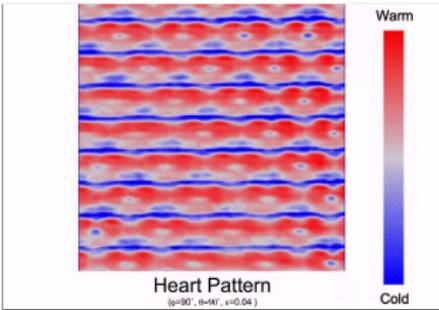
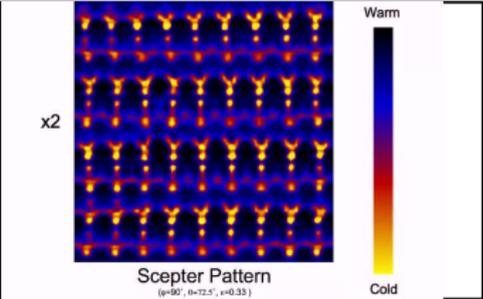
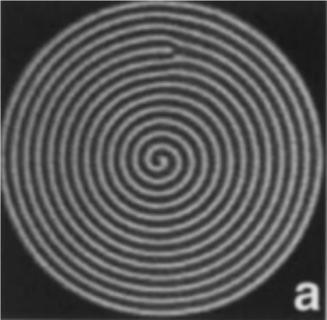
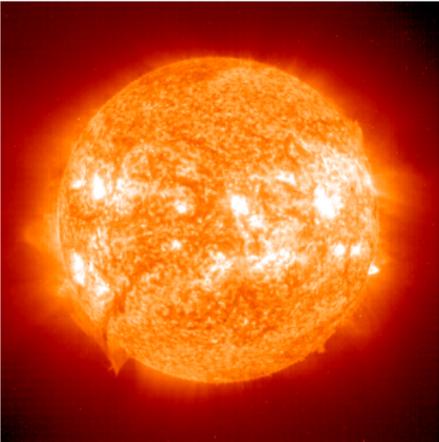
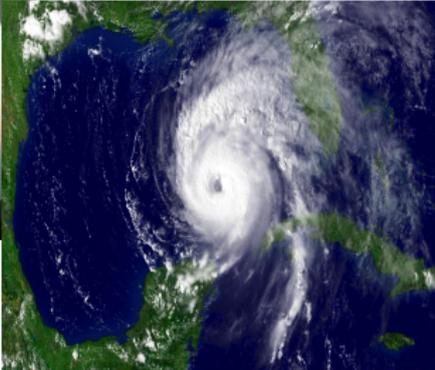


Thermal Convection

= flow driven by a thermal gradient



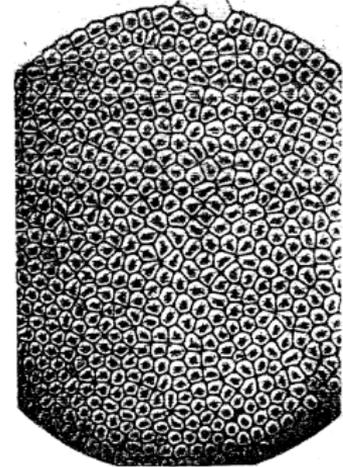
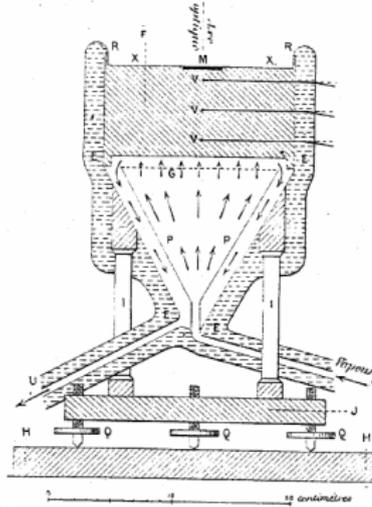
Rayleigh - Bénard Convection

horizontal fluid layer heated from below and cooled from above



Henri Bénard

- Bénard, H. (1900a). Etude expérimentale du mouvement des liquides propageant de la chaleur par convection. Régime permanent: tourbillons cellulaires. *Comptes-rendus de l'Académie des Sciences*, 130, 1004-1007.
- Bénard, H. (1900b). Mouvements tourbillonnaires à structure cellulaire: Etude optique de la surface. *Comptes-rendus de l'Académie des Sciences*, 130, 1065-1068.
- Bénard, H. (1900c). Les tourbillons cellulaires dans une nappe liquide, I. Description générale des phénomènes. *Revue Générale des Sciences Pures et Appliquées*, 11, 1261-1271.
- Bénard, H. (1900d). Les tourbillons cellulaires dans une nappe liquide, II. Procédés mécaniques et optiques d'examen, lois numériques des phénomènes. *Revue Générale des Sciences Pures et Appliquées*, 11, 1309-1328.
- Bénard, H. (1901). Les tourbillons cellulaires dans une nappe liquide transportant de la chaleur par convection en régime permanent. *Annales de Chimie et de Physique*, 23, 62-144.



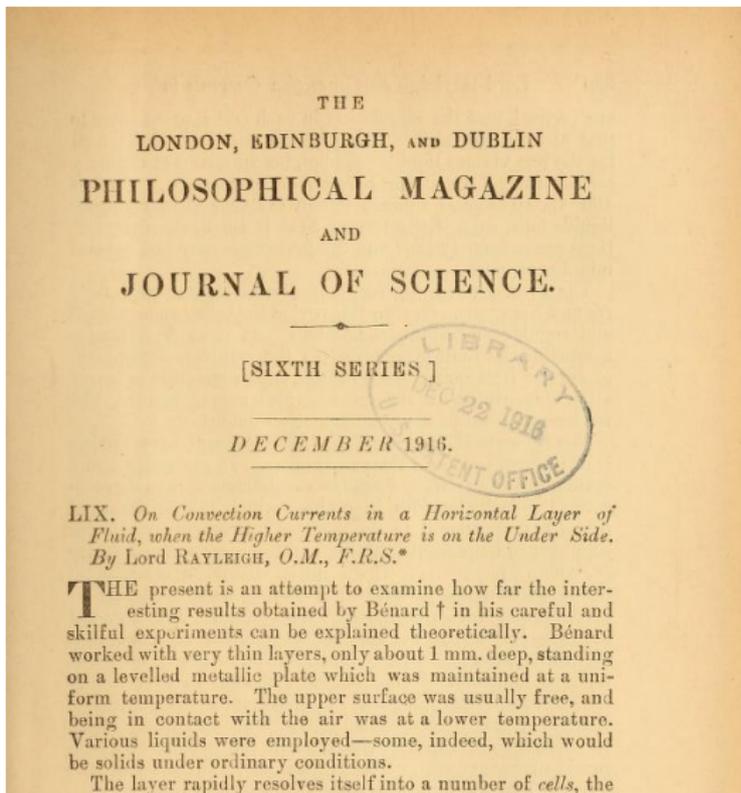
"The shape of the currents I was able to observe in liquids offering no other heterogeneity than temperature differences are, if I am not mistaken, especially interesting and novel in that they are examples of remarkably **simple physical phenomena** able to **create** from scratch a **cellular structure** that seemed, up until now, to be particular to **living beings** and characteristic of the **organic world**."

