Real-Time 3D Pose Estimation from Single Depth Images

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Abstract: To allow for safe Human-Robot-Interaction in industrial scenarios like manufacturing plants, it is essential to always be aware of the location and pose of humans in the shared workspace. We introduce a real-time 3D pose estimation system using single depth images that is aimed to run on limited hardware, such as a mobile robot. For this, we optimized a CNN-based 2D pose estimation architecture to achieve high frame rates while simultaneously requiring fewer resources. Building upon this architecture, we extended the system for 3D estimation to directly predict Cartesian body joint coordinates. We evaluated our system on a newly created dataset by applying it to a specific industrial workbench scenario. The results show that our system’s performance is competitive to the state of the art at more than five times the speed for single person pose estimation.

1 INTRODUCTION

For scenarios in which intelligent systems are meant to interact with humans, knowledge about the human’s state is vital. For example, location and posture are important cues to reason about the activity and intentions of a human. Especially in the case of human-robot-collaboration and shared workspaces accurate knowledge about the human’s 3D position is very important for both action planning and safety requirements.

Such a scenario may also introduce a number of other constraints. For example, a lot of occlusions might be caused by the workplace or construction parts. Many state of the art approaches, however, are sensitive to large amounts of occlusions. Even though 2D pose estimation attracted a lot of research interest in the last decade, fewer attempt 3D pose estimation. Also, many pose estimation systems only recently reached real-time performance and usually still need a considerable amount of resources (e.g., OpenPose (Cao et al., 2017) requires four Nvidia GTX 1080 devices to run at \(\approx 30\) fps)

We have developed our approach by taking into account requirements of an industrial workbench scenario for human-robot-cooperation as shown in Fig. 1 \(\text{left}\). Here, a robot assists a human worker performing tasks at a shared workbench while a depth camera is used to constantly monitor the human’s pose. For simplification, this camera is fixed above the desk, but can also be installed on a mobile robot later on. Since the lower part of the body is occluded by the work bench, the pose estimation must work reliably using only the eight upper body joints as shown in Fig. 1 \(\text{right}\). Additionally, the hands and other body parts might be occluded while handling objects. Our approach addresses these points with corresponding training data and output dimensions. Nevertheless, it can be extended to other scenarios with an increased number of joints.

Figure 1: \textbf{Left:} Our industrial workbench scenario for Human-Robot-Interaction. A keyboard, several movable objects and a set of big buttons are placed on the desk as means for interaction. \textbf{Right:} The eight upper body joints (red) to be estimated by our system and their location in the kinematic chain (grey)
Targeting the described scenario, we introduce a real-time 3D pose estimation system using single depth images on a mobile platform. Our approach is based on a state of the art architecture for 2D pose estimation which we improve regarding two main aspects: First, we propose optimization steps for substantial size reduction and speed improvements. Second, we extend the architecture for 3D pose estimation. After training the resulting system on a newly created dataset we compare different alternatives and finally deploy the system in our scenario.

2 RELATED WORK

The majority of approaches focuses on 2D human pose estimation in single RGB images. However, for human-robot-interaction a 3D estimation is necessary. Naturally, 2D images lack distance information, which makes 3D pose estimation a much more difficult task. Approaches like (Elhayek et al., 2015) show that multiple cameras can be used to overcome the limitations of 2D images. This, however, requires a more complicated camera setup, which is not acceptable in many applications, e.g., on a mobile robot.

Even though there are single camera approaches that try to estimate the 3D position of joints based on single 2D RGB images (Tekin et al., 2017) (Li and Chan, 2015), they rely on additional information like bone lengths (Mehta et al., 2017) or lack in precision (Martinez et al., 2017). Only a few approaches try to exploit depth information for 3D pose estimation. They rely on additional knowledge, like a database of possible poses (Baak et al., 2011), a volumetric human model (Zhang et al., 2012) or a good background segmentation (Shotton et al., 2013).

However, the performance of such systems is always limited by the quality of their model and its ability to generalize without additional information. With the great success of deep Convolutional Neural Networks (deep CNNs) in this field, many recent approaches successfully solve the task in 2D with a model-free discriminative architecture (Toshev and Szegedy, 2013) (Tekin et al., 2017) (Chu et al., 2017) (Rafi et al., 2016). Most recently, some approaches try to use a human model implicitly by adding auxiliary tasks like bone length estimation (Mehta et al., 2017) or body part affinity (Cao et al., 2017). Here, we compare a model-free method to one using an implicit model in Sec. 4.

