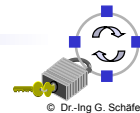


# Network Security

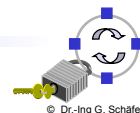
## Chapter 5

### Modification Check Values

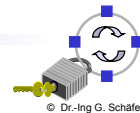


## Motivation

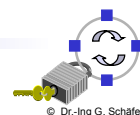
- ❑ It is common practice in data communications to compute some kind of *error detection code* over messages, that enables the receiver to check if a message was altered during transmission
  - ❑ Examples: Parity, Bit-Interleaved Parity, Cyclic Redundancy Check (CRC)
- ❑ This leads to the wish of having a similar value that allows to check, if a message has been modified during transmission
- ❑ But it is a big difference, if we assume that the message will be altered by more or less random errors or *modified on purpose*:
  - ❑ If somebody wants to intentionally modify a message which is protected with a CRC value he can re-compute the CRC value after modification or modify the message in a way that it leads to the same CRC value
- ❑ So, a *modification check value* will have to fulfill some additional properties that will make it impossible for attackers to forge it
  - ❑ Two main categories of modification check values:
    - *Modification Detection Code (MDC)*
    - *Message Authentication Code (MAC)*



- Definition: *hash function*
  - A *hash function* is a function  $h$  which has the following two properties:
    - *Compression*:  $h$  maps an input  $x$  of arbitrary finite bit length, to an output  $h(x)$  of fixed bit length  $n$ .
    - *Ease of computation*: Given  $h$  and  $x$  it is easy to compute  $h(x)$
- Definition: *cryptographic hash function*
  - A *cryptographic hash function*  $h$  is a hash function which additionally satisfies among others the following properties:
    - *Pre-image resistance*: for essentially all pre-specified outputs  $y$ , it is computationally infeasible to find an  $x$  such that  $h(x) = y$
    - *2<sup>nd</sup> pre-image resistance*: given  $x$  it is computationally infeasible to find any second input  $x'$  with  $x \neq x'$  such that  $h(x) = h(x')$
    - *Collision resistance*: it is computationally infeasible to find any pair  $(x, x')$  with  $x \neq x'$  such that  $h(x) = h(x')$
  - *Cryptographic hash functions* are used to compute *modification detection codes (MDC)*

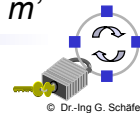


- Definition: *message authentication code*
  - A *message authentication code algorithm* is a family of functions  $h_k$  parameterized by a secret key  $k$  with the following properties:
    - *Compression*:  $h_k$  maps an input  $x$  of arbitrary finite bitlength to an output  $h_k(x)$  of fixed bitlength, called the MAC
    - *Ease of computation*: given  $k$ ,  $x$  and a known function family  $h_k$  the value  $h_k(x)$  is easy to compute
    - *Computation-resistance*: for every fixed, allowed, but unknown value of  $k$ , given zero or more text-MAC pairs  $(x_i, h_k(x_i))$  it is computationally infeasible to compute a text-MAC pair  $(x, h_k(x))$  for any new input  $x \neq x_i$
  - Please note that *computation-resistance* implies the property of *key non-recovery*, that is  $k$  can not be recovered from pairs  $(x_i, h_k(x_i))$ , but computation resistance can not be deduced from key non-recovery, as the key  $k$  need not always to be recovered to forge new MACs



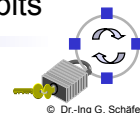
## A Simple Attack Against an Insecure MAC

- For illustrative purposes, consider the following MAC definition:
  - Input: message  $m = (x_1, x_2, \dots, x_n)$  with  $x_i$  being 64-bit values, and key  $k$
  - Compute  $\Delta(m) := x_1 \oplus x_2 \oplus \dots \oplus x_n$  with  $\oplus$  denoting bitwise exclusive-or
  - Output: MAC  $C_k(m) := E_k(\Delta(m))$  with  $E_k(x)$  denoting DES encryption
- The key length is 56 bit and the MAC length is 64 bit, so we would expect an effort of about  $2^{55}$  operations to obtain the key  $k$  and break the MAC (= being able to forge messages).
- Unfortunately the MAC definition is insecure:
  - Assume an attacker Eve who wants to forge messages exchanged between Alice and Bob obtains a message  $(m, C_k(m))$  which has been “protected” by Alice using the secret key  $k$  shared with Bob
  - Eve can construct a message  $m'$  that yields the same MAC:
    - Let  $y_1, y_2, \dots, y_{n-1}$  be arbitrary 64-bit values
    - Define  $y_n := y_1 \oplus y_2 \oplus \dots \oplus y_{n-1} \oplus \Delta(m)$ , and  $m' := (y_1, y_2, \dots, y_n)$
    - When Bob receives  $(m', C_k(m))$  from Eve pretending to be Alice he will accept it as being originated by Alice as  $C_k(m)$  is a valid MAC for  $m'$

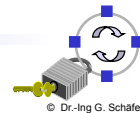


## Applications to Cryptographic Hash Functions and MACs

- Principal application which led original design:
  - Message integrity:
    - An MDC represents a *digital fingerprint*, which can be signed with a private key, e.g. using the RSA or ElGamal algorithm, and it is not possible to construct two messages with the same fingerprint so that a given signed fingerprint can not be re-used by an attacker
    - A MAC over a message  $m$  directly certifies that the sender of the message possesses the secret key  $k$  and the message could not have been modified without knowledge of that key
- Other applications, which require some caution:
  - Confirmation of knowledge
  - Key derivation
  - Pseudo-random number generation
- Depending on the application, further requirements may have to be met:
  - *Partial pre-image resistance*: even if only a part of the input, say  $t$  bit, is unknown, it should take on the average  $2^{t-1}$  operations to find these bits