Rayleigh - Bénard Convection

horizontal fluid layer heated from below and cooled from above



John William Strutt
(Lord Rayleigh)

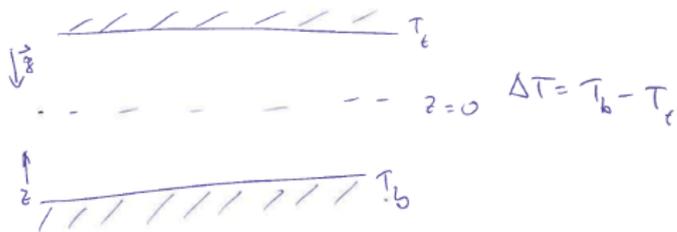


LIX. *On Convection Currents in a Horizontal Layer of Fluid, when the Higher Temperature is on the Under Side.*
By Lord RAYLEIGH, O.M., F.R.S.*

THE present is an attempt to examine how far the interesting results obtained by Bénard † in his careful and skilful experiments can be explained theoretically. Bénard worked with very thin layers, only about 1 mm. deep, standing on a levelled metallic plate which was maintained at a uniform temperature. The upper surface was usually free, and being in contact with the air was at a lower temperature. Various liquids were employed—some, indeed, which would be solids under ordinary conditions.

The layer rapidly resolves itself into a number of *cells*, the

Rayleigh-Bénard - Convection



Governing equations:

$$(1) \frac{\partial \rho}{\partial t} + \nabla(\rho \cdot \vec{v}) = 0 \quad (\text{continuity})$$

$$(2) \rho \left[\frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \nabla) \vec{v} \right] = -\nabla p + \rho \alpha \Delta \vec{v} - \rho g \hat{z} \quad (\text{momentum})$$

$$(3) \frac{\partial T}{\partial t} + (\vec{v} \cdot \nabla) T = \kappa \Delta T \quad \begin{array}{l} + \text{internal heating} \\ + \text{frictional heating} \end{array} \quad (\text{energy equation})$$

$$\kappa = \frac{\lambda}{\rho \cdot c_p \cdot l} \quad \begin{array}{l} \leftarrow \text{thermal conductivity} \\ (\text{thermal diffusivity}) \\ \text{density} \\ \text{heat capacity} \end{array}$$

Boundary conditions:

$$\text{at } z = \frac{L}{2} : T = T_c, \vec{v} = 0$$

$$z = -\frac{L}{2} : T = T_b, \vec{v} = 0$$

Boussinesq approximation:

Fluid properties constant, except for density in the buoyancy term:



$$\rho = \rho_0 (1 - \alpha (T - T_0)) \quad ; \quad \alpha \dots \text{thermal expansion}$$
$$P = \rho_0 g z + P_0 + P_2$$

(1) $\rightarrow \nabla \cdot \vec{v} = 0$

(2) $\rightarrow \frac{\nabla P}{\rho} \approx -g \vec{z} + g \alpha (T - T_0) \vec{z} + \frac{\nabla P_2}{\rho_0}$

\hookrightarrow Nav: $\frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \nabla) \vec{v} = -\frac{\nabla P_2}{\rho_0} + g \alpha (T - T_0) \vec{z} + \nu \nabla^2 \vec{v}$

(3) $\frac{\partial T}{\partial t} + (\vec{v} \cdot \nabla) T + \kappa \nabla^2 T$

Trivial solution:

(conduction)

$\vec{v} = 0$

$\bar{T}_{\text{act}} = \frac{T_b + T_t}{2} + \Delta T \cdot \frac{z}{L}$

$\underbrace{\hspace{10em}}_{T_m}$

$\frac{P_{\text{conduction}}}{\rho_0} = \rho_0 g \alpha (T_m \cdot z + \frac{1}{2} \frac{\Delta T z^2}{L})$

Stability of the conduction solution:

$$T = T_{\text{cond}} + \Theta$$

$$P_s = P_{\text{cond}} + P'$$

NS:)
$$\frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \nabla) \vec{v} = -\frac{\nabla P'}{\rho_0} + g \alpha \Theta \vec{e}_1 + \nu \nabla^2 \vec{v}$$

Heat:
$$\frac{\partial \Theta}{\partial t} + (\vec{v} \cdot \nabla) \Theta = \kappa \nabla^2 \Theta + \frac{\Delta T}{2} \vec{v} \cdot \vec{e}_1$$

$$\vec{v} \cdot \vec{e}_1$$

Dimensionless units:

$$\text{times: } t = \tilde{t} \cdot \frac{L^2}{\nu}$$

$$\text{length: } \tilde{r} = \frac{r}{L}$$

$$\text{Pressure: } P = \tilde{p} \cdot \frac{\rho_0 \nu^2}{L^2}$$

$$\text{temperature: } T = \tilde{T} \frac{\nu \rho}{\alpha g L^3}$$

$$(1) \quad \frac{1}{Pr} \left(\frac{\partial \tilde{v}}{\partial \tilde{t}} + (\tilde{v} \tilde{\nabla}) \tilde{v} \right) = -\tilde{\nabla} \tilde{p} + \tilde{\nabla}^2 \tilde{v} + \tilde{\omega} \cdot \hat{z}$$

$$(5) \quad \frac{\partial \tilde{\omega}}{\partial \tilde{t}} + (\tilde{v} \tilde{\nabla}) \tilde{\omega} = \tilde{\nabla}^2 \tilde{\omega} + Ra \tilde{v} \cdot \hat{z}$$

$$(6) \quad \tilde{\nabla} \tilde{\omega} = 0$$

Boussinesq equations

$$Ra = \frac{g \alpha \Delta T \cdot L^3}{\nu \cdot \nu}$$

... Rayleigh Number

$$Pr = \frac{\nu}{\nu}$$

... Prandtl Number

Typical strategy for solving:

$$\begin{aligned} \nabla \times (4) : \quad \vec{\omega} &= \nabla \times \vec{v} \dots \text{vorticity} \\ \nabla \times (\nabla \times (4)) : & \\ \left. \begin{aligned} &\rightarrow (1) \text{ Eqn for } \omega_z \\ &\rightarrow (2) \text{ Eqn for } v_z \\ &(3) \text{ Eqn for } \Theta \end{aligned} \right\} \Rightarrow \text{linearizing} \\ &\quad \Theta, v, \omega \text{ are small} \end{aligned}$$

To check stability:

$$\begin{aligned} \text{Ansatz: } v_z &= V(z) \cdot e^{\sigma t} \cdot e^{i(q_x x + q_y y)} \\ \omega_z &= W(z) \cdot e^{\sigma t} \cdot e^{i(q_x x + q_y y)} \\ \Theta &= \Theta(z) \cdot e^{\sigma t} \cdot e^{i(q_x x + q_y y)} \end{aligned}$$

$$\begin{aligned} V(z) \\ W(z) \\ \Theta(z) \end{aligned} = \begin{pmatrix} v_0 \\ w_0 \\ \theta_0 \end{pmatrix} \cdot \cos\left(\frac{\pi z}{L}\right) \Rightarrow \text{for example} \\ \text{but other functions} \\ \text{that fulfill BC} \\ \text{are also possible}$$

