

# Stabilisierung von unendlich-dimensionalen nichtlinearen Systemen

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## Problem setup

We consider abstract Cauchy problems

$$\begin{aligned} \dot{y}(t) &= \mathcal{A}y(t) & + \mathcal{B}u(t), \quad y(0) = y_0 \in \mathcal{Y}, \\ y_{\text{obs}}(t) &= \mathcal{C}y(t), \end{aligned}$$

- $\mathcal{Y}$  is a **Hilbert space**
- $\mathcal{A}: \mathcal{D}(\mathcal{A}) \subset \mathcal{Y} \rightarrow \mathcal{Y}$  generates a  **$C_0$ -semigroup**  $(e^{At})_{t \geq 0}$  on  $\mathcal{Y}$ :
  - $e^{At} \in \mathcal{L}(\mathcal{Y})$  for all  $t \geq 0$ ,
  - $e^{A0} = I$ ,  $e^{A(t+s)} = e^{At}e^{As}$  for all  $t, s \geq 0$ ,
  - $\lim_{t \downarrow 0} \|e^{At}y - y\| = 0$ ,
  - $\mathcal{D}(\mathcal{A}) = \left\{ y \in \mathcal{Y} : \lim_{t \downarrow 0} \frac{e^{At}y - y}{t} \text{ exists} \right\}$ ,  $\mathcal{A}y = \lim_{t \downarrow 0} \frac{e^{At}y - y}{t}$ .

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**Goal:** find  $u$  such that  $\lim_{t \rightarrow \infty} \|y(t)\|_{\mathcal{Y}} = 0$  if  $\|y_0\|_{\mathcal{Y}} < \rho$

# Nonlinear control systems

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where, in addition to before

- semigroup  $e^{\mathcal{A}t}$  is **analytic**,
- $\lambda$  is s.t.  $\hat{\mathcal{A}} := \lambda I - \mathcal{A}$  satisfies  $\langle y, \hat{\mathcal{A}}y \rangle_{\mathcal{Y}} \geq \|y\|_{\mathcal{Y}}^2$  for  $y \in \mathcal{D}(\mathcal{A})$ ,
- $B \in \mathcal{L}(U, [\mathcal{D}(\mathcal{A}^*)]')$  and  $\hat{\mathcal{A}}^{-\gamma}B \in \mathcal{L}(U, \mathcal{Y})$  for fixed  $0 \leq \gamma < 1$ ,
- $C \in \mathcal{L}(\mathcal{D}(\hat{\mathcal{A}}^\delta), \mathcal{Z})$  for  $\delta < \min\{1 - \gamma, \frac{1}{2}\}$ ,
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# A general Riccati based approach

1. Consider LQ-problem for linearized system

$$\min_{u \in L^2([0, \infty); \mathcal{U})} \frac{1}{2} \left( \int_0^\infty \|C y(t)\|^2 + \|u(t)\|^2 dt \right)$$

$$\text{s.t. } \dot{y} = \mathcal{A}y + \mathcal{B}u, \quad y(0) = y_0, \quad y_{\text{obs}} = Cy$$

If  $(\mathcal{A}, \mathcal{B})$  stabilizable,  $(\mathcal{A}, \mathcal{C})$  detectable, obtain  $u_{\text{opt}} = -\mathcal{B}^* \mathcal{P} y$  via

$$\langle \mathcal{A}^* \mathcal{P} y_1, y_2 \rangle_{\mathcal{Y}} + \langle \mathcal{P} \mathcal{A} y_1, y_2 \rangle_{\mathcal{Y}} - \langle \mathcal{B}^* \mathcal{P} y_1, \mathcal{B}^* \mathcal{P} y_2 \rangle_{\mathcal{U}} + \langle C y_1, C y_2 \rangle_{\mathcal{Z}} = 0$$

