jam has got vehicles in front and to the rear. However, a noteworthy observation is that the mentioned inner core of transmission range is degraded by packet collisions due to simultaneous sending. Though not simulated, we also expect that the interference from adjacent channels affects this inner core. Nearby vehicles transmitting on an adjacent channel may also increase the SINR at the receiver.

Concluding, the transmission range can be significantly degraded in high-load scenarios, especially at high distances between transmitter and receiver. Packets from far away vehicles are received with high packet error rate as the received signal is relatively low in combination with a high probability of a relatively strong interfering signal nearby. The carrier sensing becomes partially inactive as the interference also affects the detection of a packet transmission. Hence, the channel occupancy is not properly assessed.

At closer distances, still only low packet loss occurs. The SINR is relatively high as the path loss for the focused signal is low. Potential hidden stations, in turn, have a higher path loss. For our scenario, this means that the emergency vehicle will not be heard at the end of a traffic jam from far distances. As the emergency vehicle approaches the traffic jam, the probability increases that it will be heard even when there is a high interference level from transmission within the traffic jam. The total reduction of the transmission range depends on the communication scenario and can be as high as 90%. In the experiment, this was a reduction from 960 meters to something around 100 meters. Such a strong degradation of a potential warning lead-time may limit the benefit of this application. The vehicle driver would not be able to react appropriately.

6 RELATED WORK

Packet loss in VANETs has been analyzed from different perspectives and with different scenarios. Jiang et al. [14] showed the reduction of transmission range in scenarios with homogeneous and non-homogeneous vehicle densities. Vehicles are traveling along a circular road with 8 lanes. In non-homogeneous scenarios, vehicles belong to groups with different densities and transmission ranges. Based on these results, they identify the optimal data rate for inter-vehicle communication in [15]. We have applied the resulting data rate to our study of a VANET-specific scenario. In their study on the

average packet reception rate depending on the distance between transmitter and receiver they show a similar trend as in our study. The packet error rate in some distances increases linearly with a high gradient. Like in our results, this is the case at distances of half of the original transmission range. Unfortunately, the fluctuation by means of confidence intervals is not shown and discussed which we found for small packets. The packet size was also always fixed to 200 Byte. Chen et al. [6] also evaluated the reduction of transmission range in an experiment with three homogeneous VANET setups. The high-load scenario with 1000 vehicles presents also a strong decrease of the reliable transmission range. However, the lower density setup with 133 vehicles shows a different increase of packet loss with respect to the distance of transmitter and receiver, compared to our study. But, the reduction of the reliable transmission range is also in a high percentage, roughly 70%. Also, the fluctuation is not shown. We assume that it is quite low as the vehicle setup is homogeneous and hence the average packet loss is, too. Similarly to [15], they also assumed a maximum transmission range of 250 meters. In the results of their experiment, they haven't discussed the different reasons for packet loss in a quantitative way. This is done conceptually, in order to overhaul the physical layer model of their network simulator. In line with our discussion, they also distinguish between self-caused packet loss by starting a transmission regardless of the receive state, and packet loss by external causes, e.g. a receive signal that is too weak or a noise level that is too high. Furthermore, Chen et al. point out the relation between the SINR and the Bit-Error-Rate. They describe that with decreasing SINR not all packets are lost but the probability of packet errors increases. Even with a very low SINR a receiver might be able to decode a packet due to error correction redundancy and advanced receive filters. Preliminary to our simulation study, we also briefly investigated different SINR levels for reception. We decided to fix the SINR threshold for reception as variation causes only slight changes in the results. The general trend of transmission range degradation remains the same.

Schmidt-Eisenlohr et al. [18] evaluated the transmission range and the influence of different offered loads produced by the periodic beaconing in highway scenarios with varying traffic densities. They show how the average packet reception, channel busy time and channel access time behave in dependence of distance between sender and receiver for different packet generation rates. They confirm that the communication range is significantly reduced in all high message load scenarios. Briefly summarized, the beacon rate for all 802 simulated vehicles was in the range of 2 to 14 packets per second, each having 500 Bytes, and the theoretic transmission ranges from 100 to 1000 meters. An interesting conclusion of their experiment is that "a higher number of received packets is achieved while increasing the packet generation rate." It is noted that this however may not be a solution as the offered load is increased. As we also see in our specific experiment, the beacon rate should be kept as low as possible in particular situations. Vehicles inside a high-vehicle density area do not notice the approaching emergency vehicle as the tail-end vehicle already does not receive the information which has the lowest path loss.

Schmidt-Eisenlohr et al. are also in line with [19] that the packet capture feature allows receivers to recover a fair amount of colliding packets if there is a stronger signal which can be decoded potentially. This stabilizes the reliable transmission range as an "inner core", a circle around the transmitter where packet loss is negligible. As we found out, this inner core is already present without capturing functionality. However, it does not cope with simultaneous sending where the delay between two approaching transmissions is within

microseconds. We assume that within such a short period, i.e. where the training sequence is sent, capturing is not possible. Also, it does not prevent interference from nearby vehicles on adjacent channels.

Eichler [7] has evaluated the prioritization mechanism (Hybrid Coordination Function, HCF) that is adapted in IEEE P802.11p. He also studied dense high-load scenarios. The focus here is to show the performance of the four access categories and hence the performance of message prioritization. In addition packet collisions are briefly studied. He mainly focuses on collisions due to simultaneous sending, depending on access category and number of vehicles. According to his considerations, the total collision probability for 19 vehicles with a contention window of 15 slots is around 50%. Compared to our study, the probability of collision between two arbitrary vehicles is analyzed but not for particular vehicles. Also, it should be noted that a channel switching scheme is applied according to the IEEE 1609.2 protocol which limits the comparability of results.

7 CONCLUSIONS AND FUTURE WORK

Inter-vehicle communication must be reliable to ensure a benefit for passengers' safety. In this paper, we analyzed the current state-of-the-art MAC protocol draft IEEE P802.11p which will be used in VANETs. We studied the reasons for packet loss and identified multiple causes belonging to both protocol and signal propagation issues. By an analytical discussion we pointed out the most severe ones. Afterwards, in a simulation study we have conducted a quantitative analysis and evaluated the problem in dependence of particular parameters, i.e. beacon rate and packet size. The design of the scenario that we use in the simulation was guided by a concrete application background: An emergency vehicle that approaches a traffic jam. Our special interest in the study is on the communication between the emergency vehicle, e.g. an emergency vehicle and the vehicles at the upstream of the traffic jam, i.e. the vehicles with which the emergency vehicle gets in contact first.

Interference of other vehicles has been found to be the main reason for packet loss in our study. In situations where there is a high message density the effective reliable transmission range is reduced by up to 90%. We have also shown that the transition between stable communication to high packet loss occurs at particular slight increases of the offered load. The significance of this problem is obvious: A reduction of transmission range, especially from an emergency vehicle results in a degradation of application performance. The lead time for a warning to driver is strongly reduced and hence is the benefit of such an application.

Our future work will comprise a deeper analysis of the transition between stable and unstable communication. We will therefore also consider other scenarios on the one hand. On the other hand, we will look at heterogeneous communication setting, e.g. multi-hop, multi-channel communication with different transceiver characteristics. In general, a better understanding of the parameters is needed to understand the situations where the channel conditions suddenly result in high packet error rates.

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