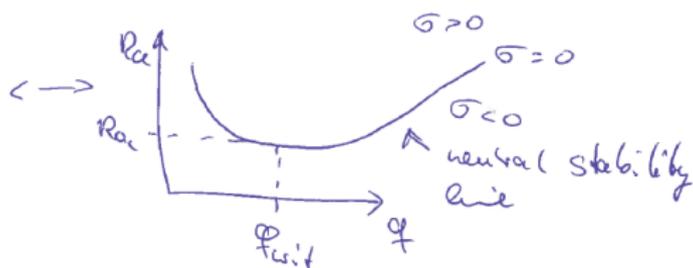
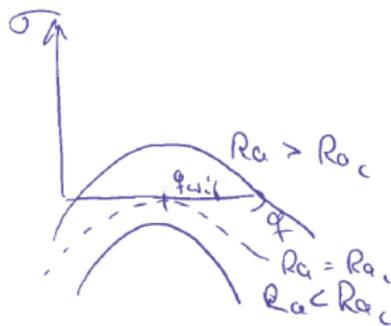
→
Set of algebraic equations, that can be solved numerically:

$$\text{growth rate: } \sigma = \sigma(Ra, q); \quad q = \sqrt{q_x^2 + q_y^2}$$

σ monotonically in Ra

growth rate: $\sigma = \sigma(Ra, \varphi)$; $\varphi = \sqrt{\varphi_x^2 + \varphi_y^2}$