2. Study local well-posedness of  $\dot{y} = (\mathcal{A} - \mathcal{B}\mathcal{B}^*\mathcal{P})y + \mathcal{F}(y)$  by
  - showing that  $\mathcal{F}$  is locally Lipschitz continuous
  - analyzing solutions to  $\dot{y}_z = (\mathcal{A} - \mathcal{B}\mathcal{B}^*\mathcal{P})y_z + \mathcal{F}(z)$
  - applying a fixed point argument for the nonlinear system

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# Existing Riccati theory

[Lasiacka/Triggiani'00]

Under previous assumptions the LQ-problem

$$\min_{u \in L^2([0, \infty); \mathcal{U})} \frac{1}{2} \left( \int_0^\infty \|Cy(t)\|^2 + \|u(t)\|^2 dt \right)$$

$$\text{s.t. } \dot{y} = Ay + Bu, \quad y(0) = y_0, \quad y_{\text{obs}} = Cy$$

has a unique optimal solution  $u_{\text{opt}} = -B^*P y \in L^2(0, \infty; \mathcal{U})$ .

In particular, it holds that

- $(\hat{A}^*)^\gamma \mathcal{P} \hat{A}^{-\delta} \in \mathcal{L}(\mathcal{Y})$ ,
- $B^*P \in \mathcal{L}(\mathcal{D}(\hat{A}^\delta), \mathcal{U})$ ,
- $A_{\mathcal{P}} := A - BB^*P$  generates an exponentially stable, analytic semigroup  $e^{A_{\mathcal{P}}t}$  on  $\mathcal{D}(\hat{A}^\delta)$

# The monodomain equations

Consider a **coupled PDE-ODE** system

$$\partial_t v = \Delta v + av + bw + cv^2 + dv^3 + f_v, \quad \text{in } \Omega \times (0, \infty),$$

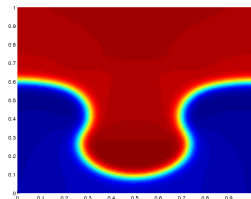
$$\partial_t w = \gamma v - \delta w + f_w, \quad \text{in } \Omega \times (0, \infty),$$

$$\partial_\nu v = mu + g, \quad \text{on } \Gamma \times (0, \infty),$$

$$v_{\text{obs}} = \tilde{m}v|_\Gamma,$$

$$v(x, 0) = v_0(x) \text{ and } w(x, 0) = w_0(x), \quad \text{in } \Omega,$$

- $a, b, c, d \in \mathbb{R}, \gamma, \delta > 0$
- equations simplify **bidomain** equations,
- model for electric activity of human heart,
- **spiral/reentry waves** can occur  
      $\rightsquigarrow$  fibrillation processes of the heart,
- $m, \tilde{m}$  allow to **localize** control/observation.



# Stabilizing around a stationary solution

Let  $(\bar{v}, \bar{w}) \in H^3(\Omega) \times L^\infty(\Omega)$  denote a stationary solution

$$\begin{aligned} 0 &= \Delta \bar{v} + a\bar{v} + b\bar{w} + c\bar{v}^2 + d\bar{v}^3 + f_v, & \text{in } \Omega \times (0, \infty), \\ 0 &= \gamma \bar{v} - \delta \bar{w} + f_w, & \text{in } \Omega \times (0, \infty), \\ \partial_\nu \bar{v} &= g, & \text{on } \Gamma \times (0, \infty), \end{aligned}$$

and consider the **stabilization problem** for  $(y, z) = (v - \bar{v}, w - \bar{w})$ :

$$\begin{aligned} \partial_t y &= \Delta y + \alpha(x)y + bz + dy^3 + \varphi(x)y^2, & \text{in } \Omega \times (0, \infty), \\ \partial_t z &= \gamma y - \delta z, & \text{in } \Omega \times (0, \infty), \\ \partial_\nu y &= mu, & \text{on } \Gamma \times (0, \infty), \\ y_{\text{obs}} &= \tilde{m}y|_\Gamma, \\ y(x, 0) &= v_0(x) - \bar{v}(x) \text{ and } z(x, 0) = w_0(x) - \bar{w}(x), & \text{in } \Omega. \end{aligned}$$