- The Birthday Phenomenon:
  - How many people need to be in a room such that the possibility that there are at least two people with the same birthday is greater than 0.5?
  - For simplicity, we don't care about February, 29, and assume that each birthday is equally likely
- Define  $P(n, k) := \Pr[\text{at least one duplicate in } k \text{ items, with each item able to take on } n \text{ equally likely values between 1 and } n]$
- Define  $Q(n, k) := \Pr[\text{no duplicate in } k \text{ items, each item between 1 and } n]$ 
  - We are able to choose the first item from  $n$  possible values, the second item from  $n - 1$  possible values, etc.
  - Hence, the number of different ways to choose  $k$  items out of  $n$  values with no duplicates is:  $N = n \times (n - 1) \times \dots \times (n - k + 1) = n! / (n - k)!$
  - The number of different ways to choose  $k$  items out of  $n$  values, with or without duplicates is:  $n^k$
  - So,  $Q(n, k) = N / n^k = n! / ((n - k)! \times n^k)$



- We have: 
$$P(n, k) = 1 - Q(n, k) = 1 - \frac{n!}{(n - k)! \times n^k}$$

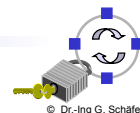
$$= 1 - \frac{n \times (n - 1) \times \dots \times (n - k + 1)}{n^k}$$

$$= 1 - \left[ \frac{n - 1}{n} \times \frac{n - 2}{n} \times \dots \times \frac{n - k + 1}{n} \right]$$

$$= 1 - \left[ \left( 1 - \frac{1}{n} \right) \times \left( 1 - \frac{2}{n} \right) \times \dots \times \left( 1 - \frac{k - 1}{n} \right) \right]$$
- We will use the following inequality:  $(1 - x) \leq e^{-x}$  for all  $x \geq 0$
- So: 
$$P(n, k) > 1 - \left[ \left( e^{-1/n} \right) \times \left( e^{-2/n} \right) \times \dots \times \left( e^{-(k-1)/n} \right) \right]$$

$$= 1 - e^{-\left[ \frac{1}{n} + \frac{2}{n} + \dots + \frac{k-1}{n} \right]}$$

$$= 1 - e^{-k \times (k-1) / 2n}$$



## Attacks Based on the Birthday Phenomenon (3)

- In the last step, we used the equality:  $1 + 2 + \dots + (k - 1) = (k^2 - k) / 2$ 
  - Exercise: proof the above equality by induction
- Let's go back to our original question: how many people  $k$  have to be in one room such that there are at least two people with the same birthday (out of  $n = 365$  possible) with probability  $\geq 0,5$ ?

□ So, we want to solve:  $\frac{1}{2} = 1 - e^{-k \times (k-1) / 2n}$

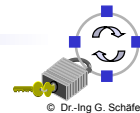
$$\Leftrightarrow 2 = e^{k \times (k-1) / 2n}$$

$$\Leftrightarrow \ln(2) = \frac{k \times (k-1)}{2n}$$

- For large  $k$  we can approximate  $k \times (k - 1)$  by  $k^2$ , and we get:

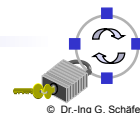
$$k = \sqrt{2 \ln(2)n} \approx 1.18\sqrt{n}$$

- For  $n = 365$ , we get  $k = 22.54$  which is quite close to the correct answer 23

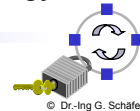


## Attacks Based on the Birthday Phenomenon (4)

- What does this have to do with MDCs?
- We have shown, that if there are  $n$  possible different values, the number  $k$  of values one needs to randomly choose in order to obtain at least one pair of identical values, is on the order of  $\sqrt{n}$
- Now, consider the following attack [Yuv79a]:
  - Eve wants Alice to sign a message  $m1$ , Alice normally never would sign. Eve knows that Alice uses the function  $MDC1(m)$  to compute an MDC of  $m$  which has length  $r$  bit before she signs this MDC with her private key yielding her digital signature.
  - First, Eve produces her message  $m1$ . If she would now compute  $MDC1(m1)$  and then try to find a second harmless message  $m2$  which leads to the same MDC her search effort in the average case would be on the order of  $2^{(r-1)}$ .
  - Instead she takes any harmless message  $m2$  and starts producing variations  $m1'$  and  $m2'$  of the two messages, e.g. by adding <space> <backspace> combinations or varying with semantically identical words.



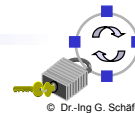
- ❑ As we learned from the birthday phenomenon, she will just have to produce about  $\sqrt{2^r} = 2^{r/2}$  variations of each of the two messages such that the probability that she obtains two messages  $m1'$  and  $m2'$  with the same MDC is at least 0.5
- ❑ As she has to store the messages together with their MDCs in order to find a match, the memory requirement of her attack is on the order of  $2^{r/2}$  and its computation time requirement is on the same order
- ❑ After she has found  $m1'$  and  $m2'$  with  $MDC1(m1') = MDC1(m2')$  she asks Alice to sign  $m2'$ . Eve can then take this signature and claim that Alice signed  $m1'$ .
- ❑ Attacks following this method are called *birthday attacks*
- ❑ Consider now, that Alice uses RSA with keys of length 2048 bit and a cryptographic hash function which produces MDCs of length 96 bit.
  - ❑ Eves average effort to produce two messages  $m1'$  and  $m2'$  as described above is on the order of  $2^{48}$ , which is feasible today. Breaking RSA keys of length 2048 bit is far out of reach with today's algorithms and technology.