σ monotonous in Ra

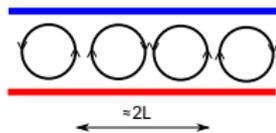


$\varphi_{crit} = 3.117$
$Ra_c = 1708$

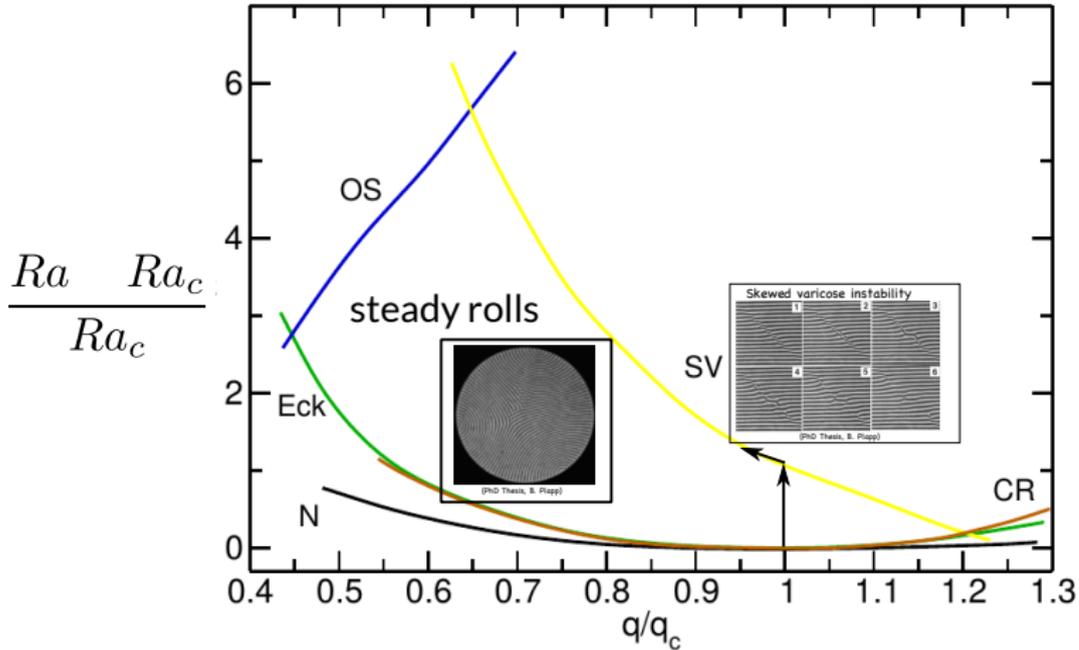
Independent of Pr

cf Ra_c ; rolls of $\lambda = \frac{2\pi}{3.117} \approx 2d$

Rolls periodicity of $\lambda \approx 2L$

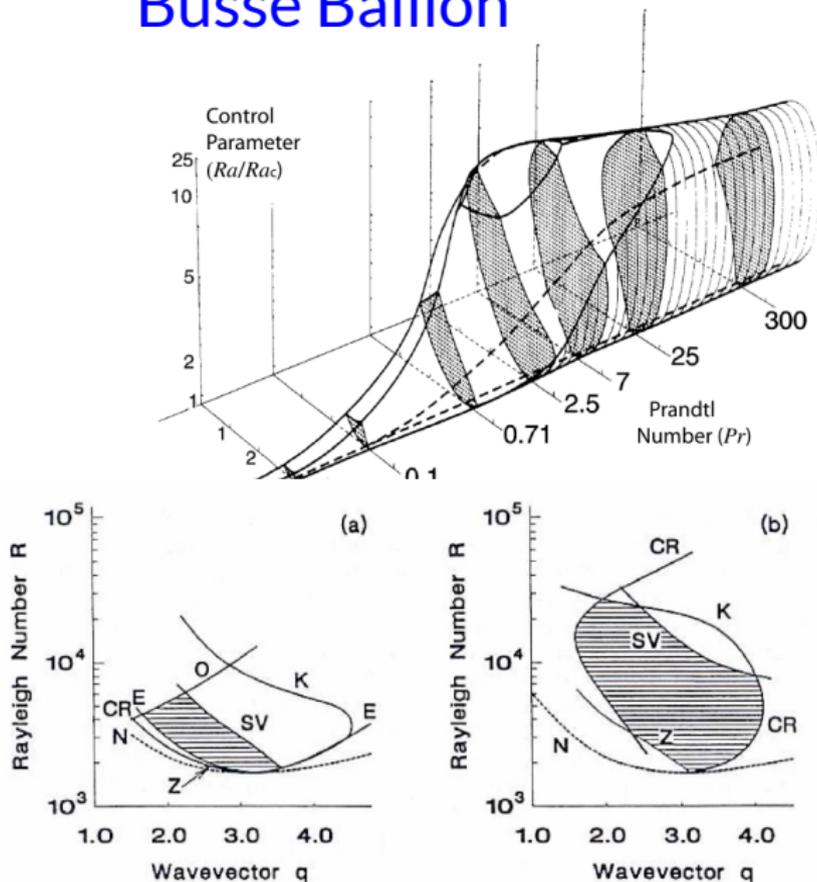


Busse Ballon



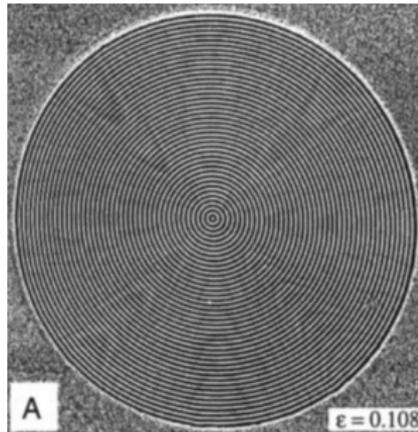
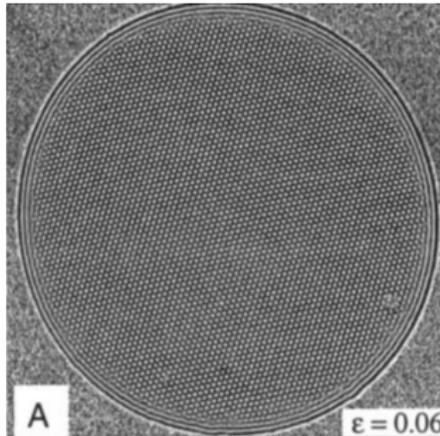
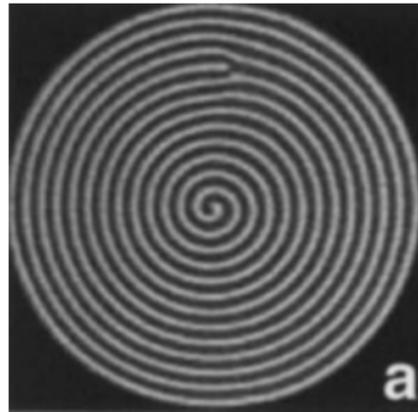
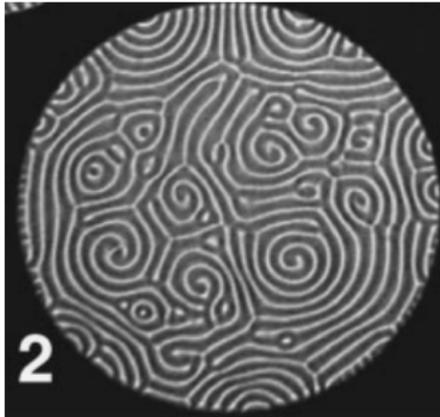
Stability region for stationary rolls @ $Pr=1.27$ (compressed gas)
(calculated using code by W. Pesch)

Busse Ballon



From: M. C. Cross and P. C. Hohenberg, Rev. of Mod. Phys. 65, 851 (1993).

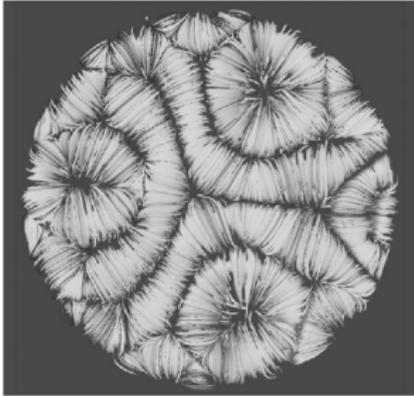
A model system for pattern formation



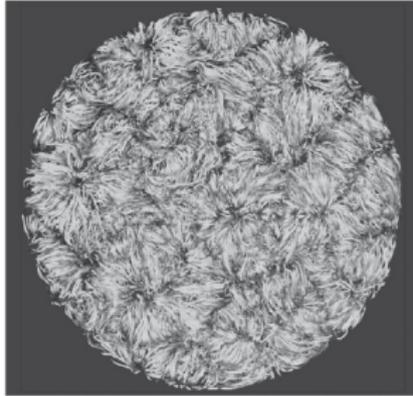
from: Bodenschatz et al, *Annu. Rev. Fluid Mech.*, 32, 709 (2000)

Transition to turbulence

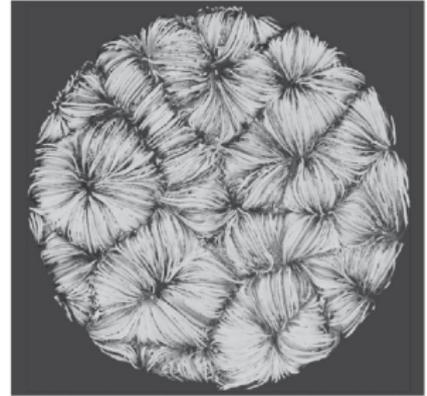
(Numerics)



$Ra=6000$



$Ra=10^7$

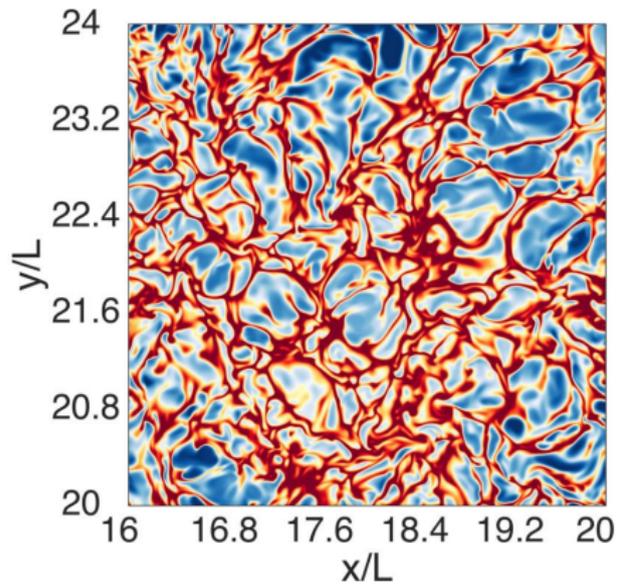
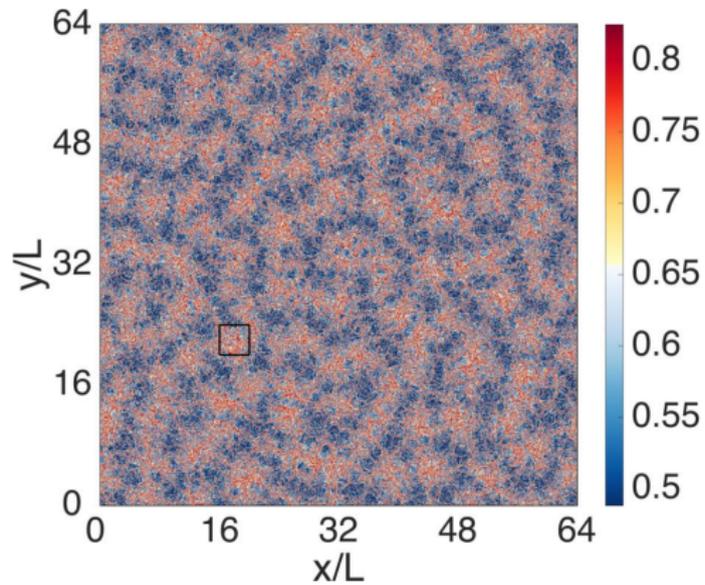


$Ra=10^7$
(time averaged)

from: Bailon-Cuba et al., JFM, (2010)

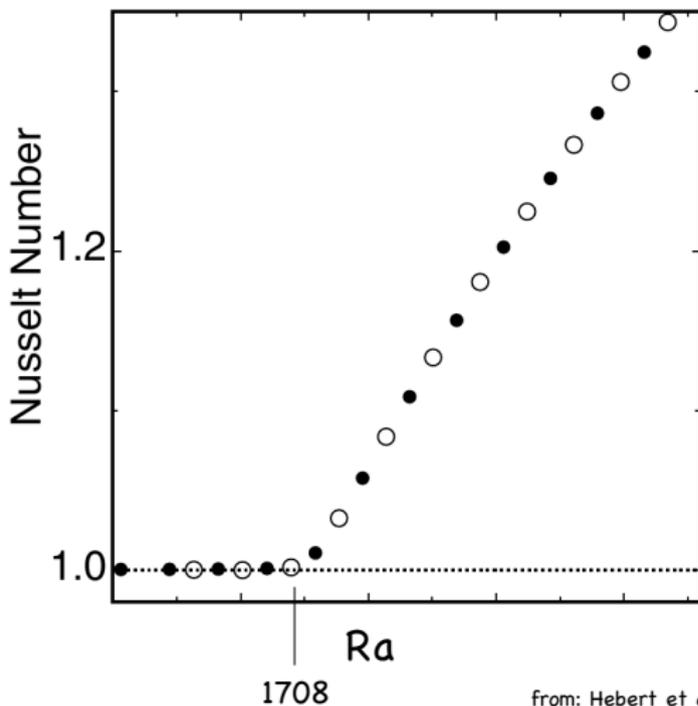
Transition to turbulence

(Numerics)