Regarding the estimation result, two main strategies can be found. In 2D human pose estimation, it is common practice to formulate the problem as a detection task, where belief maps decode the probability for each pixel to contain a certain joint. In 3D human pose estimation, however, several approaches (Martinez et al., 2017) (Bulat and Tzimiropoulos, 2016) solve it as a regression problem by finding the 3D coordinates (x, y, z) as exact as possible.

In this paper, we use a state of the art model-free deep CNN architecture for 2D joint detection in single depth images, optimize it in regards to size and speed and extend it to 3D estimation. Finally, we deploy our system to the Robot Operating System (ROS) and compare it to a method that uses an implicit model for regression.

3 METHOD

We propose a lightweight system for real-time 3D pose estimation shown in Fig. 3. It consists of two stages for successive 2D and 3D estimation.

First, a Slim Stacked Hourglass CNN (SSHG 2D, see Sec. 3.2) produces one 2D belief map \( \mathbf{H}_j \in \mathbb{R}^{64 \times 64} \) for each joint \( j \in \{1 \ldots J\} \) from a single depth image. By calculating the weighted center of belief map activation, each joint’s 2D location \( \mathbf{p}^{2D}_j = \{x_j, y_j\} \) is estimated.

Second, a refined depth map \( \mathbf{D}_j \in \mathbb{R}^{64 \times 64} \) is estimated for each joint \( j \), denoting its distance to the camera. In contrast to the input depth image, these refined depth maps allow for a higher precision, as described in Sec. 3.3. The 3D pose \( \mathbf{p}^{3D}_j \) is then reconstructed by retrieving the joint distance \( d_j \) from its depth map \( \mathbf{D}_j \) at its 2D location \( \mathbf{p}^{2D}_j \), so that \( d_j = \mathbf{D}_{j,x_j,y_j} \). Finally, the joint location in camera coordinates \( \{x_j, y_j, d_j\} \) is projected into world coordinates \( \mathbf{p}^{3D}_j = \{x'_w, y'_w, z'_w\} \) using the projection \( M(x_j, y_j, d_j, \mathbf{C}_M) \) with \( \mathbf{C}_M \) being the camera matrix.
3.1 Stacked Hourglass for 2D Estimation

The Stacked Hourglass architecture introduced in (Newell et al., 2016) uses multiple successive Hourglass Blocks like the one shown in Fig. 2 to produce belief maps from single images. Being used in five of the ten best performing approaches on the MPII Human Pose Dataset benchmark (Andriluka et al., 2017), it proved to be well suited for human pose estimation. Within each Hourglass Block, features are computed using Residual Modules across multiple scales. By computing features at multiple resolutions and successively combining them, the Stacked Hourglass is essentially a nested multi-resolution Residual Module. This allows for a large receptive field while maintaining the ability to consider fine details. Preliminary to the first Hourglass Block, a Feature Block like shown in Fig. 3 (left), consisting of four successive Residual Modules, is used for initial feature processing. Succeeding the final Hourglass Block, two linear layers are utilized as a detection head to produce the final belief maps for each joint.

In our architecture, we make use of the Feature Block, the Hourglass Block, and the detection head. Simply put, we wrap an optimized single-stage Stacked Hourglass (SSHG 2D in Fig. 3) with a supplementary Feature Block and detection head to additionally estimate depth maps. While the architecture of all Feature Blocks and detection heads remains unchanged, we modify the Hourglass Block for speed optimization.

3.2 Slim Hourglass Block for Faster Inference

In order to satisfy our requirement of real-time estimation, we optimize the Hourglass Block for our needs. Several methods for compressing Deep Neural Networks in order to increase inference speed exist (Cheng et al., 2017). For simplicity, we choose a variant of weight pruning with successive weight merging since it can be easily deployed with a low risk of decreasing performance. Other methods may be still applied later on for additional speed improvements.

Weight pruning is possible because CNN architectures are often designed generously to prevent bottlenecks during training. This means that a considerable amount of weights might waste memory and computing time even though it does not encode relevant information that contributes to the inference.

