

Network Security

Chapter 5

Modification Check Values



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Motivation

- □ It is common practice in data communications to compute some kind of *error detection code* over messages, that enables the receiver to check if a message was altered during transmission
 - □ Examples: Parity, Bit-Interleaved Parity, Cyclic Redundancy Check (CRC)
- ☐ This leads to the wish of having a similar value that allows to check, if a message has been modified during transmission
- □ But it is a big difference, if we assume that the message will be altered by more or less random errors or *modified on purpose:*
 - ☐ If somebody wants to intentionally modify a message which is protected with a CRC value he can re-compute the CRC value after modification or modify the message in a way that it leads to the same CRC value
- □ So, a *modification check value* will have to fulfill some additional properties that will make it impossible for attackers to forge it
 - ☐ Two main categories of modification check values:
 - Modification Detection Code (MDC)
 - Message Authentication Code (MAC)





Cryptographic Hash Functions

- □ Definition: hash function
 - □ A *hash function* is a function *h* which has the following two properties:
 - Compression: h maps an input x of arbitrary finite bit length, to an output h(x) of fixed bit length n.
 - Ease of computation: Given h and x it is easy to compute h(x)
- □ Definition: *cryptographic hash function*
 - □ A *cryptographic hash function h* is a hash function which additionally satisfies among others the following properties:
 - Pre-image resistance: for essentially all pre-specified outputs y, it is computationally infeasible to find an x such that h(x) = y
 - 2^{nd} pre-image resistance: given x it is computationally infeasible to find any second input x' with $x \neq x'$ such that h(x) = h(x')
 - Collision resistance: it is computationally infeasible to find any pair (x, x') with $x \neq x'$ such that h(x) = h(x')
 - □ Cryptographic hash functions are used to compute modification detection codes (MDC)

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Message Authentication Codes (MAC)

- □ Definition: message authentication code
 - \square A message authentication code algorithm is a family of functions h_k parameterized by a secret key k with the following properties:
 - Compression: h_k maps an input x of arbitrary finite bitlength to an output $h_k(x)$ of fixed bitlength, called the MAC
 - Ease of computation: given k, x and a known function family h_k the value $h_k(x)$ is easy to compute
 - Computation-resistance: for every fixed, allowed, but unknown value of k, given zero or more text-MAC pairs $(x_i, h_k(x_i))$ it is computationally infeasible to compute a text-MAC pair $(x, h_k(x))$ for any new input $x \neq x_i$
 - \square Please note that *computation-resistance* implies the property of *key non-recovery*, that is *k* can not be recovered from pairs $(x_i, h_k(x_i))$, but computation resistance can not be deduced from key non-recovery, as the key *k* need not always to be recovered to forge new MACs





A Simple Attack Against an Insecure MAC

- □ For illustrative purposes, consider the following MAC definition:
 - □ Input: message $m = (x_1, x_2, ..., x_n)$ with x_i being 64-bit values, and key k
 - \square Compute $\Delta(m) := x_1 \oplus x_2 \oplus ... \oplus x_n$ with \oplus denoting bitwise exclusive-or
 - \square Output: MAC $C_k(m) := E_k(\Delta(m))$ with $E_k(x)$ denoting DES encryption
- □ The key length is 56 bit and the MAC length is 64 bit, so we would expect an effort of about 2⁵⁵ operations to obtain the key k and break the MAC (= being able to forge messages).
- □ Unfortunately the MAC definition is insecure:
 - \square Assume an attacker Eve who wants to forge messages exchanged between Alice and Bob obtains a message $(m, C_k(m))$ which has been "protected" by Alice using the secret key k shared with Bob
 - □ Eve can construct a message m' that yields the same MAC:
 - Let y_1 , y_2 , ..., y_{n-1} be arbitrary 64-bit values
 - Define $y_n := y_1 \oplus y_2 \oplus ... \oplus y_{n-1} \oplus \Delta(m)$, and m' := $(y_1, y_2, ..., y_n)$
 - When Bob receives $(m', C_k(m))$ from Eve pretending to be Alice he will accept it as being originated by Alice as $C_k(m)$ is a valid MAC for m'

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Applications to Cryptographic Hash Functions and MACs