Heat Transport

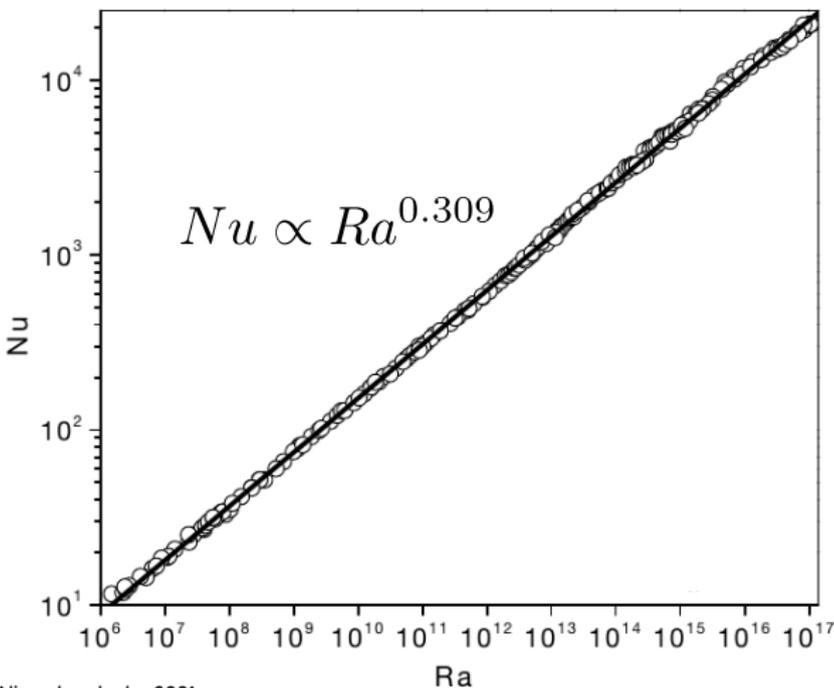
Nusselt Number:
$$Nu = \frac{q}{q_{cond}} = \frac{qd}{\lambda\Delta T}$$



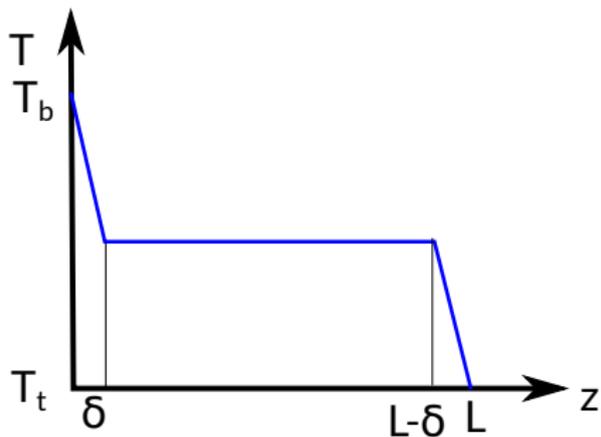
Heat Transport

Nusselt Number:

$$Nu = \frac{q}{q_{cond}} = \frac{qd}{\lambda\Delta T}$$



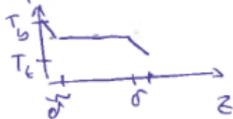
Malkus (1954)



- 1) Boundary layer at the top and bottom of size δ
- 2) Heat transported in BL by conduction
- 3) δ such that BL are marginally stable

A simple Model:

Maxles 1954:



from 1) : $Nu = \frac{q \cdot L}{\lambda \cdot \Delta T}$ with $q = \frac{\lambda \cdot \Delta T}{2\delta} \Rightarrow Nu = \frac{L}{2\delta}$

from 3) : $Ra_c = \frac{2g \Delta T \delta^3}{\nu \alpha}$

$$\hookrightarrow Ra = 2 \cdot Ra_c \cdot \left(\frac{L}{\delta}\right)^3 \Rightarrow \delta = L \cdot \left(\frac{Ra}{2 Ra_c}\right)^{-1/3}$$

$$\hookrightarrow Nu = \frac{1}{2} Ra_c^{1/3} \cdot Ra^{1/3} \Rightarrow \underline{\underline{Nu \propto Ra^{1/3}}}$$

Pretty good, but independent of Pr

$$Nu \propto Ra^\gamma$$

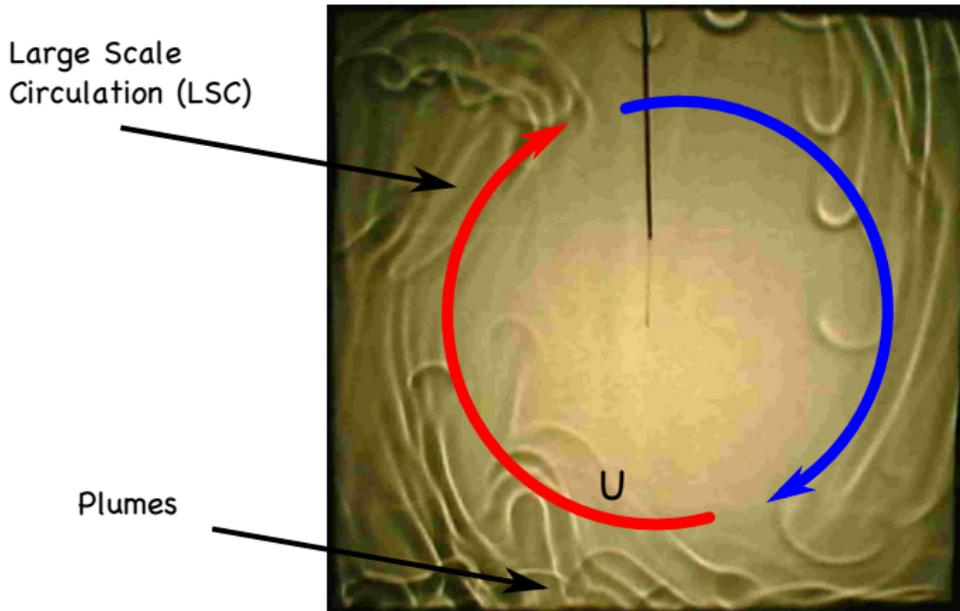
Reference	fluid	Pr	Ra range	γ
Ashkenazi & Steinberg (1999)	SF ₆	1–93	10^9 – 10^{14}	0.30 ± 0.03
Garon & Goldstein (1973)	H ₂ O	5.5	10^7 – 3×10^9	0.293
Tanaka & Miyata (1980)	H ₂ O	6.8	3×10^7 – 4×10^9	0.290
Goldstein & Tokuda (1980)	H ₂ O	6.5	10^9 – 2×10^{11}	$\frac{1}{3}$
Qiu & Xia (1998)	H ₂ O	≈ 7	2×10^8 – 2×10^{10}	0.28
Lui & Xia (1998)	H ₂ O	≈ 7	2×10^8 – 2×10^{10}	0.28 ± 0.06
Shen <i>et al.</i> (1996)	H ₂ O	≈ 7	8×10^7 – 7×10^9	0.281 ± 0.015
Threlfall (1975)	He	0.8	4×10^5 – 2×10^9	0.280
Castaing <i>et al.</i> (1989)	He	0.7–1	$\lesssim 10^{11}$	0.282 ± 0.006
Wu & Libchaber (1991)	He	0.6–1.2	4×10^7 – 10^{12}	0.285
Chavanne <i>et al.</i> (1997)	He	0.6–0.73	3×10^7 – 10^{11}	$\frac{2}{7}$
Davis (1922)	air	≈ 1	$\lesssim 10^8$	0.25
Rosby (1969)	Hg	0.025	2×10^4 – 5×10^5	0.247
Takeshita <i>et al.</i> (1996)	Hg	0.025	10^6 – 10^8	0.27
Cioni <i>et al.</i> (1997)	Hg	0.025	5×10^6 – 5×10^8	0.26 ± 0.02
Cioni <i>et al.</i> (1997)	Hg	0.025	4×10^8 – 2×10^9	0.20
Glazier <i>et al.</i> (1999)	Hg	0.025	2×10^5 – 8×10^{10}	0.29 ± 0.01
Horanyi <i>et al.</i> (1998)	Na	0.005	$\lesssim 10^6$	0.25