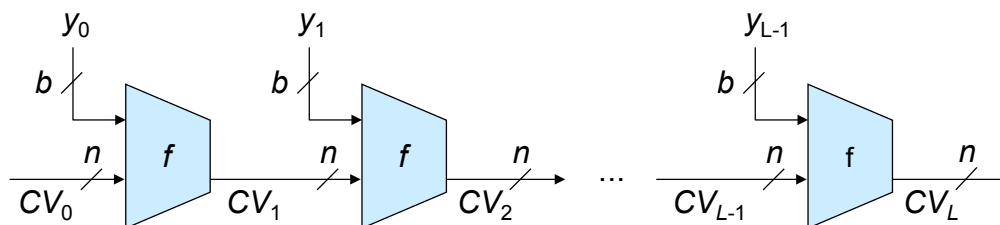
- ❑ Cryptographic Hash Functions for creating MDCs:
  - ❑ Message Digest 5 (MD5):
    - Invented by R. Rivest
    - Successor to MD4
  - ❑ Secure Hash Algorithm 1 (SHA-1):
    - Invented by the National Security Agency (NSA)
    - The design was inspired by MD4
  - ❑ Secure Hash Algorithm 2 (SHA-2 also SHA-256 & SHA-512)
    - Also designed by the National Security Agency (NSA)
    - Also Merkle-Damgård-Construction
    - Larger block size & more complex round function
  - ❑ Secure Hash Algorithm 3 (SHA-3, Keccak)
    - Winner of an open competition
    - So-called Sponge construction
    - Much more versatile than previous hash functions



- ❑ Message Authentication Codes (MACs):
  - ❑ DES-CBC-MAC:
    - Uses the Data Encryption Standard in Cipher Block Chaining mode
    - In general, the CBC-MAC construction can be used with any block cipher
  - ❑ MACs constructed from MDCs:
    - This very common approach raises some cryptographic concern as it makes some implicit but unverified assumptions about the properties of the MDC
- ❑ Authenticated Encryption with Associated Data (AEAD)
  - ❑ Galois-Counter-Mode (GCM)
    - ❑ Uses a block-cipher to encrypt and authenticate data
    - ❑ Fast in networking applications
  - ❑ Sponge Wrap
    - ❑ Uses a SHA-3 like hash function to encrypt and authenticate data



- ❑ Like many of today's block ciphers follow the general structure of a Feistel network, many cryptographic hash functions in use today follow a common structure, the so-called Merkle-Damgård structure:
  - ❑ Let  $y$  be an arbitrary message. Usually, the length of the message is appended to the message and it is padded to a multiple of some block size  $b$ . Let  $(y_0, y_1, \dots, y_{L-1})$  denote the resulting message consisting of  $L$  blocks of size  $b$
  - ❑ The general structure is as depicted below:



- ❑  $CV$  is a *chaining value*, with  $CV_0 := IV$  and  $MDC(y) := CV_L$
- ❑  $f$  is a specific compression function which compresses  $(n + b)$  bit to  $n$  bit



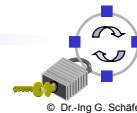
- The hash function  $H$  can be summarized as follows:

$$CV_0 = IV \quad = \text{initial } n\text{-bit value}$$

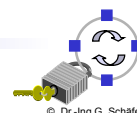
$$CV_i = f(CV_{i-1}, y_{i-1}) \quad 1 \leq i \leq L$$

$$H(y) = CV_L$$

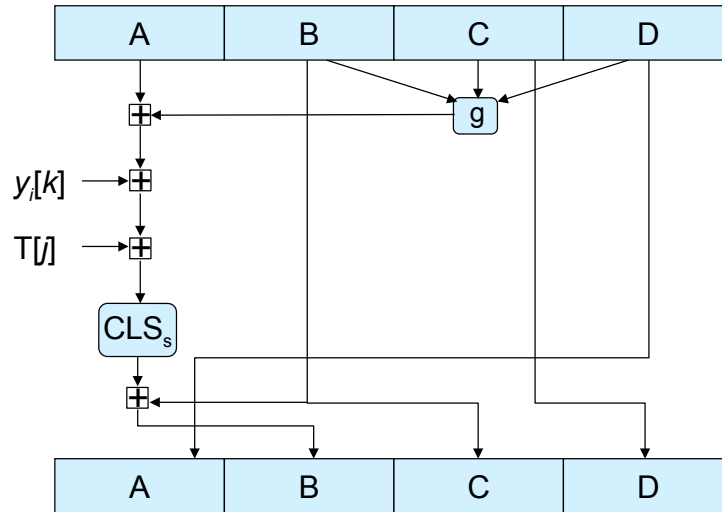
- It has been shown [Mer89a] that if the compression function  $f$  is collision resistant, then the resulting iterated hash function  $H$  is also collision resistant.
- Cryptanalysis of cryptographic hash functions thus concentrates on the internal structure of the function  $f$  and finding efficient techniques to produce collisions for a single execution of  $f$
- Primarily motivated by birthday attacks, a common minimum suggestion for  $n$ , the bitlength of the hash value, is 160 bit, as this implies an effort of order  $2^{80}$  to attack which is considered infeasible today



- MD5 follows the common structure outlined before (e.g. [Riv92a]):
  - The message  $y$  is padded by a “1” followed by 0 to 511 “0” bits such that the length of the resulting message is congruent 448 modulo 512
  - The length of the original message is added as a 64-bit value resulting in a message that has length which is an integer multiple of 512 bit
  - This new message is divided into blocks of length  $b = 512$  bit
  - The length of the chaining value is  $n = 128$  bit
    - The chaining value is “structured” as four 32-bit registers A, B, C, D
    - Initialization: **A := 0x 01 23 45 67**    **B := 0x 89 AB CD EF**  
**C := 0x FE DC BA 98**    **D := 0x 76 54 32 10**
  - Each block of the message  $y_i$  is processed with the chaining value  $CV_i$  with the function  $f$  which is internally realized by 4 rounds of 16 steps each
    - Each round uses a similar structure and makes use of a table T containing 64 constant values of 32-bit each,
    - Each of the four rounds uses a specific logical function  $g$