- □ Principal application which led original design:
 - Message integrity:
 - An MDC represents a *digital fingerprint*, which can be signed with a private key, e.g. using the RSA or ElGamal algorithm, and it is not possible to construct two messages with the same fingerprint so that a given signed fingerprint can not be re-used by an attacker
 - A MAC over a message *m* directly certifies that the sender of the message possesses the secret key *k* and the message could not have been modified without knowledge of that key
- □ Other applications, which require some caution:
 - Confirmation of knowledge
 - Key derivation
 - □ Pseudo-random number generation
- □ Depending on the application, further requirements may have to be met:
 - \Box Partial pre-image resistance: even if only a part of the input, say t bit, is unknown, it should take on the average 2^{t-1} operations to find these bits





Attacks Based on the Birthday Phenomenon (1)

- □ The Birthday Phenomenon:
 - □ How many people need to be in a room such that the possibility that there are at least two people with the same birthday is greater than 0.5?
 - □ For simplicity, we don't care about February, 29, and assume that each birthday is equally likely
- □ Define P(n, k) := Pr[at least one duplicate in k items, with each item able to take on of n equally likely values between 1 and n]
- \Box Define Q(n, k) := Pr[no duplicate in k items, each item between 1 and n]
 - \square We are able to choose the first item from n possible values, the second item from n 1 possible values, etc.
 - □ Hence, the number of different ways to choose k items out of n values with no duplicates is: $N = n \times (n 1) \times ... \times (n k + 1) = n! / (n k)!$
 - \Box The number of different ways to choose k items out of n values, with or without duplicates is: n^k
 - □ So, $Q(n, k) = N / n^k = n! / ((n k)! \times n^k)$

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Attacks Based on the Birthday Phenomenon (2)

We have:
$$P(n,k) = 1 - Q(n,k) = 1 - \frac{n!}{(n-k)! \times n^k}$$

$$= 1 - \frac{n \times (n-1) \times ... \times (n-k+1)}{n^k}$$

$$= 1 - \left[\frac{n-1}{n} \times \frac{n-2}{n} \times ... \times \frac{n-k+1}{n} \right]$$

$$= 1 - \left[\left(1 - \frac{1}{n} \right) \times \left(1 - \frac{2}{n} \right) \times ... \times \left(1 - \frac{k-1}{n} \right) \right]$$

□ We will use the following inequality: $(1 - x) \le e^{-x}$ for all $x \ge 0$

So:
$$P(n,k) > 1 - \left[\left(e^{-\frac{1}{n}} \right) \times \left(e^{-\frac{2}{n}} \right) \times ... \times \left(e^{-(k-1)/n} \right) \right]$$

$$= 1 - e^{-\left[\left(\frac{1}{n} \right) + \left(\frac{2}{n} \right) + ... + \left(k - \frac{1}{n} \right) \right]}$$

$$= 1 - e^{-k \times (k-1)/2n}$$





Attacks Based on the Birthday Phenomenon (3)

- □ In the last step, we used the equality: $1 + 2 + ... + (k 1) = (k^2 k) / 2$
 - ☐ Exercise: proof the above equality by induction
- Let's go back to our original question: how many people k have to be in one room such that there are at least two people with the same birthday (out of n = 365 possible) with probability ≥ 0.5 ?

$$\Box \text{ So, we want to solve: } \frac{1}{2} = 1 - e^{-k \times (k-1)/2n}$$

$$\Leftrightarrow 2 = e^{k \times (k-1)/2n}$$

$$\Leftrightarrow \ln(2) = \frac{k \times (k-1)}{2n}$$

 \Box For large k we can approximate $k \times (k-1)$ by k^2 , and we get:

$$k = \sqrt{2\ln(2)n} \approx 1.18\sqrt{n}$$

 \square For n = 365, we get k = 22.54 which is quite close to the correct answer 23



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Attacks Based on the Birthday Phenomenon (4)

- What does this have to do with MDCs?
- \square We have shown, that if there are n possible different values, the number k of values one needs to randomly choose in order to obtain at least one pair of identical values, is on the order of \sqrt{n}
- □ Now, consider the following attack [Yuv79a]:
 - □ Eve wants Alice to sign a message m1, Alice normally never would sign. Eve knows that Alice uses the function MDC1(m) to compute an MDC of m which has length r bit before she signs this MDC with her private key yielding her digital signature.
 - □ First, Eve produces her message m1. If she would now compute MDC1(m1) and then try to find a second harmless message m2 which leads to the same MDC her search effort in the average case would be on the order of $2^{(r-1)}$.