TABLE 1. Power-law exponents γ of the power law $Nu \sim Ra^\gamma$ for various experiments. The experiments were done with different aspect ratios; however, no strong dependence of the scaling exponent γ on the aspect ratio is expected (in contrast to the prefactors, which do have an aspect ratio dependence as found by Wu & Libchaber 1992).

Shadowgraphy from the side

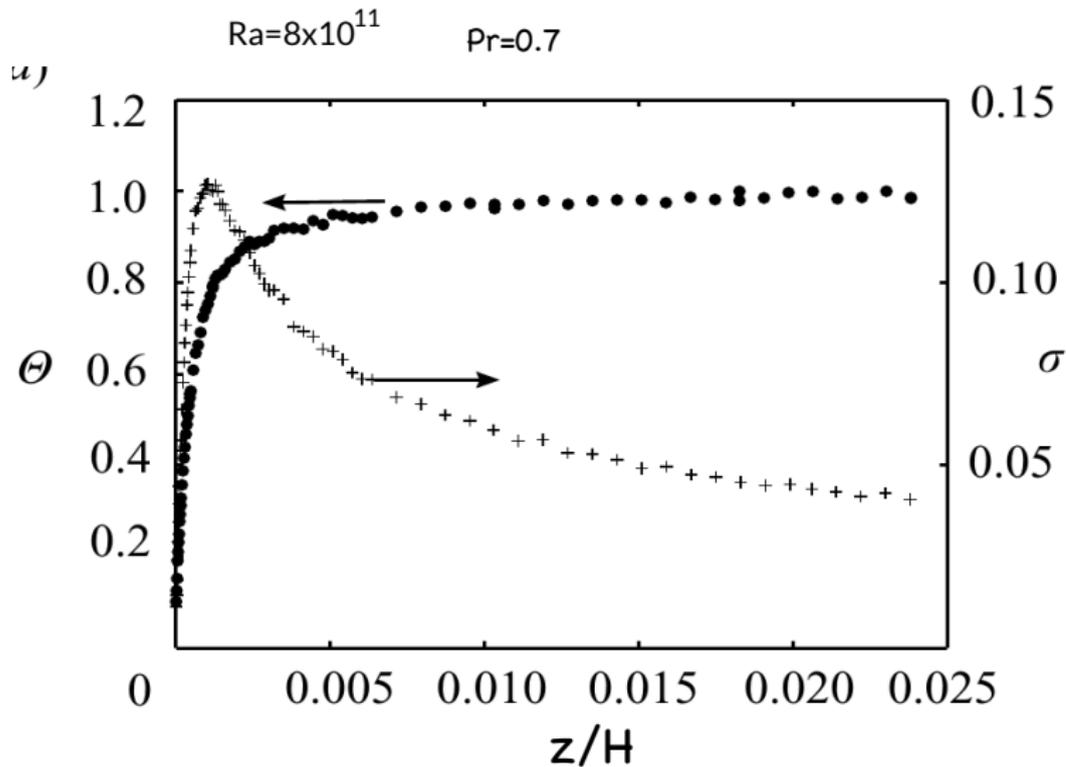
Aspect ratio = 1

$Pr = 600$ $Ra = 7 \times 10^8$



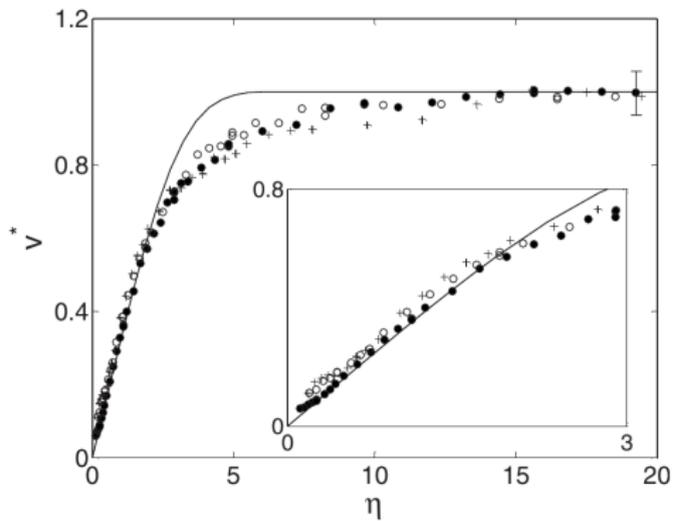
Courtesy: Shang et al, PRL 2003

temperature profile (at distance from the top plate)

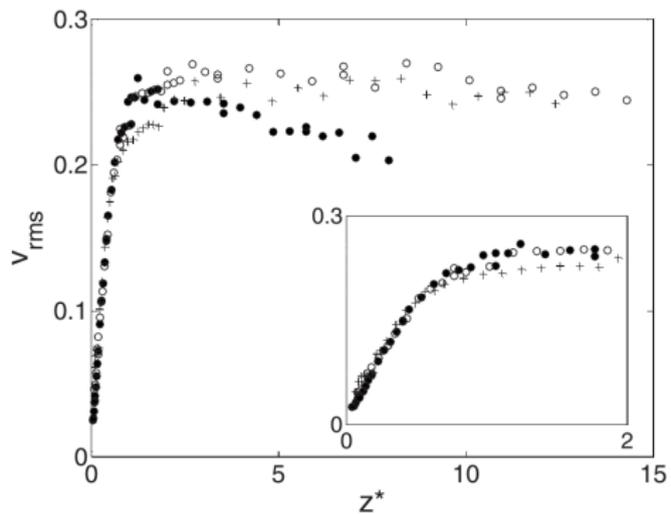


from: duPuits et al, JFM 2007

$Ra = 1 \times 10^{11} \rightarrow 1 \times 10^{12}$



$Pr = 0.7$



from: duPuits et al, PRE, 2009

Gossmann & Holger (2000, 2001)

Control parameter:

$$Re = \frac{\rho g \Delta T L^3}{\eta} ; Pr = \frac{\eta}{\kappa}$$

Response:

$$Re = \frac{U \cdot L}{\nu} ; Nu = \frac{\langle u_z \otimes \rangle_{x,y,t} - \kappa \partial_z \langle \otimes \rangle_{x,y,t}}{\kappa \Delta T / L}$$