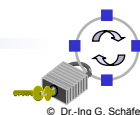




- ❑ The function  $g$  is one of four different logical functions
- ❑  $y_i[k]$  denotes the  $k^{\text{th}}$  32-bit word of message block  $i$
- ❑  $T[j]$  is the  $j^{\text{th}}$  entry of table  $t$  with  $j$  incremented modulo 64 every step
- ❑  $CLS_s$  denotes cyclical left shift by  $s$  bits with  $s$  following some schedule

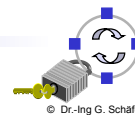


- ❑ The MD5-MDC over a message is the content of the chaining value CV after processing the final message block
- ❑ Security of MD5:
  - ❑ Every bit of the 128-bit hash code is a function of every input bit
  - ❑ In 1996 H. Dobbertin published an attack that allows to generate a collision for the function  $f$  (realized by the 64 steps described above).
  - ❑ Took until 2004 before a first collision was found [WLYF04]
  - ❑ By now it is possible to generate collisions within seconds on general purpose hardware [KI06]
  - ❑ **MD5 must not be considered if collision resistance is required!**
    - This is often the case!
    - Examples: Two postscripts with different texts but equal hashes [LD05], Certificates one for an assured domain and one for an own certificate authority [LWW05], Any message that is extendable [KK06]
  - ❑ The resistance against preimage attacks is with  $2^{123.4}$  calculations still o.k [SA09]

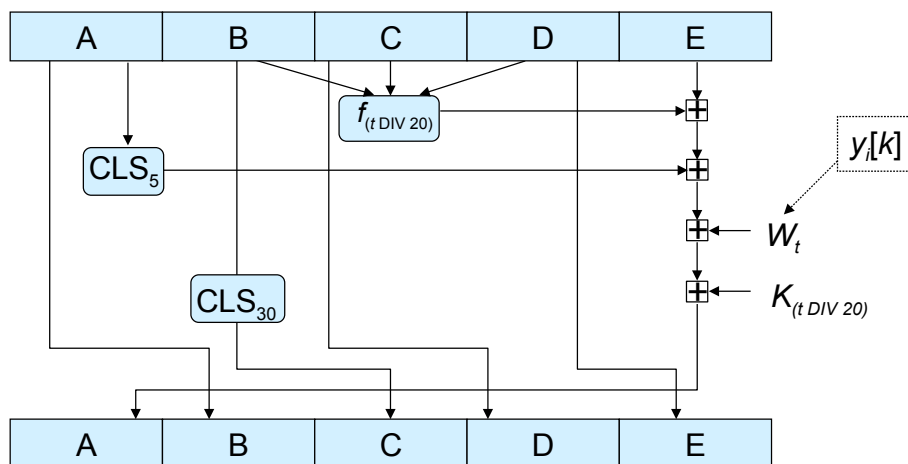


# The Secure Hash Algorithm SHA-1 (1)

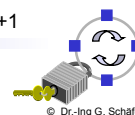
- Also SHA-1 follows the common structure as described above:
  - SHA-1 works on 512-bit blocks and produces a 160-bit hash value
  - As its design was also inspired by the MD4 algorithm, its initialization is basically the same like that of MD5:
    - The data is padded, a length field is added and the resulting message is processed as blocks of length 512 bit
    - The chaining value is structured as five 32-bit registers A, B, C, D, E
    - Initialization: **A = 0x 67 45 23 01**    **B = 0x EF CD AB 89**  
**C = 0x 98 BA DC FE**    **D = 0x 10 32 54 76**  
**E = 0x C3 D2 E1 F0**
    - The values are stored in big-endian format
  - Each block  $y_i$  of the message is processed together with  $CV_i$  in a module realizing the compression function  $f$  in four rounds of 20 steps each.
    - The rounds have a similar structure but each round uses a different primitive logical function  $f_1, f_2, f_3, f_4$
    - Each step makes use of a fixed additive constant  $K_t$ , which remains unchanged during one round



# The Secure Hash Algorithm SHA-1 (2) - One Step

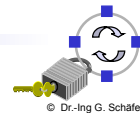


- $t \in \{0, \dots, 15\} \Rightarrow W_t := y_i[t]$   
 $t \in \{16, \dots, 79\} \Rightarrow W_t := CLS_1(W_{t-16} \oplus W_{t-14} \oplus W_{t-8} \oplus W_{t-3})$
- After step 79 each register A, B, C, D, E is added modulo  $2^{32}$  with the value of the corresponding register before step 0 to compute  $CV_{i+1}$



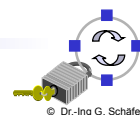
## The Secure Hash Algorithm SHA-1 (3)

- ❑ The SHA-1-MDC over a message is the content of the chaining value CV after processing the final message block
- ❑ Comparison between SHA-1 and MD5:
  - ❑ Speed: SHA-1 is about 25% slower than MD5 (CV is about 25% bigger)
  - ❑ Simplicity and compactness: both algorithms are simple to describe and implement and do not require large programs or substitution tables
- ❑ Security of SHA-1:
  - ❑ As SHA-1 produces MDCs of length 160 bit, it is expected to offer better security against brute-force and birthday attacks than MD5
  - ❑ Some inherent weaknesses of Merkle-Dåmgard constructions, e.g. [KK06], apply
  - ❑ In February 2005, X. Wang et. al. published an attack that allows to find a collision with an effort of  $2^{69}$  that was improved to  $2^{63}$  in the months to follow and published in [WYY05a]
  - ❑ Research continued (e.g. [Man11]), and in February 2017 the first actual collision was found (demonstrated with altered PDF document)