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# The abstract linearized system

We can write the linearization as abstract Cauchy problem

$$\dot{\mathbf{y}} = \mathcal{A}\mathbf{y} + \mathcal{B}u, \quad \mathbf{y}(0) = \begin{pmatrix} y_0 \\ z_0 \end{pmatrix},$$

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where

- $\mathcal{A}\mathbf{y} = \begin{pmatrix} \Delta y + \alpha(x)y + bz \\ \gamma y - \delta z \end{pmatrix}$ ,  $\mathcal{D}(\mathcal{A}) = H^2_\nu(\Omega) \times L^2(\Omega)$ ,
- $\mathcal{B} = \widehat{\mathcal{A}}\mathcal{N}_{\widehat{\mathcal{A}}}$  with Neumann map  $\mathcal{N}_{\widehat{\mathcal{A}}}$  defined by  $\mathcal{N}_{\widehat{\mathcal{A}}}u = \mathbf{y}$  iff

$$\begin{aligned} \lambda y - \Delta y - \alpha(x)y - bz &= 0, && \text{in } \Omega, \\ \lambda z - \gamma y + \delta z &= 0, && \text{in } \Omega, \\ \partial_\nu y &= mu, && \text{on } \Gamma, \end{aligned}$$

- $\mathcal{C}\mathbf{y} = \tilde{m}\gamma|_\Gamma$  the Dirichlet trace operator.

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# Properties of the linearized system

We have the following results:

- $(\mathcal{A}, \mathcal{D}(\mathcal{A}))$  generates an analytic semigroup on  $\mathcal{Y} = L^2(\Omega) \times L^2(\Omega)$ ,
- the point spectrum  $\sigma_p(\mathcal{A})$  is given by

$$\sigma_p(\mathcal{A}) = \left\{ \lambda \in \mathbb{C} \mid \lambda = -\frac{\delta - \mu}{2} \pm \frac{1}{2} \sqrt{(\delta + \mu)^2 + 4\gamma b} \right\},$$

where  $\mu$  denote the eigenvalues of the operator  $\Delta + \alpha(x)$ ,

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# Null controllability and $\beta$ -stabilizability

**Recall:** we need stabilizability/detectability of  $(\mathcal{A}, \mathcal{B})$  and  $(\mathcal{A}, \mathcal{C})$

$\infty$ -dim Hautus test

[WEISS/REBARBER'00]

If  $(\mathcal{A}, \mathcal{B})$  is stabilizable, then there exist  $\kappa > 0$  and  $m > 0$  such that for all  $s \in \mathbb{C}$  with  $\operatorname{Re}(s) > -\kappa$

$$\|(sI - \mathcal{A}^*)y\| + \|\mathcal{B}^*y\| \geq m\|y\| \quad \forall y \in \mathcal{D}(\mathcal{A}^*).$$

For  $\beta \geq \delta$  Hautus test can be shown to fail for  $(\mathcal{A} + \beta I, \mathcal{B})$ :

consider  $\lambda \in \sigma_p(\mathcal{A}^* + \beta I)$  with eigenfunction  $y = (y, \frac{b}{\delta - \beta + \lambda}y)$

**Consequence:**  $(\mathcal{A}, \mathcal{B})$  not  $\beta$ -stabilizable, not null controllable



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# Null controllability and $\beta$ -stabilizability

**Recall:** we need stabilizability/detectability of  $(\mathcal{A}, \mathcal{B})$  and  $(\mathcal{A}, \mathcal{C})$

$\infty$ -dim Hautus test

[WEISS/REBARBER'00]

If  $(\mathcal{A}, \mathcal{B})$  is stabilizable, then there exist  $\kappa > 0$  and  $m > 0$  such that for all  $s \in \mathbb{C}$  with  $\operatorname{Re}(s) > -\kappa$

$$\|(sI - \mathcal{A}^*)y\| + \|\mathcal{B}^*y\| \geq m\|y\| \quad \forall y \in \mathcal{D}(\mathcal{A}^*).$$