U ... maximal velocity of the mean wind

The Grossmann - Lohse model

Governing equations

$$\begin{aligned}\partial \vec{u} / \partial t + \vec{u} \cdot \nabla \vec{u} &= -\nabla p + \nu \nabla^2 \vec{u} + \alpha g T \hat{z} && \text{momentum} \\ \partial T / \partial t + \vec{u} \cdot \nabla T &= \kappa \nabla^2 T && \text{energy}\end{aligned}$$

Kinetic and thermal dissipation

$$\begin{aligned}\epsilon_u &= \nu \left(\frac{\partial u_i}{\partial x_j} \right)^2 \\ \epsilon_\theta &= \kappa \left(\frac{\partial T}{\partial x_j} \right)^2\end{aligned}$$

Volumen averaged kinetic and thermal dissipation

$$\begin{aligned}\langle \epsilon_u \rangle_V &= \frac{\nu^3}{L^4} (Nu - 1) Ra Pr^{-2} \\ \langle \epsilon_\theta \rangle_V &= \kappa \frac{\Delta T^2}{L^2} Nu\end{aligned}$$

Heights of kinetic and thermal boundary layers

$$\begin{aligned}\delta_u &\propto \frac{L}{Re^{1/2}} \\ \delta_\theta &= \frac{1}{2} \frac{L}{Nu}\end{aligned}$$

Decompose dissipation in bulk and boundary layer contributions

$$\begin{aligned}\langle \epsilon_u \rangle_V &= \epsilon_{u,BL} + \epsilon_{u,bulk} \\ \langle \epsilon_\theta \rangle_V &= \epsilon_{\theta,BL} + \epsilon_{\theta,bulk}\end{aligned}$$

This suggests 4 different regimes

1) $\epsilon_{u,BL} \gg \epsilon_{u,bulk}$ small Ra (thick BL)
 $\epsilon_{\theta,BL} \gg \epsilon_{\theta,bulk}$

2) $\epsilon_{u,bulk} \gg \epsilon_{u,BL}$ small Pr $\rightarrow \delta_u \ll \delta_\theta$
 $\epsilon_{\theta,BL} \gg \epsilon_{\theta,bulk}$

3) $\epsilon_{u,BL} \gg \epsilon_{u,bulk}$ large Pr $\rightarrow \delta_u \gg \delta_\theta$
 $\epsilon_{\theta,bulk} \gg \epsilon_{\theta,BL}$

4) $\epsilon_{u,bulk} \gg \epsilon_{u,BL}$ large Ra (thin BL)
 $\epsilon_{\theta,bulk} \gg \epsilon_{\theta,BL}$

Estimating bulk and BC contribution

$$\partial_t \vec{u} + (\vec{u} \cdot \nabla) \vec{u} = -\nabla p + \nu \Delta \vec{u} + \alpha g d_2 \Theta \quad (1.5)$$

with ν low

$$\partial_t \Theta + \vec{u} \cdot \nabla \Theta = \kappa \Delta \Theta \quad (1.6)$$

$$E_{u, \text{bulk}} = \nu \langle (\partial_i u_j(x \in \text{bulk}(t)))^2 \rangle \approx u \cdot u^2 \frac{H-d_u}{L} \sim \frac{U^3}{H} = \frac{2^3}{H^4} R_0^3$$

This assumes that all the energy of the large scale circulation in the bulk breaks down to smaller scale and gets dissipated ($E_{\text{in}} \propto u^3$, its change: $\frac{u^3}{T}$ with $T = \frac{L}{u}$)

E_{bulk} was defined since it is not equivalent whether $d_u > d_\Theta$ or $d_u < d_\Theta \frac{U}{c}$

for $d_u < d_\Theta$ (small Pr)



analogy:

$$\varepsilon_{\theta, \text{bulk}} = \kappa \langle (\partial_t \theta(x, y, z)) \rangle^2 \sim \frac{U \Delta T^2}{H} = \kappa \frac{\Delta T^2}{H^2} Pr Re$$

this is in complete analogy to above

for $d_u > d_\theta$

large Pr

(the same, but the relevant velocity is measured by d_θ)

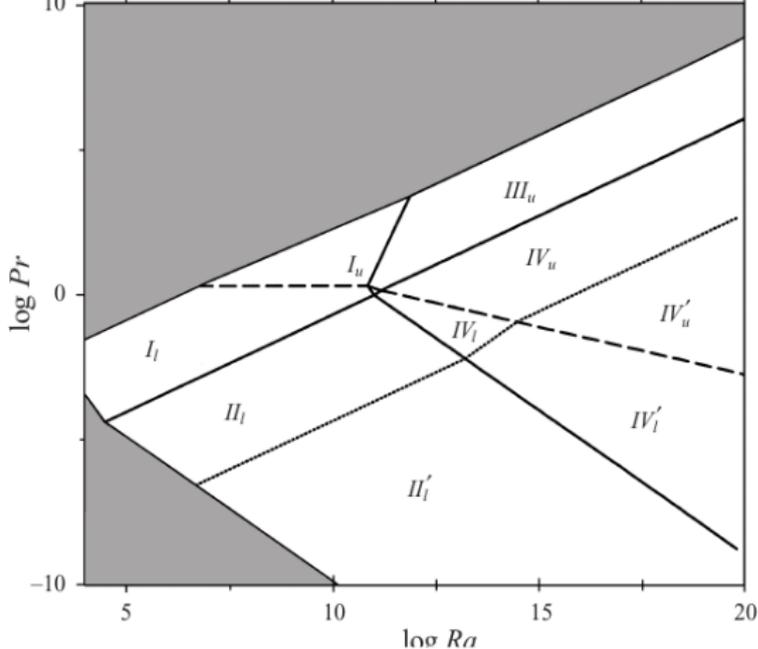
$$\varepsilon_{\theta, \text{bulk}} \sim \frac{d_\theta}{d_u} \frac{U \Delta T^2}{H} = \kappa \frac{\Delta T^2}{H^2} Pr Re^{3/2} Nu^{-1}$$

Boundary layer contributions:

$$\varepsilon_{u, \text{BL}} \sim \nu \frac{U^2}{d_u^2} \frac{d_u}{H} \sim \frac{\nu^3}{H^4} Re^{5/2} \quad (\text{from Crocco et al. 1997})$$

$$\varepsilon_{\theta, \text{BL}} \sim \kappa \frac{\Delta T^2}{d_\theta^2} \frac{d_\theta}{H} \sim \kappa \frac{(\Delta T)^2}{H^2} Nu$$

(this is the same as above, but coincides with 2.6 if the thermal gradient is assumed)