Attacks Based on the Birthday Phenomenon (5)

- As we learned from the birthday phenomenon, she will just have to produce about $\sqrt{2^r} = 2^{r/2}$ variations of each of the two messages such that the probability that she obtains two messages m1' and m2' with the same MDC is at least 0.5
- As she has to store the messages together with their MDCs in order to find a match, the memory requirement of her attack is on the order of $2^{r/2}$ and its computation time requirement is on the same order
- After she has found m1' and m2' with MDC1(m1') = MDC1(m2') she asks Alice to sign m2'. Eve can then take this signature and claim that Alice signed m1'.
- □ Attacks following this method are called birthday attacks
- □ Consider now, that Alice uses RSA with keys of length 2048 bit and a cryptographic hash function which produces MDCs of length 96 bit.
 - □ Eves average effort to produce two messages *m1* and *m2* as described above is on the order of 2⁴⁸, which is feasible today. Breaking RSA keys of length 2048 bit is far out of reach with today's algorithms and technology.

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Overview of Commonly Used MDCs

- □ Cryptographic Hash Functions for creating MDCs:
 - Message Digest 5 (MD5):
 - Invented by R. Rivest
 - Successor to MD4
 - □ Secure Hash Algorithm 1 (SHA-1):
 - Invented by the National Security Agency (NSA)
 - The design was inspired by MD4
 - □ Secure Hash Algorithm 2 (SHA-2 also SHA-256 & SHA-512)
 - Also designed by the National Security Agency (NSA)
 - Also Merkle-Dåmgard-Contruction
 - Larger block size & more complex round function
 - □ Secure Hash Algorithm 3 (SHA-3, Keccak)
 - Winner of an open competition
 - So-called Sponge construction
 - Much more versatile than previous hash functions



Overview of Commonly Used MACs

- Message Authentication Codes (MACs):
 - □ DES-CBC-MAC:
 - Uses the Data Encryption Standard in Cipher Block Chaining mode
 - In general, the CBC-MAC construction can be used with any block cipher
 - MACs constructed from MDCs:
 - This very common approach raises some cryptographic concern as it makes some implicit but unverified assumptions about the properties of the MDC
- □ Authenticated Encryption with Associated Data (AEAD)
 - □ Galois-Counter-Mode (GCM)
 - ☐ Uses a block-cipher to encrypt and authenticate data
 - □ Fast in networking applications
 - □ Sponge Wrap
 - □ Uses a SHA-3 like hash function to encrypt and authenticate data

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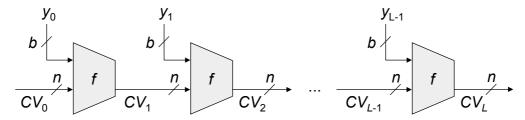
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Common Structure of Cryptographic Hash Functions (1)

- □ Like many of today's block ciphers follow the general structure of a Feistel network, many cryptographic hash functions in use today follow a common structure, the so-called Merkle-Dåmgard structure:
 - Let y be an arbitrary message. Usually, the length of the message is appended to the message and it is padded to a multiple of some block size b. Let $(y_0, y_1, ..., y_{L-1})$ denote the resulting message consisting of L blocks of size b
 - □ The general structure is as depicted below:



- \square CV is a chaining value, with $CV_0 := IV$ and $MDC(y) := CV_L$
- \Box f is a specific compression function which compresses (n + b) bit to n bit



Common Structure of Cryptographic Hash Functions (2)

☐ The hash function *H* can be summarized as follows:

$$CV_0 = IV$$
 = initial n-bit value
 $CV_i = f(CV_{i-1}, y_{i-1})$ $1 \le i \le L$
 $H(y) = CV_i$

- □ It has been shown [Mer89a] that if the compression function *f* is collision resistant, then the resulting iterated hash function *H* is also collision resistant.
- □ Cryptanalysis of cryptographic hash functions thus concentrates on the internal structure of the function *f* and finding efficient techniques to produce collisions for a single execution of *f*
- □ Primarily motivated by birthday attacks, a common minimum suggestion for *n*, the bitlength of the hash value, is 160 bit, as this implies an effort of order 2⁸⁰ to attack which is considered infeasible today