For  $\beta \geq \delta$  Hautus test can be shown to fail for  $(\mathcal{A} + \beta I, \mathcal{B})$ :

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For  $\beta < \delta$  stabilizability of  $(\mathcal{A} + \beta I, \mathcal{B})$  can be shown as follows:

- consider auxiliary LQ-problem

$$\min_{u \in L^2([0, \infty); \mathcal{U})} \frac{1}{2} \left( \int_0^\infty \|y(t)\|^2 + \|u(t)\|^2 dt \right)$$

$$\text{s.t.} \begin{cases} \partial_t y = \Delta y + \alpha(x)y + \kappa y, & \text{in } \Omega \times (0, \infty), \\ \partial_\nu y = mu, & \text{on } \Gamma \times (0, \infty), \\ y(x, 0) = y_0(x), & \text{in } \Omega, \end{cases}$$

with  $\kappa = \beta + \gamma \frac{|\beta|}{\delta - \beta}$ ,

- optimal feedback gain  $k_{\text{aux}}$  stabilizes original problem  
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# Analyzing the nonlinearity

Recall: **nonlinearity** for the monodomain equations given by

$$\mathcal{F}(y) := \begin{pmatrix} \varphi(x)y^2 + dy^3 \\ 0 \end{pmatrix} =: \begin{pmatrix} F(y) \\ 0 \end{pmatrix}$$

On  $Q_\infty = \Omega \times (0, \infty)$  consider

$$H^{2,1}(Q_\infty) := L^2(0, \infty; H^2(\Omega)) \cap H^1(0, \infty; L^2(\Omega)).$$

For  $y_1, y_2 \in H^{2,1}(Q_\infty)$  it holds that

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## Some regularity results

Let us turn to the (nonhomogeneous) **linearized closed-loop** system

$$\dot{\mathbf{y}} = (\mathcal{A} - BB^*\mathcal{P})\mathbf{y} + \begin{pmatrix} f \\ 0 \end{pmatrix}, \quad \mathbf{y}(0) = \begin{pmatrix} y_0 \\ z_0 \end{pmatrix}, \quad (*)$$

$$\mathbf{y}_{\text{obs}} = \mathcal{C}\mathbf{y}$$

If  $f \in L^2(Q_\infty)$  and  $y_0 \in H^1(\Omega) \times L^2(\Omega)$ , then (\*) has a unique solution

$$\mathbf{y} \in (H^{2,1}(Q_\infty) \cap C_b([0, \infty); H^1(\Omega))) \times H^2(0, \infty; L^2(\Omega))$$

Regularity of analytic semigroups

[BENSOUSSAN ET AL.'93]

If  $\mathcal{A}$  generates an exp. stable analytic sg. on  $\mathcal{Y}$  then for all  $0 \leq \theta \leq 1$ ,

$$h: y \mapsto (\dot{y} - \mathcal{A}y, y(0))$$

$$L^2(0, \infty; [\mathcal{D}(\mathcal{A}), \mathcal{Y}]_\theta) \cap H^1(0, \infty; [\mathcal{D}(\mathcal{A}^*), \mathcal{Y}]'_{1-\theta})$$

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# The final result

## Local well-posedness of the closed-loop system

Let  $\Omega \subset \mathbb{R}^n$ ,  $n \in \{2, 3\}$ . Then there exist  $\mu_1, \mu_2 > 0$  such that if  $\|\mathbf{y}_0\|_{H^1(\Omega) \times L^2(\Omega)} \leq \mu_1$ , then

$$\dot{\mathbf{y}} = (\mathcal{A} - \mathcal{B}\mathcal{B}^*\mathcal{P})\mathbf{y} + \mathcal{F}(\mathbf{y}), \quad \mathbf{y}(0) = \mathbf{y}_0,$$

admits a unique solution in the set

$$D_\mu = \left\{ \mathbf{y} \in (H^{2,1}(Q_\infty) \cap C_b([0, \infty); H^1(\Omega))) \times H^2(0, \infty; L^2(\Omega)), \right. \\ \left. \|\mathbf{y}\|_{H^{2,1}(Q_\infty) \times H^2(0, \infty; L^2(\Omega))} \leq \mu_2 \right\}.$$