Each convolutional layer takes a multi-channel input and applies a number of filters to produce a multi-channel output. Since this output is created by multiplying the layers weights with its input, filters with weights close to zero can be expected to have no significant influence on the output. To determine the minimum amount of filters necessary to solve the task, we introduce the measure of channel significance $S_c$ and filter significance $S_f$ defined in Eq. 2. They are based on the normalized cumulative activation $\mathcal{A}_{f,c}$ of a filter patch $\bar{w}_{f,c} \in \mathbb{R}^{X \times Y}$ across a channel $c$ or filter $f$. By computing channel and filter significance for all layers, we can exclude all weights with a significance below a threshold. A visual representation of the described method for a layer within...
In order to simplify the problem of weight reduction, the number of parameters was reduced from $17 \times 4M$ to $7 \times 4M$. This enables real-time pose estimation on platforms featuring only a single GPU, e.g., a robot.

### 3.3 Extension for 3D Estimation

Depth images contain a lot of valuable information for joint depth estimation (depth meaning distance to the camera). If the 2D location of all joints is known, a simple lookup in the depth image might seem like a sufficient approach. However, depth retrieval still involves three major challenges: First, occlusions (e.g., if the person is holding one hand behind their back) cannot be resolved directly. Second, noise and low resolution can introduce large errors for direct depth lookup, especially for small body parts or great distances to the camera. This becomes even more problematic, if the 2D joint estimation is not perfect in the first place. Third, depth images naturally only contain the distance to the body surface. Depending on the specific joint and pose, estimating the correct distance to the joint within the body can be difficult.

Some of those problems can be addressed by adding pre-processing steps on the depth image at the cost of additional computing time. For example, successive erosion and dilation can be used to clean and widen the body silhouette in order to stabilize the depth lookup. Additionally, depth values may be averaged in a region around the joint’s location, and a joint-specific static offset can be used to move a joint from the surface into the body. We use such an approach (Fig. 7 a)) as a baseline for comparison. Still, a lot of inaccuracy and failure cases remain. A more sophisticated processing pipeline would be necessary to sufficiently accommodate for occlusions and pose-specific offsets.

Instead, we extend the Slim Stacked Hourglass CNN to additionally estimate the depth for each joint. As shown in Fig. 3, this depth estimation stage consists of two fully connected layers and utilizes the 2D detection result, the final layer of the Slim Stacked Hourglass, and an additional Feature Block on the original depth image. In other words, we let the CNN learn the appropriate depth image pre-processing for
each joint individually. We found the additional Feature Block to be very beneficial for a solid depth estimation.

3.4 Training Data

To capture the specifics of our setup, we created a new dataset with 150,000 (augmented from 25,000) annotated samples containing 44 motion sequences across 7 categories featuring 5 different actors. Matching our use case scenario, only one actor is present at all times. For evaluation, 500 frames of a single unseen person performing use case actions at the workbench were recorded.

The recorded sequences are divided into two categories, "work poses" and "basic poses". The first category contains use case movements an the workbench such as button interaction, box stacking, keyboard interaction and several idle poses. Using only this category, many poses (e.g. pointing, walking in the detection area or having the hands above the head) would be underrepresented. Therefore, the second category features movements across the hole detection area with straight or bent arms, pointing and body touching (which seemed to be especially difficult to detect correctly). Across all sequences, variations in background, foreground and actor were introduced.

We created this dataset using the time of flight depth sensor of a Kinect V2 at 512 × 424 px and the commercial multi-camera markerless motion tracking software CapturyLive (Captury Live, 2017) for labeling. Since this method could not produce perfect labels in every frame, we used a post processing pipeline for error detection. There, the label position of each joint is compared to the depth information captured by the Kinect V2. As shown in Fig. 5, labels were marked as invalid or occluded if their depth value diverged significantly from the Kinect V2 data. Because all actors were much closer to the camera than the background, this method reliably differentiated label errors in all axis from occlusions and correct labels. Only frames with correct labels for all joints were used for training.

After excluding errors, each of the 25,000 remaining frames was augmented five times by applying random translations, rotations, and mirroring. For training, we only considered depth information in a range range between 0.75 m and 4 m scaled to [0, 1]. Also, the input images were rescaled to 256 × 256 to match the CNN’s input resolution.

3.5 Training

First, we used this dataset to pre-train our Slim Stacked Hourglass independently for 2D estimation (SSHG 2D). For this, we generated one belief map \( H^\text{GT}_j \) per joint in which a Gaussian blob denotes the joint’s 2D position. For this stage, we simply used the L2 loss.