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The Message Digest 5 (1)

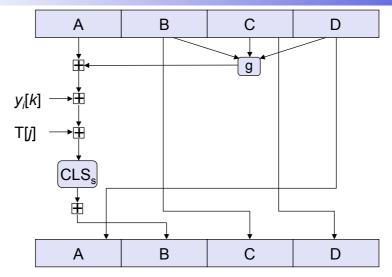
- □ MD5 follows the common structure outlined before (e.g. [Riv92a]):
 - □ The message y is padded by a "1" followed by 0 to 511 "0" bits such that the length of the resulting message is congruent 448 modulo 512
 - ☐ The length of the original message is added as a 64-bit value resulting in a message that has length which is an integer multiple of 512 bit
 - \Box This new message is divided into blocks of length b = 512 bit
 - \Box The length of the chaining value is n = 128 bit
 - The chaining value is "structured" as four 32-bit registers A, B, C, D
 - Initialization: A := $0x \ 01 \ 23 \ 45 \ 67$ B := $0x \ 89 \ AB \ CD$ EF

 C := $0x \ FE \ DC \ BA \ 98$ D := $0x \ 76 \ 54 \ 32 \ 10$
 - \Box Each block of the message y_i is processed with the chaining value CV_i with the function f which is internally realized by 4 rounds of 16 steps each
 - Each round uses a similar structure and makes use of a table T containing 64 constant values of 32-bit each,
 - Each of the four rounds uses a specific logical function g





The Message Digest 5 (2) - Structure of One Step



- \Box The function g is one of four different logical functions
- \Box $y_i[k]$ denotes the k^{th} 32-bit word of message block i
- \Box T[j] is the jth entry of table t with j incremented modulo 64 every step
- \Box CLS_s denotes cyclical left shift by s bits with s following some schedule

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The Message Digest 5 (3)

- ☐ The MD5-MDC over a message is the content of the chaining value CV after processing the final message block
- □ Security of MD5:
 - □ Every bit of the 128-bit hash code is a function of every input bit
 - □ In 1996 H. Dobbertin published an attack that allows to generate a collision for the function f (realized by the 64 steps described above).
 - □ Took until 2004 before a first collision was found [WLYF04]
 - □ By now it is possible to generate collisions within seconds on general purpose hardware [Kl06]
 - □ MD5 must not be considered if collision resistance is required!
 - This is often the case!
 - Examples: Two postscripts with different texts but equal hashes [LD05], Certificates one for an assured domain and one for an own certificate authority [LWW05], Any message that is extendable [KK06]
 - □ The resistance against preimage attacks is with 2^{123,4} calculations still o.k [SA09]





The Secure Hash Algorithm SHA-1 (1)

- □ Also SHA-1 follows the common structure as described above:
 - □ SHA-1 works on 512-bit blocks and produces a 160-bit hash value
 - □ As its design was also inspired by the MD4 algorithm, its initialization is basically the same like that of MD5:
 - The data is padded, a length field is added and the resulting message is processed as blocks of length 512 bit
 - The chaining value is structured as five 32-bit registers A, B, C, D, E
 - Intialization: A = 0x 67 45 23 01 B = 0x EF CD AB 89

 C = 0x 98 BA DC FE D = 0x 10 32 54 76

 E = 0x C3 D2 E1 F0
 - The values are stored in big-endian format
 - \square Each block y_i of the message is processed together with CV_i in a module realizing the compression function f in four rounds of 20 steps each.
 - The rounds have a similar structure but each round uses a different primitive logical function f_1 , f_2 , f_3 , f_4
 - Each step makes use of a fixed additive constant K_t , which remains unchanged during one round

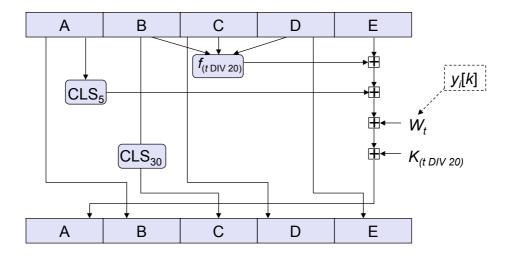
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The Secure Hash Algorithm SHA-1 (2) - One Step