**In particular:**  $\lim_{t \rightarrow \infty} \|\mathbf{y}\|_{H^1(\Omega)} = 0$

**Proof idea:** show that  $\mathcal{M}: \mathbf{z} \rightarrow \mathbf{y}_z$  defined by

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## Remarks and open questions

One can obtain **similar results** in the case that

- $\Omega \subset \mathbb{R}^2$  and  $\mathbf{y}_0 \in H^\varepsilon(\Omega) \times L^2(\Omega)$  with  $\varepsilon \in (0, 1)$
- $\Omega \subset \mathbb{R}^3$  and  $\mathbf{y}_0 \in H^\varepsilon(\Omega) \times L^2(\Omega)$  with  $\varepsilon \in (\frac{1}{2}, 1)$
- $\Omega \subset \mathbb{R}^2$ , **Dirichlet** control and  $\mathbf{y}_0 \in H^\varepsilon(\Omega) \times L^2(\Omega)$  with  $\varepsilon \in (0, \frac{1}{2})$

It is not clear (to me) if

- stabilization by Dirichlet control in  $\Omega \subset \mathbb{R}^3$  is possible
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# Bilinear control systems

Consider a bilinear control system

$$\begin{aligned} \dot{y}(t) &= \mathcal{A}y(t) + \mathcal{N}y(t)u(t) + \mathcal{B}u(t), \quad y(0) = y_0 \in \mathcal{Y}, \\ y_{\text{obs}}(t) &= \mathcal{C}y(t), \end{aligned}$$

with

- Hilbert spaces  $V \subset \mathcal{Y} \subset V'$ , **dense** and **compact embedding**  $V \hookrightarrow \mathcal{Y}$
- $-\mathcal{A}$  associated to a  **$V$ - $\mathcal{Y}$ -coercive** bilinear form  $a: V \times V \rightarrow \mathbb{R}$

$$\exists \delta > 0 \text{ and } \lambda \in \mathbb{R}: a(v, v) \geq \delta \|v\|_V^2 - \lambda \|v\|_{\mathcal{Y}}^2, \quad \forall v \in V$$

- $\mathcal{N} \in \mathcal{L}(V, \mathcal{Y}) \cap \mathcal{L}(\mathcal{D}(\mathcal{A}), V)$  and  $\mathcal{N}^* \in \mathcal{L}(V, \mathcal{Y})$
- $\mathcal{B} \in \mathcal{Y}$ ,  $\mathcal{C} \in \mathcal{L}(\mathcal{Y}, \mathcal{Z})$ ,  $(\mathcal{A}, \mathcal{B})$  stabilizable,  $(\mathcal{A}, \mathcal{C})$  detectable
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# Bilinear control systems

Consider a bilinear control system

$$\begin{aligned} \dot{y}(t) &= \mathcal{A}y(t) + \mathcal{N}y(t)u(t) + \mathcal{B}u(t), \quad y(0) = y_0 \in \mathcal{Y}, \\ y_{\text{obs}}(t) &= \mathcal{C}y(t), \end{aligned}$$

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- Hilbert spaces  $V \subset \mathcal{Y} \subset V'$ , **dense** and **compact embedding**  $V \hookrightarrow \mathcal{Y}$
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# An infinite horizon problem

In the following, we focus on

$$\inf_{u \in L^2(0, \infty)} \mathcal{J}(u, y_0) := \frac{1}{2} \int_0^\infty \|Cy(t)\|^2 dt + \frac{\alpha}{2} \int_0^\infty u(t)^2 dt, \quad (\text{P})$$

$$\text{s.t. } \begin{cases} \dot{y}(t) = Ay(t) + Ny(t)u(t) + Bu(t), & \text{for } t > 0, \\ y(0) = y_0. \end{cases}$$