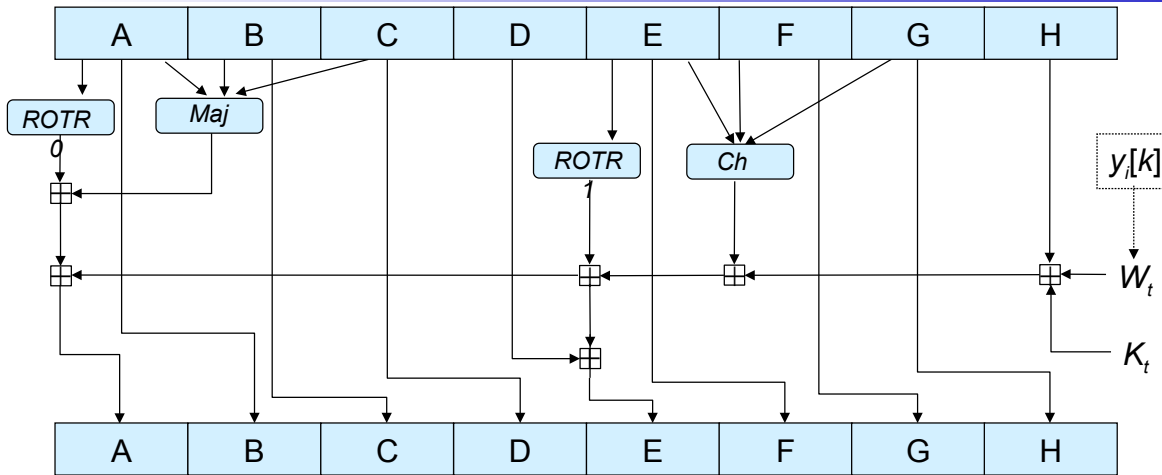


## The Secure Hash Algorithm SHA-2 family (1)

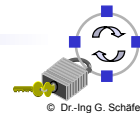
- ❑ In 2001, the NIST published a new standard FIPS PUB 180-2 containing new variants, called *SHA-256*, *SHA-384*, and *SHA-512* [NIST02] with 256, 384, and 512 bits output
  - ❑ SHA-224 was added in 2004
- ❑ SHA-224 and SHA-384 are truncated versions of SHA-256 and SHA-512 with different initialization values
- ❑ SHA-2 uses also Merkle-Dåmgard construction with a block size of 512 bits (SHA-256) and 1024 bits (SHA-512)
- ❑ The internal state is organized in 8 registers of 32 bit (SHA-256) and 64 bit (SHA-512)
- ❑ 64 rounds (SHA-256) or 80 rounds (SHA-512)



## The Secure Hash Algorithm SHA-2 (2) - One Step

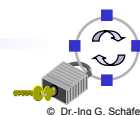


- ❑  $t \in \{0, \dots, 15\} \Rightarrow W_t := y_i[t]$
- ❑  $t \in \{16, \dots, r\} \Rightarrow W_t := W_{t-16} \oplus \sigma_0(W_{t-15}) \oplus W_{t-7} \oplus \sigma_1(W_{t-2})$
- ❑  $K_t$  is the fractional part of the cube root of the  $t^{\text{th}}$  prime number
- ❑ The ROTR and  $\sigma$  functions XOR different shifts of the input value
- ❑ Ch and Maj are logic combinations of the input values

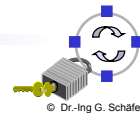


## The Secure Hash Algorithm SHA-2 family (3)

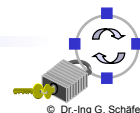
- ❑ All-in-all design very similar to SHA-1
- ❑ Due to size and more complicated round functions about 30-50 percent slower than SHA-1 (varies for 64-bit and 32-bit systems!)
- ❑ Security discussion:
  - ❑ Already in 2004 it was discovered that a simplified version of the algorithm (with XOR instead of addition and symmetric constants) generates highly correlated output [GH04]
  - ❑ For round-reduced versions of SHA-2 pre-image attacks exists that are faster than brute-force, but highly impractical (e.g. [AGM09])
  - ❑ Even though size and complexity do not allow for attacks currently the situation is uncomfortable
  - ❑ Led to the need for a new SHA-3 standard

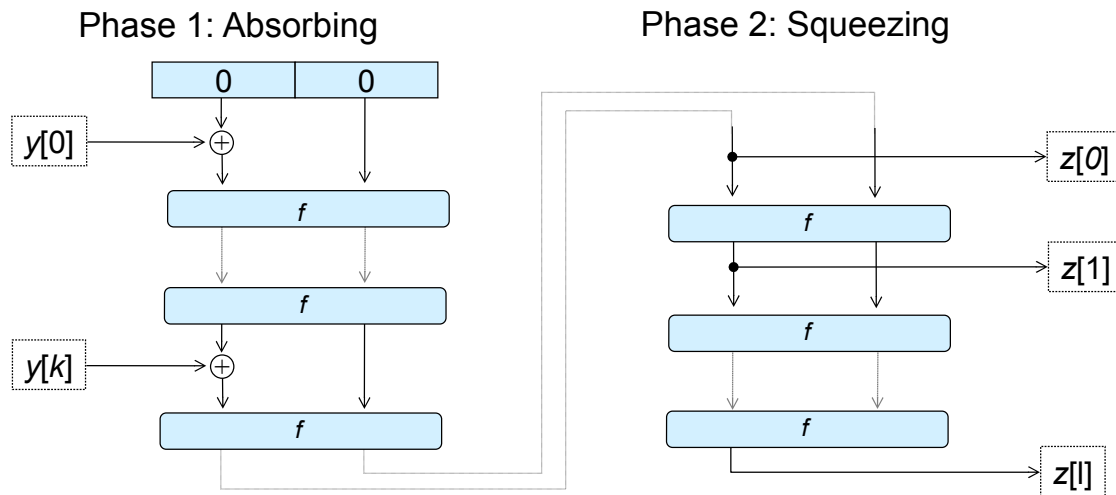


- ❑ Security concerns about SHA-1 and SHA-2 led to an open competition by the NIST which started in 2007
- ❑ 5 finalists without notable weaknesses
  