Regime	Dominance of	BL	Nu	Re
I_i	$\epsilon_{u,BL}, \epsilon_{\theta,BL}$	$\lambda_u < \lambda_\theta$	$0.27Ra^{1/4}Pr^{1/8}$	$0.037Ra^{1/2}Pr^{-3/4}$
I_u		$\lambda_u > \lambda_\theta$	$0.33Ra^{1/4}Pr^{-1/12}$	$0.039Ra^{1/2}Pr^{-5/6}$
II_i	$\epsilon_{u,bulk}, \epsilon_{\theta,BL}$	$\lambda_u < \lambda_\theta$	$0.97Ra^{1/5}Pr^{1/5}$	$0.47Ra^{2/5}Pr^{-3/5}$
(II_u)		$\lambda_u > \lambda_\theta$	$(\sim Ra^{1/5})$	$(\sim Ra^{2/5}Pr^{-2/3})$
III_i	$\epsilon_{u,BL}, \epsilon_{\theta,bulk}$	$\lambda_u < \lambda_\theta$	$6.43 \times 10^{-6}Ra^{2/3}Pr^{1/3}$	$5.24 \times 10^{-4}Ra^{2/3}Pr^{-2/3}$
III_u		$\lambda_u > \lambda_\theta$	$3.43 \times 10^{-3}Ra^{3/7}Pr^{-1/7}$	$6.46 \times 10^{-3}Ra^{4/7}Pr^{-6/7}$
IV_i	$\epsilon_{u,bulk}, \epsilon_{\theta,bulk}$	$\lambda_u < \lambda_\theta$	$4.43 \times 10^{-4}Ra^{1/2}Pr^{1/2}$	$0.036Ra^{1/2}Pr^{-1/2}$
IV_u		$\lambda_u > \lambda_\theta$	$0.038Ra^{1/3}$	$0.16Ra^{4/9}Pr^{-2/3}$

TABLE 2. The power laws for Nu and Re of the theory presented, including the prefactors which are adopted from four pieces of experimental information in §4. The exact values of the prefactors depend also on how the Reynolds number is defined, see the first paragraph of §4. Regime II_u is in brackets as it turns out that it does not exist for this choice of prefactors

In GL-theory: laminar viscose boundary layers

What happens if viscose BL become turbulent?

Kraichnan 1962:

- for turbulent BL:

$$Nu \propto [Ra / (\ln Ra)^3]^{1/2}$$

The ultimate regime

Heat transport is bulk limited

Most relevant for geo/astro-physical applications

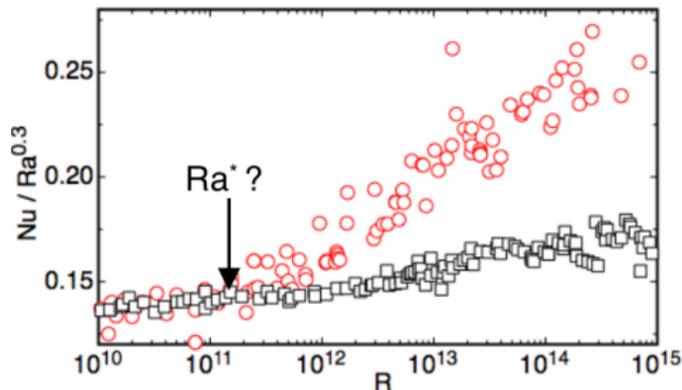
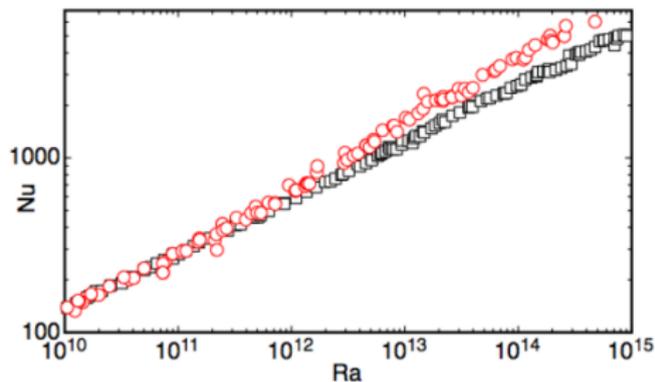
How to reach large Ra in the experiment?

$$Ra = \left(\frac{\alpha}{\nu\kappa} \right) g\Delta T L^3$$

- using a gas near its critical point

Problem:

- Pr varies
- Non-Oberbeck- Boussinesq effects



- Chavanne, et al, Phys. Fluids 13, 1300 (2001)
found a transition at $Ra \sim 10^{11} - 10^{12}$
- Niemela, et al, Nature 404, 837 (2000)
found no transition

Similar experiments using Helium close to its critical point

$$Ra = \frac{\alpha}{\nu\kappa} \cdot g\Delta TL^3$$

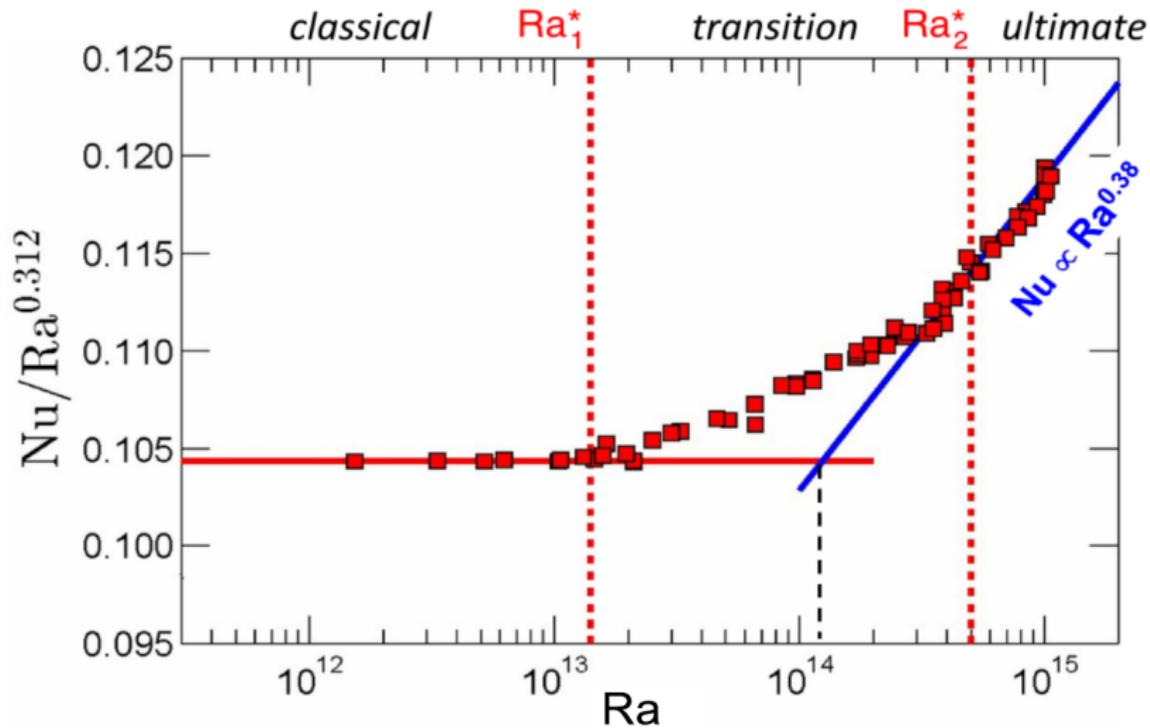
Fluid: Sulfur hexafluoride (SF_6)

- non-toxic (but strong greenhouse gas)
- density: $6.63 \text{ kg/m}^3 @ 1\text{atm}$ (6x air)
- small kinematic viscosity ν
- large Rayleigh numbers

Pressure: $1 \times 10^{-3} \text{ bar} \rightarrow 20 \text{ bar}$

→ variable density and kinematic viscosity

$\Gamma = 0.5$



He et al., PRL, 108, 024502, 2012