- □ $t \in \{0, ..., 15\}$ $\Rightarrow W_t := y_i[t]$ $t \in \{16, ..., 79\}$ $\Rightarrow W_t := CLS_1(W_{t-16} \oplus W_{t-14} \oplus W_{t-8} \oplus W_{t-3})$
- □ After step 79 each register A, B, C, D, E is added modulo 2³² with the value of the corresponding register before step 0 to compute CV_{i+1}



The Secure Hash Algorithm SHA-1 (3)

- □ The SHA-1-MDC over a message is the content of the chaining value CV after processing the final message block
- □ Comparison between SHA-1 and MD5:
 - □ Speed: SHA-1 is about 25% slower than MD5 (CV is about 25% bigger)
 - □ Simplicity and compactness: both algorithms are simple to describe and implement and do not require large programs or substitution tables
- □ Security of SHA-1:
 - □ As SHA-1 produces MDCs of length 160 bit, it is expected to offer better security against brute-force and birthday attacks than MD5
 - □ In February 2005, X. Wang et. al. published an attack that allows to find a collision with an effort of 2⁶⁹ that was improved to 2⁶³ in the months to follow and published in [WYY05a]
 - □ Research continues (e.g. [Man11]) however no practical collisions are known yet
 - □ Some inherent weaknesses of Merkle-Dåmgard constructions, e.g. [KK06], apply



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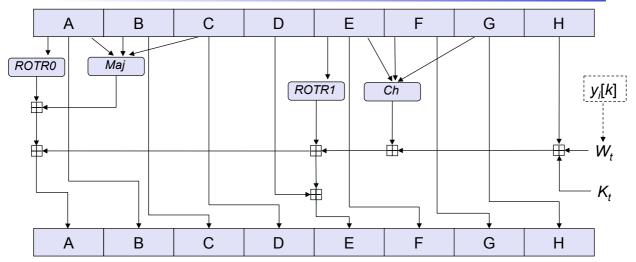
The Secure Hash Algorithm SHA-2 family (1)

- □ In 2001, the NIST published a new standard FIPS PUB 180-2 containing new variants, called *SHA-256*, *SHA-384*, and *SHA-512* [NIST02] with 256, 384, and 512 bits output
 - □ SHA-224 was added in 2004
- □ SHA-224 and SHA-384 are truncated versions of SHA-256 and SHA-512 with different initialization values
- □ SHA-2 uses also Merkle-Dåmgard construction with a block size of 512 bits (SHA-256) and 1024 bits (SHA-512)
- □ The internal state is organized in 8 registers of 32 bit (SHA-256) and 64 bit (SHA-512)
- □ 64 rounds (SHA-256) or 80 rounds (SHA-512)





The Secure Hash Algorithm SHA-2 (2) - One Step



- □ $t \in \{0, ..., 15\}$ $\Rightarrow W_t := y_i[t]$ $t \in \{16, ..., r\}$ $\Rightarrow W_t := W_{t-16} \oplus \sigma_0(W_{t-15}) \oplus W_{t-7} \oplus \sigma_1(W_{t-2})$
- □ K_t is the fractional part of the cube root of the tth prime number
- \Box The ROTR and σ functions XOR different shifts of the input value
- ☐ Ch and Maj are logic combinations of the input values

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The Secure Hash Algorithm SHA-2 family (3)

- □ All-in-all design very similar to SHA-1
- □ Due to size and more complicated round functions about 30-50 percent slower than SHA-1 (varies for 64-bit and 32-bit systems!)
- □ Security discussion:
 - □ Already in 2004 it was discovered that a simplified version of the algorithm (with XOR instead of addition and symmetric constants) generates highly correlated output [GH04]
 - □ For round-reduced versions of SHA-2 pre-image attacks exists that are faster than brute-force, but highly impractical (e.g. [AGM09])
 - □ Even though size and complexity do not allow for attacks currently the situation is uncomfortable
 - □ Led to the need for a new SHA-3 standard





The Secure Hash Algorithm SHA-3 (1)