- ❑ October 2012: NIST announces Keccak to become SHA-3
- ❑ 4 European inventors
  - ❑ One is Joan Daemen, who co-designed AES
- ❑ SHA-3 is very fast, especially in hardware
- ❑ Very well documented and analyzable

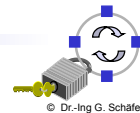


- ❑ Keccak is based on a so-called *sponge* construction instead of the previous Merkle-Dåmgard constructs
  - ❑ Versatile design to implement nearly all symmetric cryptographic functions (however only the hashing is standardized)
- ❑ Usually works in 2 phases
  - ❑ “Absorbing” information of arbitrary length into 1600 bit of internal state
  - ❑ “Squeezing” (i.e. outputting) hashed-data of arbitrary length (only 224, 256, 384, and 512 bits standardized)
- ❑ The internal state is organized in 2 registers
  - ❑ One register of the size  $r$  is “public”: input data is XORed to it in absorbing phase, output data is derived from it in squeezing phase
  - ❑ The register of size  $c$  is “private”; in- and output does not affect it directly
  - ❑ In Keccak the size of the registers is 1600 bits (i.e.  $c + r = 1600$  bits)
  - ❑ The size of  $c$  is twice as large as the output block length
  - ❑ Both registers are initialized with “0”
- ❑ The hashing occurs due a function  $f$  that reads the registers and outputs a new state

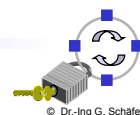




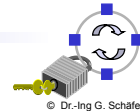
- ❑ Absorbing phase:  $k + 1$  input blocks of size  $r$  are mixed to the state
- ❑ Squeezing phase:  $l + 1$  output blocks of size  $r$  are generated (often only one)
- ❑ The last input and output block may be padded or cropped



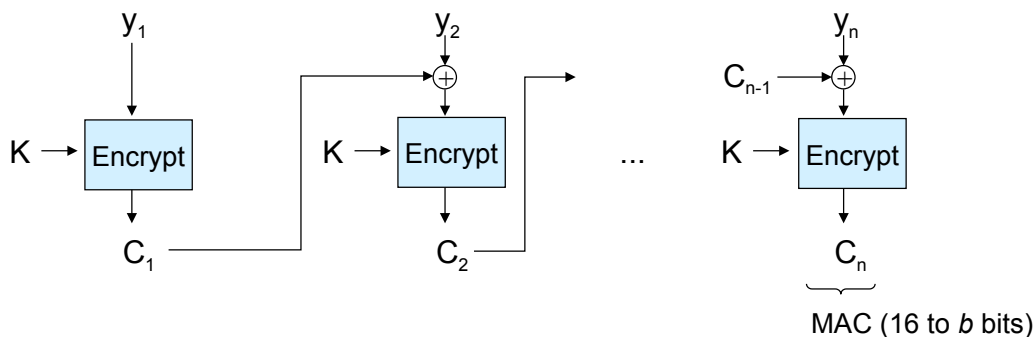
- ❑ Obviously, the security of a sponge construction depends on the security of  $f$
- ❑ In Keccak uses 24 rounds of 5 different sub-functions ( $\theta$ ,  $\rho$ ,  $\pi$ ,  $\chi$ ,  $\iota$ ) to implement  $f$
- ❑ Sub-functions operate on a “three-dimensional” bit array  $a[5][5][w]$  with  $w$  is chosen in correspondence with the size  $r$  and  $c$
- ❑ All operations are performed over  $GF(2^n)$
- ❑ Each of the sub-functions ensures certain properties, e.g.,
  - ❑ Fast diffusion of changed bits throughout the state ( $\theta$ )
  - ❑ Long term diffusion ( $\pi$ )
  - ❑ Ensuring that  $f$  becomes non-linear ( $\chi$ )
  - ❑ Round-specific substitution ( $\iota$ )
- ❑  $\theta$  is executed first to ensure that secret and public state mix quickly before applying other sub-functions



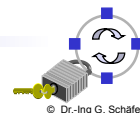
- ❑ Currently no notable weaknesses exist in SHA-3
  - ❑ Best known pre-image attacks work with up to 8-round function  $f$  only
  - ❑ To protect against internal collisions 11 rounds are supposed to be enough
- ❑ In comparison to SHA-1 and SHA-2 additional security properties are guaranteed as internal state is never made public
  - ❑ Prevents attacks where arbitrary information is added to a valid secret message
  - ❑ Provides *Chosen Target Forced Prefix (CTFP)* preimage resistance [KK06], i.e. it is not possible to construct a message  $m = P \parallel S$ , where  $P$  is fixed and  $S$  is arbitrary chosen, s.t.,  $H(m) = y$ 
    - For Merkle-Damgård constructions this is only as hard as collision resistance
  - ❑ No fast way to generate multi-collisions quickly [Jou04]



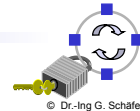
- ❑ A CBC-MAC is computed by encrypting a message in CBC Mode and taking the last ciphertext block or a part of it as the MAC:



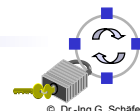
- ❑ This MAC needs not to be signed any further, as it has already been produced using a shared secret  $K$ 
  - ❑ However, it is not possible to say who exactly has created a MAC, as everybody (sender, receiver) who knows the secret key  $K$  can do so
- ❑ This scheme works with any block cipher (DES, IDEA, ...)