We call  $y$  a **solution** of the system if for each  $T > 0$

$$y \in W(0, T) = \{y \in L^2(0, T; V) \mid \dot{y} \in L^2(0, T; V')\}.$$

**Notation:**  $W_\infty := W(0, \infty)$  and  $W_\infty^0 = \{y \in W_\infty \mid y(0) = 0\}$

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# A local detectability result

## Local detectability

There exists  $\delta, M > 0$  such that if

- $\|u\|_{L^2(0,\infty)} \leq \delta$
- the solution  $y$  to

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satisfies  $\mathcal{C}y \in L^2(0, \infty; \mathcal{Z})$

then

$$\|y\|_{W_\infty} \leq M (\|y_0\|_{\mathcal{Y}} + \|u\|_{L^2(0,\infty)} + \|f\|_{L^2(0,\infty;V')} + \|\mathcal{C}y\|_{L^2(0,\infty;\mathcal{Z})}).$$

**Consequence:** if  $\|u\|_{L^2(0,\infty)} \leq \delta$  and  $\mathcal{J}(u, y_0) < \infty$  then  $y \in W_\infty$

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# Feasible and optimal controls

## Existence of a feasible control

There exists  $\delta > 0$  such that if  $\|y_0\|_{\mathcal{Y}} < \delta$ , then (P) is **feasible**.

## Existence of optimal controls

Let  $y_0 \in \mathcal{Y}$  and assume that (P) is **feasible**. Then, there exists an **optimal control**  $\bar{u}$  with  $\bar{y} \in W_\infty$  and a **costate**  $p \in W_\infty$  such that for a.e.  $t \geq 0$ ,

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**Proof idea:** sensitivity analysis based on the mapping

$$\Phi: (y, u, p) \mapsto \begin{pmatrix} y(0) \\ \dot{y} - (\mathcal{A}y + \mathcal{N}yu + \mathcal{B}u) \\ -\dot{p} - \mathcal{A}^* p - \mathcal{N}^* pu - \mathcal{C}^* \mathcal{C} y \\ \alpha u + \langle \mathcal{N}y + \mathcal{B}, p \rangle_{\mathcal{Y}} \end{pmatrix}$$

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# The value function

For  $y_0 \in \mathcal{Y}$  with  $\mathcal{V}$  we denote the **value function** associated with (P)

$$\mathcal{V}(y_0) = \inf_{u \in L^2(0, \infty)} \mathcal{J}(u, y_0).$$

## Regularity of $\mathcal{V}$

There exists  $\delta > 0$  such that  $\mathcal{V}$  is **infinitely differentiable** on  $B_{\mathcal{Y}}(\delta)$ .

## An optimal feedback law

There exists  $\delta > 0$  s.t. for all  $y_0 \in B_{\mathcal{Y}}(\delta)$  the optimal control  $\bar{u}$  is given by

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# Taylor expansions of $\mathcal{V}$

Idea: **Expand**  $\mathcal{V}$  around 0 as follows

$$\mathcal{V}(y) = \underbrace{\mathcal{V}(0)}_{\in \mathbb{R}} + \underbrace{D\mathcal{V}(0)}_{\in \mathcal{L}(\mathcal{Y}, \mathbb{R})}(y) + \frac{1}{2!} \underbrace{D^2\mathcal{V}(0)}_{\in \mathcal{L}(\mathcal{Y}^2, \mathbb{R})}(y, y) + \frac{1}{3!} \underbrace{D^3\mathcal{V}(0)}_{\in \mathcal{L}(\mathcal{Y}^3, \mathbb{R})}(y, y, y) + \dots$$

and approximate optimal feedback law via

$$u_k = -\frac{1}{\alpha} \sum_{j=2}^k \frac{1}{(j-1)!} D^j \mathcal{V}(0)(\mathcal{N}y + \mathcal{B}, y, \dots, y).$$