- □ Security concerns about SHA-1 and SHA-2 led to an open competition by the NIST which started in 2007
- 5 finalists without notable weaknesses
- □ October 2012: NIST announces Keccak to become SHA-3
- 4 European inventors
 - ☐ One is Joan Daemen, who co-designed AES
- □ SHA-3 is very fast, especially in hardware
- Very well documented and analyzable



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The Secure Hash Algorithm SHA-3 (2)

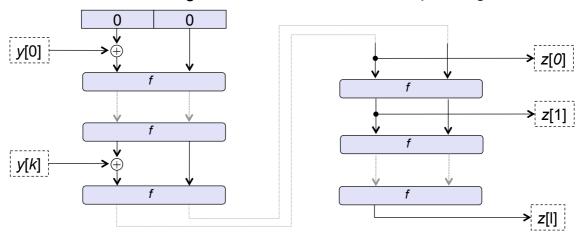
- □ Keccak is based on a so-called *sponge* construction instead of the previous Merkle-Dåmgard constructs
 - Versatile design to implement nearly all symmetric cryptographic functions (however only the hashing is standardized)
- Usually works in 2 phases
 - □ "Absorbing" information of arbitrary length into 1600 bit of internal state
 - □ "Squeezing" (i.e. outputting) hashed-data of arbitrary length (only 224, 256, 384, and 512 bits standardized)
- ☐ The internal state is organized in 2 registers
 - □ One register of the size r is "public": input data is XORed to it in absorbing phase, output data is derived from it in squeezing phase
 - ☐ The register of size c is "private"; in- and output does not affect it directly
 - \Box In Keccak the size of the registers is 1600 bits (i.e. c + r = 1600 bits)
 - ☐ The size of c is twice as large as the output block length
 - □ Both registers are initialized with "0"
- ☐ The hashing occurs due a function *f* that reads the registers and outputs a new state



SHA-3 (3) - Sponge Construction

Phase 1: Absorbing

Phase 2: Squeezing



- □ Absorbing phase: k + 1 input blocks of size *r* are mixed to the state
- □ Squeezing phase: I + 1 output blocks of size *r* are generated (often only one)
- □ The last input and output block may be padded or cropped



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SHA-3 (4) – The function f

- □ Obviously, the security of a sponge construction depends on the security of *f*
- \Box In Keccak uses 24 rounds of 5 different sub-functions (θ, ρ, π, χ, ι) to implement *f*
- \square Sub-functions operate on a "three-dimensional" bit array a[5][5][w] with w is chosen in correspondence with the size r and c
- □ All operations are performed over GF(2ⁿ)
- □ Each of the sub-functions ensures certain properties, e.g.,
 - \Box Fast diffusion of changed bits throughout the state (θ)
 - \Box Long term diffusion (π)
 - \Box Ensuring that f becomes non-linear (χ)
 - □ Round-specific substitution (ι)
- θ is executed first to ensure that secret and public state mix quickly before applying other sub-functions



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- □ Currently no notable weaknesses exist in SHA-3
 - ☐ Best known pre-image attacks work with up to 8-round function f only
 - □ To protect against internal collisions 11 rounds are supposed to be enough
- ☐ In comparison to SHA-1 and SHA-2 additional security properties are guaranteed as internal state is never made public
 - □ Prevents attacks were arbitrary information is added to a valid secret message
 - □ Provides Chosen Target Forced Prefix (CTFP) preimage resistance [KK06], i.e. it is not possible to construct a message m = P || S, where P is fixed and S is arbitrary chosen, s.t., H(m) = y
 - For Merkle-Dåmgard constructions this is only as hard as collision resistance
 - □ No fast way to generate multi-collisions quickly [Jou04]



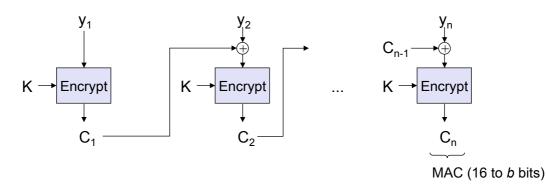
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Cipher Block Chaining Message Authentication Codes (1)

□ A CBC-MAC is computed by encrypting a message in CBC Mode and taking the last ciphertext block or a part of it as the MAC:



- ☐ This MAC needs not to be signed any further, as it has already been produced using a shared secret K
 - □ However, it is not possible to say who exactly has created a MAC, as everybody (sender, receiver) who knows the secret key K can do so
- ☐ This scheme works with any block cipher (DES, IDEA, ...)