- ❑ Security of CBC-MAC:
  - ❑ As an attacker does not know  $K$ , a birthday attack is much more difficult to launch (if not impossible)
  - ❑ Attacking a CBC-MAC requires known (message, MAC) pairs
  - ❑ This allows for shorter MACs
  - ❑ A CBC-MAC can optionally be strengthened by agreeing upon a second key  $K' \neq K$  and performing a triple encryption on the *last* block:
 
$$\text{MAC} := E(K, D(K', E(K, C_{n-1})))$$
  - ❑ This doubles the key space while adding only little computing effort
  - ❑ **The construction is not secure, when message lengths vary!**
- ❑ There have also been some proposals to create MDCs from symmetric block ciphers with setting the key to a fixed (known) value:
  - ❑ Because of the relatively small block size of 64 bit of most common block ciphers, these schemes offer insufficient security against birthday attacks
  - ❑ As symmetric block ciphers require more computing effort than dedicated cryptographic hash functions, these schemes are relatively slow

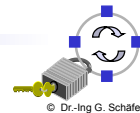


- ❑ Reason to construct MACs from MDCs Cryptographic hash functions generally execute faster than symmetric block ciphers
- ❑ Basic idea: “mix” a secret key  $K$  with the input and compute an MDC
- ❑ The assumption that an attacker needs to know  $K$  to produce a valid MAC nevertheless raises some cryptographic concern (at least for Merkle-Damgård hash functions):
  - ❑ The construction  $H(K || m)$  is not secure (see note 9.64 in [Men97a])
  - ❑ The construction  $H(m || K)$  is not secure (see note 9.65 in [Men97a])
  - ❑ The construction  $H(K || p || m || K)$  with  $p$  denoting an additional padding field does not offer sufficient security (see note 9.66 in [Men97a])
- ❑ The most used construction is:  $H(K \oplus p_1 || H(K \oplus p_2 || m))$ 
  - ❑ Key is padded with 0's to fill up the key to one input block of the cryptographic hash function
  - ❑ Two different constant patterns  $p_1$  and  $p_2$  XORed to the padded key
  - ❑ This scheme seems to be secure (see note 9.67 in [Men97a])
  - ❑ It has been standardized in RFC 2104 [Kra97a] and is called *HMAC*

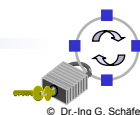




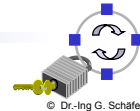
- ❑ Usually it data is not authenticated or encrypted but encrypted AND authenticated (blocks  $P_0 \dots P_n$ )
- ❑ Sometimes additional data needs to be authenticated (e.g. packet headers), in the following denoted  $A_0 \dots A_m$
- ❑ Led to the development of AEAD modes of operation
- ❑ Examples are
  - ❑ Galois/Counter Mode (GCM)
  - ❑ Counter with CBC-MAC (CCM)
  - ❑ Offset Codebook Mode (OCM)
  - ❑ SpongeWrap – a method to use Keccak for AEAD operation



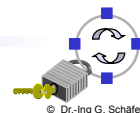
- ❑ Popular AEAD mode
- ❑ NIST standard, part of IEEE 802.1AE, IPsec, TLS, SSH etc.
- ❑ Free of patents
- ❑ Mainly used in networking applications for its high speed
  - ❑ Extremely efficient in hardware
  - ❑ Processor support on newer x86 CPUs
  - ❑ Time intensive tasks may be pre-calculated and parallelized
  - ❑ No need for padding
- ❑ Uses conventional block cipher with 128 bit block size (e.g. AES)
- ❑ Calculates MAC by multiplications and additions in  $GF(2^{128})$  over the irreducible polynomial  $x^{128} + x^7 + x^2 + x + 1$
- ❑ Requires only  $n + 1$  block cipher calls per packet ( $n$  = length of encrypted and authenticated data)



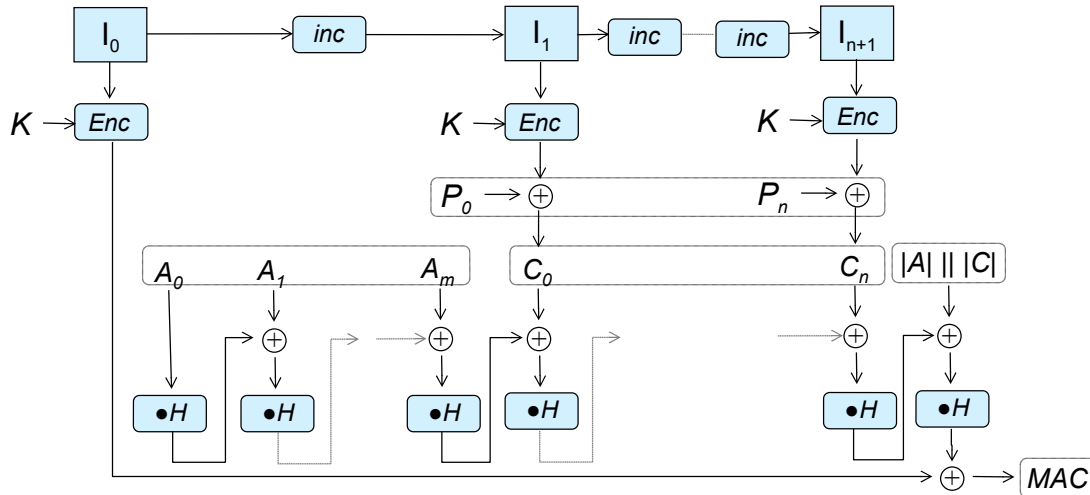
- ❑ Galois field arithmetic defined over terms (e.g.  $a_3x^3+a_2x^2+a_1x+a_0$ )
- ❑ Coefficients are elements of the field  $\mathbb{Z}_2$ , i.e. either 0 or 1
- ❑ Often only the coefficients are stored, so  $x^4+x^2+x^1$  becomes 0x16
- ❑ Addition in GF(2<sup>n</sup>) is simply the addition of terms
  - ❑ As equal coefficients map to 0, just XOR the values!
  - ❑ Extreme fast in hard- and software!
- ❑ Multiplication in GF(2<sup>n</sup>) is polynomial multiplication and a subsequent modulo division by an irreducible polynomial of degree  $n$ 
  - ❑ Irreducible polynomials are not divisible without remainder by any other polynomial except "1", somewhat like prime numbers in GF
  - ❑ Can be implemented by a series of shift and XOR operations
  - ❑ Very fast in hardware or on newer Intel CPUs (with CLMUL Operations)
  - ❑ Modulo operation could be performed like in a regular CRC calculation