The whole system (SSHG 3D) was then retrained for 3D estimation using the same dataset. Here, we additionally provided one depth map \( D^\text{GT}_j \) per joint. Different to the belief maps \( H^\text{GT}_j \), all pixels of \( D^\text{GT}_j \) hold the same value which denotes the joint’s distance to the camera. Nevertheless, this distance is only considered at the joint’s actual location during training by weighting the estimation error \( (D_j - D^\text{GT}_j) \) with the corresponding ground truth 2D belief map \( H^\text{GT}_j \). Similar to (Mehta et al., 2017), we defined our depth loss as

\[
\text{Loss}(d_j) = ||H^\text{GT}_j \odot (D_j - D^\text{GT}_j)||_2
\]

where \( GT \) indicates the ground truth location and \( \odot \) denotes the Hadamard product.

In both cases, we trained with RMSprop for 200,000 iterations using a learning rate of 2.5 × 10⁻⁴, which is divided by three every 37,500 iterations.

4 RESULTS

We evaluated all results using the \( PCK_h(p) \) measure (Andriluka et al., 2014) where a joint is considered detected if the distance between estimated location and ground-truth is within a fraction \( p \) of the head length. Therefore, this measure can be used for comparison across multiple scales in both 2D and 3D. Similar to the state of the art, we define the overall
Figure 6: We evaluated the performance of our system by plotting the percentage of correct detections PCK$_h$ for a maximum allowed error distance $p$ regarding various aspects (best viewed in color). a) The percentage of correct 2D detections on our use case evaluation set for all joints. b) Compared with two approaches for 2D estimation, our Slim Stacked Hourglass for 2D estimation almost reaches state of the art accuracy and detection rates. c) Our 3D extension (SSHG 3D) outperforms the alternative extensions shown in Fig. 7, but does not quite match our 2D performance (SSHG 2D). d) The percentage of correct 3D detections on our use case evaluation set for all joints. e) Our Slim Stacked Hourglass (SSHG 2D) is more than three times faster than the original Stacked Hourglass (SHG) and five times faster than state of the art approaches (OP, CPM). The final 3D estimation system (SSHG 3D) runs slightly slower due to the additional depth map estimation.

Detection rate as $PCK_h(0.5)$ and the median accuracy ($\equiv$ median error) as the normalized distance $p_m$ where $PCK_h(p_m) = 50\%$.

A comparison on popular datasets like the CMU Panoptic Dataset (Joo et al., 2015) (used for OpenPose) or Human3.6M (Ionescu et al., 2014) would be desirable but was not possible due to the lack of depth images or 3D annotations for the depth camera view point.

### 4.1 2D Estimation Performance

Being at the core of our system, we first evaluated the Slim Stacked Hourglass for 2D joint detection (SSHG 2D). As shown in Fig. 6 a), we achieve a 2D detection rate of 98% on our use case validation data with a median error of 0.13 ($\approx 2.6\text{ cm}$). The detection is very robust for the head with 100% detection rate, but slightly decreases along the kinematic chain. Being at the end of the kinematic chain, the hands are the most difficult to detect with a detection rate of 90% and a median error of 0.2.

To put these results into perspective, we compared our SSHG 2D to two state of the art systems for 2D pose estimation in Fig. 6 b). Since both OpenPose (Cao et al., 2017) and the original Convolutional Pose Machine (Wei et al., 2016) were trained for RGB images, we created an additional use case validation dataset for this comparison that includes both depth and RGB images. While our SSHG 2D was evaluated on the depth images, both state of the art systems used the corresponding RGB images. Since only visible joints were labeled in this dataset and the case of not detecting an occluded joint is counted as an error of 0, all curves in Fig. 6 b) have an offset at $p = 0$.

We significantly outperform the detection rate of the Convolutional Pose Machine which reacted poorly to the large occlusions caused by the desk. Even though we do not reach the precision and detection rate of OpenPose entirely, we achieve a comparable results at 5 times the speed. Since OpenPose was trained on a dataset containing 1.5 million frames and over 500 cameras, we expect the precision of our system to increase by improving our training dataset. However, good generalization on a broader dataset might also require more weights, which might result in a smaller margin for optimizations like described in Sec. 3.2.