Cipher Block Chaining Message Authentication Codes (2)

- □ Security of CBC-MAC:
 - \square As an attacker does not know K, a birthday attack is much more difficult to launch (if not impossible)
 - □ Attacking a CBC-MAC requires known (message, MAC) pairs
 - ☐ This allows for shorter MACs
 - □ A CBC-MAC can optionally be strengthened by agreeing upon a second key K' ≠ K and performing a triple encryption on the *last* block:

MAC :=
$$E(K, D(K', E(K, C_{n-1})))$$

- ☐ This doubles the key space while adding only little computing effort
- □ The construction is not secure, when message lengths vary!
- ☐ There have also been some proposals to create MDCs from symmetric block ciphers with setting the key to a fixed (known) value:
 - □ Because of the relatively small block size of 64 bit of most common block ciphers, these schemes offer insufficient security against birthday attacks
 - ☐ As symmetric block ciphers require more computing effort than dedicated cryptographic hash functions, these schemes are relatively slow

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Constructing a MAC from an MDC

- □ Reason to construct MACs from MDCs Cryptographic hash functions generally execute faster than symmetric block ciphers
- □ Basic idea: "mix" a secret key *K* with the input and compute an MDC
- ☐ The assumption that an attacker needs to know *K* to produce a valid MAC nevertheless raises some cryptographic concern (at least for Merkle-Dåmgard hash functions):
 - \Box The construction H(K, m) is not secure (see note 9.64 in [Men97a])
 - \Box The construction H(m, K) is not secure (see note 9.65 in [Men97a])
 - □ The construction H(K, p, m, K) with p denoting an additional padding field does not offer sufficient security (see note 9.66 in [Men97a])
- □ The most used construction is: $H(K \oplus p_1, H(K \oplus p_2, m))$
 - ☐ Key is padded with 0's to fill up the key to one input block of the cryptographic hash function
 - \square Two different constant patterns p_1 and p_2 XORed to the padded key
 - ☐ This scheme seems to be secure (see note 9.67 in [Men97a])
 - □ It has been standardized in RFC 2104 [Kra97a] and is called HMAC





Authenticated Encryption with Associated Data (AEAD) Modes

- □ Usually it data is not authenticated or encrypted but encrypted AND authenticated (blocks P₀...P_n)
- □ Sometimes additional data needs to be authenticated (e.g. packet headers), in the following denoted A₀...A_m
- □ Led to the development of AEAD modes of operation
- Examples are
 - □ Galois/Counter Mode (GCM)
 - □ Counter with CBC-MAC (CCM)
 - □ Offset Codebook Mode (OCM)
 - □ SpongeWrap a method to use Keccak for AEAD operation



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Galois/Counter Mode (GCM) [MV04]

- Popular AEAD mode
- □ NIST standard, part of IEEE 802.1AE, IPsec, TLS, SSH etc.
- Free of patents
- Mainly used in networking applications for its high speed
 - □ Extremely efficient in hardware
 - □ Processor support on newer x86 CPUs
 - ☐ Time intensive tasks may be pre-calculated and parallelized
 - No need for padding
- ☐ Uses conventional block cipher with 128 bit block size (e.g. AES)
- □ Calculates MAC by multiplications and additions in $GF(2^{128})$ over the irreducible polynomial $x^{128}+x^7+x^2+x+1$
- □ Requires only n + 1 block cipher calls per packet (n = length of encrypted and authenticated data)





Small Excursion: Calculation Operations in GF(2ⁿ) (I)

- \Box Galois field arithmetic defined over terms (e.g. $a_3x^3+a_2x^2+a_1x+a_0$)
- \Box Coefficients are elements of the field \mathbb{Z}_2 , i.e. either 0 or 1
- \Box Often only the coefficients are stored, so $x^4+x^2+x^1$ becomes 0x16
- □ Addition in GF(2ⁿ) is simply the addition of terms
 - ☐ As equal coefficients map to 0, just XOR the values!
 - □ Extreme fast in hard- and software!
- \square Multiplication in GF(2ⁿ) is polynomial multiplication and a subsequent modulo division by an irreducible polynomial of degree n
 - □ Irreducible polynomials are not divisible without remainder by any other polynomial except "1", somewhat like prime numbers in GF
 - □ Can be implemented by a series of shift and XOR operations
 - □ Very fast in hardware or on newer Intel CPUs (with CLMUL Operations)
 - ☐ Modulo operation could be performed like in a regular CRC calculation