**Finite-dimensional case:**

[AGUILAR, AL'BREKHT, CEBUHAR, COSTANZA, GARRARD, KRENER, LUKES, ... ]

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## The Riccati equation and $D^2\mathcal{V}(0)$

First nontrivial term in expansion is determined via

$$\langle \mathcal{A}^* \mathcal{P} y_1, y_2 \rangle + \langle \mathcal{P} \mathcal{A} y_1, y_2 \rangle - \langle \mathcal{B}, \mathcal{P} y_1 \rangle \langle \mathcal{B}, \mathcal{P} y_2 \rangle + \langle \mathcal{C} y_1, \mathcal{C} y_2 \rangle = 0,$$

for  $y_1, y_2 \in \mathcal{D}(\mathcal{A})$ . Since  $\mathcal{P} \in \mathcal{L}(\mathcal{Y})$ , we can define a bilinear form  $\mathcal{T}_2$  by

$$\mathcal{T}_2: \mathcal{Y} \times \mathcal{Y} \rightarrow \mathbb{R}, \quad \mathcal{T}_2(y_1, y_2) = \langle y_1, \mathcal{P} y_2 \rangle_{\mathcal{Y}}.$$

**Remark:**  $\mathcal{T}_2(\cdot, \cdot)$  corresponds to  $D^2\mathcal{V}(0)(\cdot, \cdot)$

**Question:** Precise structure of  $D^j\mathcal{V}(0)$  for  $j \geq 3$ ?

By  $\mathcal{A}_{\mathcal{P}} := \mathcal{A} - \frac{1}{\alpha} \mathcal{B} \mathcal{B}^* \mathcal{P}$  denote linearized closed-loop system.

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# The general structure

For  $i, j \in \mathbb{N}$ , consider the following set of **permutations**:

$$S_{i,j} = \{\sigma \in S_{i+j} \mid \sigma(1) < \dots < \sigma(i) \text{ and } \sigma(i+1) < \dots < \sigma(i+j)\},$$

where  $S_{i+j}$  is the set of permutations of  $\{1, \dots, i+j\}$ .

## Example

$$\begin{aligned} S_{2,2} &= \{\sigma \in S_4 \mid \sigma(1) < \sigma(2) \text{ and } \sigma(3) < \sigma(4)\} \\ &= \{(1, 2, 3, 4), (1, 3, 2, 4), (1, 4, 2, 3), \\ &\quad (2, 3, 1, 4), (2, 4, 1, 3), (3, 4, 1, 2)\} \end{aligned}$$

For given **multilinear form**  $\mathcal{T}$  (of order  $i+j$ ), we define

$$\text{Sym}_{i,j}(\mathcal{T})(z_1, \dots, z_{i+j}) := \binom{i+j}{i}^{-1} \left[ \sum_{\sigma \in S_{i,j}} \mathcal{T}(z_{\sigma(1)}, \dots, z_{\sigma(i+j)}) \right].$$



# The general structure

For  $j \geq 3$  and  $y_1, \dots, y_j \in \mathcal{D}(\mathcal{A})$  consider

$$\sum_{i=1}^j \mathcal{T}_j(y_1, \dots, y_{i-1}, \mathcal{A}_{\mathcal{P}} y_i, y_{i+1}, \dots, y_j) = \frac{1}{2\alpha} \mathcal{R}_j(y_1, \dots, y_j) \quad (*),$$

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where  $\mathcal{R}_j$  is given by:

$$\begin{aligned} \mathcal{R}_j &= 2j(j-1) \text{Sym}_{1,j-1}(\mathcal{F}_1 \otimes \mathcal{G}_{j-1}) \\ &\quad + \sum_{i=2}^{j-2} \binom{j}{i} \text{Sym}_{i,j-i} \left( (\mathcal{F}_i + i\mathcal{G}_i) \otimes (\mathcal{F}_{j-i} + (j-i)\mathcal{G}_{j-i}) \right), \end{aligned}$$

and:

$$\mathcal{F}_i(y_1, \dots, y_i) = \mathcal{T}_{i+1}(\mathcal{B}, y_1, \dots, y_i)$$

$$\mathcal{G}_i(y_1, \dots, y_i) = \frac{1}{i} \left[ \sum_{\ell=1}^i \mathcal{T}_i(y_1, \dots, y_{\ell-1}, \mathcal{N} y_{\ell}, y_{\ell+1}, \dots, y_i) \right].$$