### 4.2 3D Estimation Performance

Based on the results of the SSHG 2D, we compared three extensions for 3D estimation. The architectures of all three extensions are shown in Fig. 7 and the corresponding 3D estimation performance in Fig. 6 c). We compared our approach (Fig. 7 b)) to a more sophisticated regression head introduced in VNect (Mehta et al., 2017) (Fig. 7 c)) that uses implicit model knowledge. As a baseline, we additionally implemented a system that uses a simple lookup (Fig. 7 a)) by retrieving the joint distance from the original depth image. To increase precision, we im-
Figure 7: We compare three different architectures to extend our Slim Hourglass Block for 3D estimation. a) A simple lookup in the original depth image (including pre-processing like described in Sec. 3.3). b) A separate depth map is estimated for each joint and used to retrieve the joint depth. c) The VNect regression head (Mehta et al., 2017) combines 2D belief map prediction with Bone Length estimation ($BL$), parent-relative distance estimation ($\Delta X, \Delta Y, \Delta Z$) and succeeding root-relative distance estimation ($X, Y, Z$) for each joint in all three axis.

proved this baseline’s depth image lookup using the post-processing-steps discussed in Sec. 3.3 at the additional cost of 34 ms.

As evident in Fig. 6 c), our approach outperforms both alternatives regarding detection rate and accuracy while also being the fastest. Most noticeably, the median detection error increases only marginally compared to the 2D estimation.

Using this 3D extension approach in the final system, the resulting 3D performance on our use case validation data is shown in Fig. 6 d) in more detail. We are able to reach a detection rate of 93% across all joints with a median error of 0.14 ($\approx 2.8 cm$). The detection is still very robust for the head, but spreads out more across the remaining joints. Especially the performance for hand detection decreases with a detection rate of 80% and a median error of 0.27.

4.3 Limitations

We examined the limitations of our system regarding multiple aspects. For 3D performance, the quality of the lookup decreases for persons far away and in noisy environments. Furthermore, occlusions still cause errors in the depth map lookup. This suggests that our system only learned to improve the quality of depth images, but does not contain higher model knowledge about the human body. Introducing much more occlusions into the training data might improve the performance in these situations and force the system to learn such higher model knowledge. Other than that, the limitations are introduced merely by the 2D estimation of the Slim Stacked Hourglass.

We found the 2D estimation to be very robust against the appearance of the subject. All poses in which the head is visible and at or above shoulder level can be detected robustly. This corresponds to the specifics of our training data. Like shown in Fig. 8 a), b), occluded joints other than the head are mostly detected correctly.

The Slim Stacked Hourglass was trained on a single person dataset, but reliably detects multiple people as well (Fig. 8 d)). However, the succeeding system for depth lookup currently works for single persons only. In order to extend it for multiple persons, an approach for body part association needs to be implemented. Furthermore, increasing the number of body joints for detection might also require a less drastic weight reduction. For full body pose estimation of multiple people, we expect alternatives like OpenPose to scale better than our system. However, since this is not necessary in many use cases, we see the benefits of our approach in scenarios where hardware resources are limited and single person pose estimation is sufficient.
5 CONCLUSION AND FUTURE WORK

We proposed a system for real-time 3D pose estimation in single depth images that builds upon previous state of the art approaches. Starting from a single-stage Stacked Hourglass CNN for 2D pose estimation, we propose two improvements to create a fast and lightweight 3D estimation architecture. First, a method for speed optimization was used to reduce both the amount of parameters and layers by \( \approx 50\% \). By this, we could increase the speed by a factor of 5 compared to state of the art systems while maintaining performance. Second, an extension for 3D pose estimation is introduced that addresses the problems of existing alternatives like a simple lookup or VNect. Our final system almost matches the 2D performance of much slower state of the art 2D pose estimation systems and outperforms alternatives for 3D extensions. We have successfully deployed the system in our scenario for Human-Robot-Interaction using the Robot Operating System ROS. Utilizing the depth sensor of a Kinect V2, we estimate the 3D position of eight upper body joints for a single person at up to 40 fps.

For future work, we see two interesting paths. On one hand, means for improving the performance of our system may be explored. For example, we expect our accuracy and detection rate to increase with more data and higher quality labels. Additionally, a dedicated occlusion data set might force the network to learn higher model knowledge about the human body and allow for correct depth retrieval even if joints are occluded. Both points could also be addressed with a pipeline for synthetic training data generation.

On the other hand, we see potential for deeper investigations. This includes analyzing the relationship between the number of reducible weights (as in Sec.3.2) and other factors, like the number of joints to be estimated and the size of the training data set. Also, the possible benefits of using 3D data like depth images instead of RGB 2D images is an interesting topic for future research.
REFERENCES