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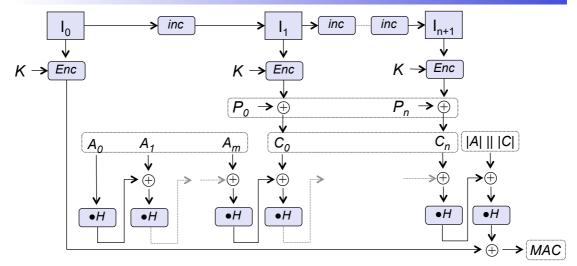
Small Excursion: Calculation Operations in GF(2ⁿ) (II)

- □ Addition Example:
 - □ $x^3+x+1 \oplus x^2+x = x^3+x^2+1 \leftrightarrow 0x0B \text{ XOR } 0x06 = 0x0D$
- ☐ Multiplication Example (over x⁴+x+1):
 - $x^3+x+1 \bullet x^2+x = x^5+x^3+x^2 \oplus x^4+x^2+x \text{ MOD } x^4+x+1 = x^5+x^4+x^3+x \text{ MOD } x^4+x+1 = x^3+x^2+x+1$
- □ Elements of GF(2ⁿ) (except for 1 and the irreducible polynomial) may be a generator for the group
- Other concepts of finite groups also apply, e.g., every element has a multiplicative inverse element
 - ☐ May be found by an adapted version of the Extended Euclidian Algorithm





Galois/Counter Mode (GCM) (2)



- \Box I₀ is initialized with the IV and a padding, or a hash of the IV (if it is not 96 bits)
- •H is GF(2¹²⁸) multiplication with H = E(K, 0¹²⁸)
- □ Input blocks A_m and P_n are padded to 128 bits
- □ A_m & C_n are truncated to original size before output
- The last authentication uses 64 bit encoded bit lengths of A and C



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Galois/Counter Mode (GCM) (3) - Security

- ☐ Fast mode, but needs some care:
 - □ Proven to be secure (under preconditions, e.g. used block cipher is not distinguishable from random numbers), but construction is fragile:
 - □ IVs MUST NOT be reused, otherwise streams can be XORed and the XOR of the streams can be recovered, may lead to an instant recovery of the secret value "H"
 - \square H has a possible weak value 0¹²⁸, in this case authentication will not work and if IVs of a length other than 96 bits are used, C_0 will always be the same!
 - □ Some other keys generate hash keys with a low order, which must be avoided… [Saa11]
 - □ Successful forgery attempts may leak information about H, thus short MAC lengths MUST be avoided or risk-managed [Dwo07]
 - □ The achieved security is only 2^{t-k} not 2^t (for MAC length t and number of blocks k) as blocks may be modified to make to only change parts of the MAC [Fer05]



Recommended SpongeWrap

- □ By using SHA-3 it is also possible to implement an AEAD construct [BDP11a]
- □ Construction is very simple and comparably easy to understand
- □ Uses so-called *duplex mode* for sponge functions, where data write and read operations are interleaved
- □ Does not require padding of data to a specific block size
- Cannot be parallelized

□ Security:

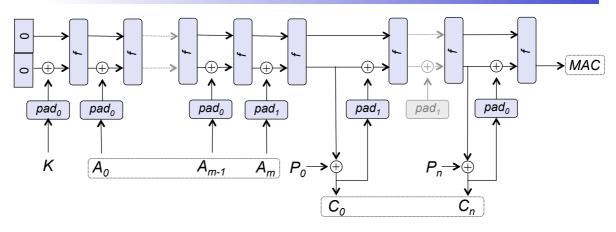
- □ Not widely used yet, but several aspects proven to be as secure as SHA-3 in standardized mode
- ☐ If the authenticated data A does not contain a unique IV the same key stream will be generated (allows the recovery of one block of XORed encrypted data)

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SpongeWrap - Operation



- □ Simplified version, where key and MAC length must be smaller than block-size
- □ Paddings with a single "0" or "1" bit ensure that different data blocks types are well separated





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