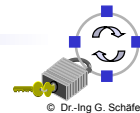
- ❑ Addition Example:
  - ❑  $x^3+x+1 \oplus x^2+x = x^3+x^2+1 \leftrightarrow 0x0B \text{ XOR } 0x06 = 0x0D$
- ❑ Multiplication Example (over  $x^4+x+1$ ):
  - ❑  $x^3+x+1 \bullet x^2+x = x^5+x^3+x^2 \oplus x^4+x^2+x \text{ MOD } x^4+x+1 = x^5+x^4+x^3+x \text{ MOD } x^4+x+1 = x^3+x^2+x+1$
- ❑ Elements of GF(2<sup>n</sup>) (except for 1 and the irreducible polynomial) may be a generator for the group
  - ❑ Example for  $x$  and the polynomial  $x^4+x+1$ :  $x, x^2, x^3, x+1, x^2+x, x^3+x^2, x^3+x+1, x^2+1, x^3+x, x^2+x+1, x^3+x^2+x, x^3+x^2+x+1, x^3+x^2+1, x^3+1, 1, x,$   
...
- ❑ Other concepts of finite groups also apply, e.g., every element has a multiplicative inverse element
  - ❑ May be found by an adapted version of the Extended Euclidian Algorithm



## Galois/Counter Mode (GCM) (2)

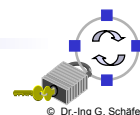


- ❑  $I_0$  is initialized with the IV and a padding, or a hash of the IV (if it is not 96 bits)
- ❑  $\bullet H$  is  $GF(2^{128})$  multiplication with  $H = E(K, 0^{128})$
- ❑ Input blocks  $A_m$  and  $P_n$  are padded to 128 bits
- ❑  $A_m$  &  $C_n$  are truncated to original size before output
- ❑ The last authentication uses 64 bit encoded bit lengths of A and C

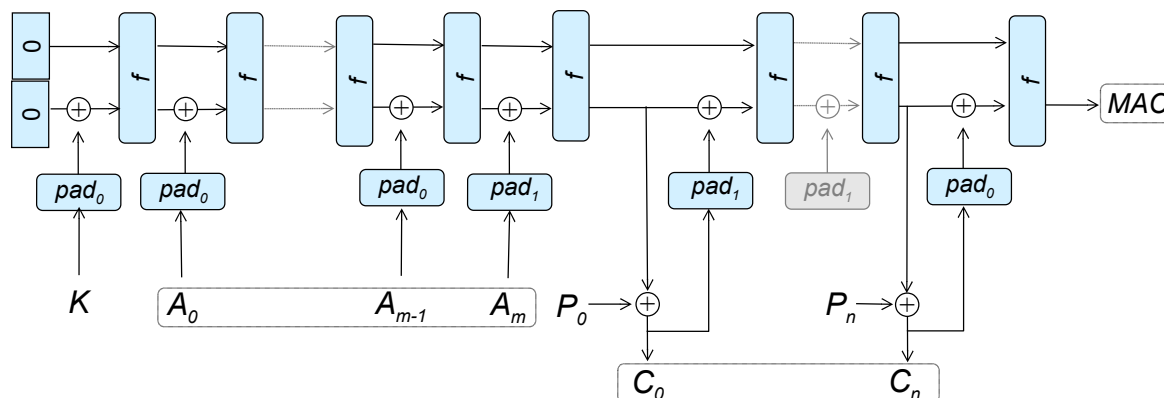
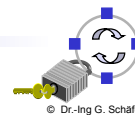


## Galois/Counter Mode (GCM) (3) - Security

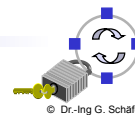
- ❑ Fast mode, but needs some care:
  - ❑ Proven to be secure (under preconditions, e.g. used block cipher is not distinguishable from random numbers), but construction is fragile:
  - ❑ IVs MUST NOT be reused, otherwise streams can be XORed and the XOR of the streams can be recovered, may lead to an instant recovery of the secret value “H”
  - ❑ H has a possible weak value  $0^{128}$ , in this case authentication will not work and if IVs of a length other than 96 bits are used,  $C_0$  will always be the same!
  - ❑ Some other keys generate hash keys with a low order, which must be avoided... [Saa11]
  - ❑ Successful forgery attempts may leak information about H, thus short MAC lengths MUST be avoided or risk-managed [Dwo07]
  - ❑ The achieved security is only  $2^{t-k}$  not  $2^t$  (for MAC length t and number of blocks  $2^k$ ) as blocks may be modified to make to only change parts of the MAC [Fer05]



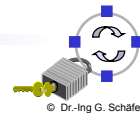
- ❑ By using SHA-3 it is also possible to implement an AEAD construct [BDP11a]
- ❑ Construction is very simple and comparably easy to understand
- ❑ Uses so-called *duplex mode* for sponge functions, where data write and read operations are interleaved
- ❑ Does not require padding of data to a specific block size
- ❑ Cannot be parallelized
  
- ❑ Security:
  - ❑ Not widely used yet, but several aspects proven to be as secure as SHA-3 in standardized mode
  - ❑ If the authenticated data A does not contain a unique IV the same key stream will be generated (allows the recovery of one block of XORed encrypted data)



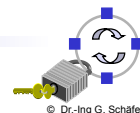
- ❑ Simplified version, where key and MAC length must be smaller than block-size
- ❑ Paddings with a single “0” or “1” bit ensure that different data blocks types are well separated



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