# A multilinear operator equation

Well-posedness of  $\mathcal{T}_j \equiv D^j \mathcal{V}(0)$

For  $j \geq 3$ , and  $y_1, \dots, y_j \in \mathcal{Y}$  define the **multilinear form**

$$\begin{aligned} \mathcal{T}_j: \mathcal{Y} \times \dots \times \mathcal{Y} &\rightarrow \mathbb{R}, \\ \mathcal{T}_j(y_1, \dots, y_j) &= -\frac{1}{2\alpha} \int_0^\infty \mathcal{R}_j(e^{A_{\mathcal{P}}t} y_1, \dots, e^{A_{\mathcal{P}}t} y_j) dt. \end{aligned}$$

Then  $\mathcal{T}_j$  is the **unique solution** of (\*). Moreover, it holds that

$$|\mathcal{T}_j(y_1, \dots, y_j)| \leq C \prod_{i=1}^j \|y_i\|_{\mathcal{Y}}.$$

# The closed-loop system

Consider now the **polynomial feedback** law

$$u_k(y) = -\frac{1}{\alpha} \sum_{j=2}^k \frac{1}{(j-1)!} \mathcal{T}_j(\mathcal{N}y + \mathcal{B}, y, \dots, y)$$

and the corresponding **(nonlinear) closed-loop system**

$$\dot{y} = \mathcal{A}y + (\mathcal{N}y + \mathcal{B})u_k(y), \quad y(0) = y_0. \quad (\text{CL})$$

## Local well-posedness

There exist constants  $\delta_1, \delta_2 > 0$  such that: if  $\|y_0\|_Y \leq \delta_1$ , then

- (CL) admits a unique solution  $y \in W_\infty$  satisfying  $\|y\|_{W_\infty} \leq \delta_2$ .

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## A perturbed cost function

It can be shown that  $u_k$  is optimal for a perturbed cost function

$$\mathcal{J}_k(u, y_0) := \frac{1}{2} \int_0^\infty \|Cy(t)\|^2 dt + \frac{\alpha}{2} \int_0^\infty u^2(t) dt + R_k(y)$$

with  $R_k(y) = \int_0^\infty r_k(y(t)) dt$  and  $r_k$  is a polynomial remainder term.

### Error analysis

There exist  $\delta > 0$  and  $M > 0$  s.t. for all  $y_0 \in B_Y(\delta)$ ,

$$\max(\|y_k - \bar{y}\|_{W_\infty}, \|u_k - \bar{u}\|_{L^2(0, \infty)}, \|p_k - \bar{p}\|_{L^2(0, \infty; V)}) \leq M \|y_0\|_Y^k,$$

where the costate  $p_k$  associated to  $y_k$  satisfies

$$-\dot{p}_k = \mathcal{A}^* p_k + \mathcal{N}^* p_k u_k + \mathcal{C}^* C y_k + DR_k(y_k) \quad \text{in } (W_\infty^0)^*,$$

Moreover:  $\mathcal{J}(y_0, u_k) \leq \mathcal{V}(y_0) + M \|y_0\|_Y^{2k}$ .

**Idea:**  $\|(\bar{y}, \bar{u}, \bar{p}) - (y_k, u_k, p_k)\| = \|\Phi^{-1}(y_0, 0, 0, 0) - \Phi^{-1}(y_0, 0, DR_k(y_k), 0)\|$

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# Remarks and open questions

- abstract setting is applicable to a (natural) **control** problem for the **Fokker-Planck** equation
- (our) **numerics** rely on model reduction and tensor calculus
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It is not clear (to me) if

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